



CABINET

Monday, 8 July 2019

10.00 a.m.

**Council Chamber, Rotherham Town Hall,
Moorgate Street, Rotherham. S60 2TH**

Cabinet Members:-

Leader of the Council
Deputy Leader of the Council,
Children's Services and Neighbourhood Working Portfolio
Adult Social Care and Health Portfolio
Cleaner, Greener Communities
Corporate Services and Finance Portfolio
Housing Portfolio
Jobs and the Local Economy Portfolio
Waste, Roads and Community Safety Portfolio

Councillor Chris Read
Councillor Gordon Watson

Councillor David Roche
Councillor Sarah Allen
Councillor Saghir Alam
Councillor Dominic Beck
Councillor Denise Lelliott
Councillor Emma Hoddinott

Rotherham
Metropolitan
Borough Council 

CABINET

Venue: Council Chamber - Rotherham Town Hall, Moorgate Street, Rotherham, South Yorkshire S60 2TH

Date and Time: Monday, 8th July, 2019 at 10.00 a.m.

Agenda Contact James McLaughlin, Head of Democratic Services
01709 822477 or james.mclaughlin@rotherham.gov.uk

This meeting will be webcast live and will be available to view via the [Council's website](#). The items which will be discussed are described on the agenda below and there are reports attached which give more details.

Rotherham Council advocates openness and transparency as part of its democratic processes. Anyone wishing to record (film or audio) the public parts of the meeting should inform the Chair or Democratic Services Officer of their intentions prior to the meeting.

A G E N D A

1. Apologies for Absence

To receive apologies from any Member who is unable to attend the meeting.

2. Declarations of Interest

To invite Councillors to declare any disclosable pecuniary interests or personal interests they may have in any matter which is to be considered at this meeting, to confirm the nature of those interests and whether they intend to leave the meeting for the consideration of the item.

3. Questions from Members of the Public

To receive questions from members of the public who wish to ask a general question in respect of matters within the Council's area of responsibility or influence.

Subject to the Chair's discretion, members of the public may ask one question and one supplementary question, which should relate to the original question and answered received.

Councillors may also ask questions under this agenda item.

4. Minutes of the Previous Meeting (Pages 1 - 13)

To receive the record of proceedings of the Cabinet meeting held on 10th June, 2019.

5. Exclusion of the Press and Public

Agenda Item 14 has an exempt appendix. Therefore, if necessary when considering those items, the Chair will move the following resolution:-

That under Section 100(A) 4 of the Local Government Act 1972, the public be excluded from the meeting for the following item(s) of business on the grounds that it/they involve(s) the likely disclosure of exempt information as defined in Paragraph 3 of Part 1 of Schedule 12(A) of such Act indicated, as now amended by the Local Government (Access to Information) (Variation) Order 2006.

CHILDREN SERVICES AND NEIGHBOURHOOD WORKING

6. Revised Foster Carer Fees and Allowances Payment Scheme (Pages 15 - 28)

Report of the Strategic Director of Children and Young People's Services

Recommendations:-

1. That approval be given to the implementation and changes to Foster Carer Fees and allowances as follows:
 - An additional skill level fee to paid to the carer when caring for more than one child, as set out in paragraph 2.11.
 - The weekly allowance, to cover the expense involved in caring for a child, to remain the same.
 - Change in payments to foster carers for birthdays, Christmas/cultural celebrations and holiday pay for the child in placement, as set out in paragraph 2.11.

CLEANER GREENER COMMUNITIES

7. Advice Services Review - Phase 2 (Pages 29 - 46)

Report of the Assistant Chief Executive

Recommendations:-

1. That the management of Council provided advice services be consolidated under the management of Housing Services within the Adult Social Care & Housing Directorate and co-location within Riverside House by September 2019.
2. That the role of partnership working through the Advice in Rotherham Partnership (AiR) be enhanced to provide full alignment and added value across advice services in Rotherham through the introduction of a new "Single advice model."

CORPORATE SERVICES AND FINANCE

8. Council Plan Quarter 4 (January to March 2019) and 2018-2019 Annual Performance Report (Pages 47 - 120)

Report of the Assistant Chief Executive.

Recommendations:-

1. That the overall position and direction of travel in relation to performance be noted
2. That consideration be given to measures which have not achieved their target and the actions required to improve performance, including future performance clinics
3. That the performance reporting timetable for 2019-2020 be noted.
4. That the achievements for 2018-2019 be noted.

9. Financial Outturn 2018/19 (Pages 121 - 150)

Report of the Strategic Director of Finance and Customer Services.

Recommendations:-

1. That the revenue outturn position for 2018/19 be noted.
2. That approval be given to the transfer of the £1.4m HRA underspend to the HRA reserve.
3. That the carry forward of the combined schools balance of £3.369m in accordance with the Department for Education regulations be noted.
4. That the reserves position set out in section 2.33 be noted.
5. That the capital outturn and funding position as set out in sections 2.41-2.69 be noted.
6. That Cabinet refer the report to Council to note the updated financial position as detailed in the report and for approval of the updated Capital Programme as set out in paragraphs 2.65 to 2.69 and Appendices A to D.

10. Financial Outturn 2018/19 - Treasury Management and Prudential Indicators (Pages 151 - 168)

Report of the Strategic Director of Finance and Customer Services.

Recommendations:-

1. That the Treasury Management Prudential Indicators outturn position as set out in Section 3 and Appendices A and B of the Annual Treasury Management Report for 2018/19 be noted.
2. That the report be forwarded to Audit Committee for information.

11. May Financial Monitoring Report 2019/20 (Pages 169 - 182)

Report of the Strategic Director of Finance and Customer Services.

Recommendations:-

1. That the current General Fund Revenue Budget forecast of £4.5m overspend.
2. That it be noted that actions will continue to be taken to mitigate the forecast overspend.

12. New Application for Business Rates Discretionary Relief for Rain Rescue (Pages 183 - 192)

Report of the Strategic Director of Finance and Customer Services.

Recommendations:-

1. That 20% top up discretionary relief be awarded to Rain Rescue for the animal welfare centre at Summerfield Lodge, Moat Lane, Wickersley, Rotherham S66 1DZ for the period 1st April 2018 to 31st March 2020.
2. That 20% top up discretionary relief be refused to Rain Rescue for the shop at 8 Woodhouse Green, Thurcroft, Rotherham S66 9AQ for the period 6th August 2018 to 31st March 2020.

HOUSING

13. Response to Scrutiny Recommendations:- Modern Methods of Construction (Pages 193 - 206)

Report of the Strategic Director of Adult Care, Housing and Public Health

Recommendations:-

1. That the officer response to the recommendations of the Scrutiny Review of Modern Methods of Construction as set out in Appendix A be approved.
2. That a further recommendation be added to receive a formal 'lessons learned' report post completion of the current MMC pilot.

JOBS AND THE LOCAL ECONOMY

14. Acquisition of land at Fenton Road (Pages 207 - 221)

Report of the Strategic Director of Regeneration and Environment

Recommendations:-

1. That approval be given to the acquisition of land at Fenton Road as detailed in Appendix 3, subject to the land being acquired within the allocated budget.
2. That the Assistant Director of Planning, Regeneration and Transport negotiates and agrees the terms and conditions of the proposed acquisition, in consultation with the Assistant Director of Financial Services and the Assistant Director of Legal Services.
3. That the Assistant Director of Legal Services be authorised to negotiate and complete the necessary legal agreements required for the acquisition of land at Fenton Road.

15. Local Plan Core Strategy Five Year Review (Pages 223 - 249)

Report of the Strategic Director of Regeneration and Environment

Recommendations:-

1. That the findings of the Local Plan Core Strategy Five Year Review be noted.
2. That approval be given to the commencement of a partial update of the Local Plan Core Strategy (adopted 10 September 2014).
3. That a further report be brought to Cabinet to consider a revised Local Development Scheme setting out the timescale for, and broad scope of, the partial update of the Core Strategy.

16. Transportation Capital Investment Programme 2019/20 (Pages 251 - 269)

Report of the Strategic Director of Regeneration and Environment

Recommendations:-

1. That the specific funding allocations for the Transportation Capital Investment Programme for the 2019/20 financial year be noted.
2. That the proposed programme as identified in Appendix 1 as the basis for further feasibility works, detailed design and implementation during the 2019/20 financial year be noted.
3. That progress with the A630 Parkway Widening project be noted and implementation is to be expected in 2020/21, subject to Department for Transport approval of the Full Business Case being submitted in Autumn 2019.

17. Recommendations from Overview and Scrutiny Management Board

To receive a report detailing the recommendations of the Overview and Scrutiny Management Board in respect of the above items that were subject to pre-decision scrutiny on 3 July 2019.

18. Date and Time of Next Meeting

The next meeting of the Cabinet will take place on Monday 16 September 2019 at 10.00 a.m. in Rotherham Town Hall.

A handwritten signature in black ink, appearing to read 'Sharon Kemp'.

SHARON KEMP,
Chief Executive.

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THE CABINET
Monday, 10th June, 2019

Present:- Councillor Read (in the Chair); Councillors Alam, Allen, Beck, Hoddinott, Lelliott, Roche and Watson.

Also in attendance Councillor Steele (Chair of the Overview and Scrutiny Management Board).

1. DECLARATIONS OF INTEREST

There were no Declarations of Interest to report.

2. QUESTIONS FROM MEMBERS OF THE PUBLIC

Councillor Sansome had intended raising his query via the Overview and Scrutiny Management Board regarding the Rotherham Employment and Skills Strategy, but sought reassurance that the Council would be centric to the partnership across major employers such as the Advanced Manufacturing Park, Rotherham College and construction. This area of South Yorkshire was crying out for engineering and construction skills and this was a perfect opportunity to work with others in partnership to sustain skills for the future.

Councillor Lelliott confirmed the Strategy was before the Cabinet for endorsement as it would be led by the Rotherham Together Partnership via their Business Growth Board which would underpin all of this. Within this Strategy there would be clear action plans about investment for growth, but the comments by Councillor Sansome would be taken on board and shared with the Business Growth Board.

3. MINUTES OF THE PREVIOUS MEETING

Resolved:- That the minutes of the Cabinet meeting held on 20th May, 2019, be agreed as true and correct records of the proceedings, subject to an amendment to Minute No. 149 to correct the word “deter” to “defer”.

4. EXCLUSION OF THE PRESS AND PUBLIC

There are no agenda items requiring the exclusion of the press or public from the meeting.

5. APPOINTMENT OF COUNCILLORS TO SERVE ON OUTSIDE BODIES

Consideration was given to the report which detailed how outside bodies were external organisations which have a Council appointed representative, but have their own governance structures to the Council.

Appointments to outside bodies were seen as an important mechanism for: community leadership, partnership and joint working and knowledge and information sharing.

At the Annual Meeting on 19th May, 2017, the Council approved procedure rules that detailed how councillors would be appointed to serve on outside bodies, for which Cabinet was now.

This report, therefore, presented the nominations received and recommended the appointment of the nominees to the various organisations and partnerships.

Resolved:- That Councillors be appointed to serve on Outside Bodies, as detailed on the schedule in Appendix 1.

6. LOOKED AFTER CHILDREN AND CARE LEAVERS SUFFICIENCY STRATEGY 2019-2022

Consideration was given the report which detailed how the Looked After Children and Care Leavers Sufficiency Strategy had been developed in line with the duty to provide or procure placements for Children Looked After (CLA) by the Local Authority.

The legislation and guidance included the Children Act 1989, Sufficiency Statutory Guidance 2010, Care Planning, Placement and Case Review Regulations 2011) and the duty of 'sufficiency' required Local Authorities and Children's Trust partners to ensure that there was a range of sufficient placements which met the needs of children and young people in care. There was also a responsibility to take steps to develop and shape service provision to meet the needs of all children and young people in care at a local level, as far as was reasonably possible.

The Strategy, therefore, set out how Rotherham Children and Young People's Services would fulfil its role as a Corporate Parent and meet its statutory sufficiency duty by providing good quality care, effective parenting and support to children and young people in and leaving care. It described the principles that were applied when seeking to commission the provision of secure, safe and appropriate accommodation and support to children in care and care leavers over the next three years.

The LAC Sufficiency Strategy also provided the underpinning needs analysis that would inform market management work, seeking to ensure that there was the right mix of provision available to meet the needs of children and young people and that this provision mix provided positive outcomes and value for money. There were no plans to destabilise children from settled placements, but this was about moving forward to keep more people in the borough and seeking the best placements for the children of this Borough.

There were also plans to explore opportunities for regional collaboration working arrangements and whilst this Strategy was not primarily a financial one, it was expected that the commissioning and strategic intentions set out would provide significant cost avoidance and savings opportunities and were essential to the sustainability of improved outcomes and the Local Authority budget.

Whilst this Strategy was intended to run for four years until 2021, the recent increase in number of Looked After Children, in Rotherham and across the region, had significantly altered the market conditions for placing Looked After Children in provision that would meet their needs and conform to the principles set out in the strategy.

Effective demand management and market management were key areas of focus for Children and Young People's Services. This work must be underpinned by a clear understanding of current sufficiency arrangements and there were a number of opportunities for various people to become foster carers as part of these arrangements.

Tribute was also paid to Christine Marie Lunn, one of Rotherham's dedicated foster carers, who had fostered more than 250 children, being appointed MBE in the Queen's Birthday Honours list.

Christine started fostering children in Rotherham in 1975 with her late husband and had remained in contact with many who she had helped over the years.

This report had been considered by the Overview and Scrutiny Management Board as part of the pre-scrutiny process who were in support of the recommendations, subject to an update being provided to the Improving Lives Select Commission in January, 2020 on the implementation of the Strategy.

Resolved:- That the publication of the Looked After Children's Sufficiency Strategy be approved.

7. ROTHERHAM'S CULTURAL STRATEGY 2019 - 2026

Further to Minute No. 149 of the meeting of the Cabinet held on 20th May, 2019 when the report was deferred for an Equalities Impact Assessment, consideration was given to the detail of Rotherham's new Cultural Strategy which had been produced in collaboration with members of the public and partners from across the cultural, leisure, green spaces and tourism sectors. This was the first Strategy produced by the local Cultural Partnership Board since it was established in 2018.

The Equalities Impact Assessment was considered by Overview and Scrutiny Management Board at its meeting last week and as a result of that meeting further advice was also taken on the correct terminology around gender and protected characteristics in line with the Equality Act

2010 and any further areas that need correcting would also be amended.

The Overview and Scrutiny Management Board also requested circulation of a structure chart, which would be forwarded onto the Overview and Scrutiny Management Board for information. This was made available to the Cabinet today.

The principles that underpinned this Cultural Strategy were around the wishes of others and the work with young people and children around imagination and reimagining Rotherham. Many wanted to see their town have an unwavering vision that took risks rather than having a reputation. There needed to be better use of the town's greatest strength - diversity.

There were also seven 'game-changers' which were the main activities by which the strategy would be delivered and in particular game-changer Number 7 was for Rotherham to become the capital of children's culture giving young people a platform and an opportunity for them to shape and become creative in their home town.

The Council was the only organisation that was able to apply for a trademark to become the children's capital for culture and was one of the activities from the Cultural Strategy where people were really passionate about partnerships and funding.

This report had been considered by the Overview and Scrutiny Management Board as part of the pre-scrutiny process who were in support of the recommendations, subject to the Equality Analysis being amended to reflect the correct protected characteristics prior to consideration by Cabinet, that a structure chart be developed to outline governance arrangements and lines of accountability and this be circulated to members of Overview and Scrutiny Management Board (circulated today) and that an update be provided in June 2020 to Overview and Scrutiny Management Board on the implementation of the Strategy.

Cabinet Members were in support of the Strategy and acknowledged the importance of cultural activities and believed culture had a role in developing self-esteem, confidence and changing the reputation of the town. Culture brought finance into the borough and supported the economic strategy. In addition, culture actively improved health characteristics and inequalities.

Lessons had been learnt from recent events in Rotherham and the Council were listening to and celebrating with children and young people and putting them at the heart of the things that were done. It was important that over the next five years the ambition and drive to work with Rotherham's young people would achieve the objectives set out in this Strategy.

Resolved:- That the new Cultural Strategy 2019 – 2026 be endorsed.

8. SELECTIVE LICENSING - CONSULTATION ON FUTURE DESIGNATIONS

Consideration was given to the report which detailed how the Council designated four areas for Selective Licensing in May, 2015, covering parts of Maltby, Dinnington, Eastwood and Masbrough, with the aim of delivering improved conditions within the private rented sector. These designations would expire on 30th April, 2020.

The current scheme and its designations have delivered significant improvements to the condition of private rented housing stock, and to the health and well-being of tenants. Additionally, the scheme had contributed to tackling anti-social behaviour, high turnover of tenancies and empty properties, through driving better landlord management practices and housing quality.

This report, therefore, demonstrated the successes of the current designations and identified areas within those designations that would benefit from a continuation of the Selective Licensing scheme to assist in tackling the deprivation within these communities. In addition, a further area within Maltby (Little London) had since been identified where a designation would assist in tackling significant housing condition problems.

Cabinet Members welcomed the consultation and urged residents to come forward and raise any issues that they believed should be included as part of the consultation process.

Resolved:- (1) That public consultation on the proposed designation areas for Selective Licensing of private rented housing be approved.

(2) That a further report be submitted to Cabinet in January, 2020 on the outcome of the public consultation to consider designating Selective Licensing areas.

9. DESIGNATION OF SELECTIVE LICENSING AREAS - PARKGATE AND THURCROFT

Further to Minute No. 22 of the Cabinet and Commissioners' Decision Making Meeting held on 6th August 2018, which approved a period of public consultation regarding the potential benefits of designating areas of Thurcroft and Parkgate for Selective Licensing of private rented housing.

This report, therefore, now provided detail of the feedback from the consultation with the majority of respondents expressing support for a mandatory selective licensing scheme, based on the evidence of deprivation, anti-social behaviour and environmental issues in these areas and the responses, comments and representations received.

Overall, 61% of respondents (221 responses) supported the idea of introducing Selective Licensing in these areas, with 18% (68 responses) disagreeing with the introduction. Of those supporting the introduction 68% of residents and businesses were in favour of introducing Selective Licensing in Thurgroft and Parkgate; 65% of landlords were against the proposals.

Local Elected Members in Rawmarsh and Rother Vale Wards have been fully involved and were consulted at all stages of the process.

The Supreme Court judgement, *Brown v Hyndburn Borough Council*, 2018, did impact directly on the conditions that could be applied to licences issued under a Selective Licensing designation. Critically the findings of the Court prohibited the imposition of discretionary conditions on Selective Licences relating to the safety of property, as these matters could be effectively dealt with under primary legislation.

As a result the Supreme Court findings have been taken into account and the existing Selective Licensing conditions have been reviewed and updated of which Appendix 3 provided an overview of the review of the original forty-nine, followed by the listing of the updated thirty-five conditions that would be applied to new licences under the new designations.

Cabinet Members welcomed the impact that Selective Licensing had had in some areas and deemed it appropriate for this to be considered in other areas where it could make a difference to people's lives and living conditions.

Resolved:- (1) That the strong evidence and public support for the Selective Licensing of Private Rented properties in Thurgroft and Parkgate be noted.

(2) That the two areas in Thurgroft and Parkgate detailed in this report be designated as Selective Licensing Areas under Part 3 of the Housing Act 2004 through the designation orders in Appendix 5, subject to confirmation by the Secretary of State for Housing, Communities and Local Government.

(3) That the Selective Licensing Conditions contained in Appendix 3 in the new designation orders be adopted for all new licences granted across Rotherham.

(4) That, further to the adoption of the Selective Licensing conditions contained as at Appendix 3, approval be given to the proposed licence fee structure for the Thurgroft and Parkgate areas as set out in paragraph 6.1 of the report, and the application of this fee structure to all new Selective licences granted in Selective Licensing areas across Rotherham.

(5) That the Assistant Director, Community Safety and Street Scene, be required to apply to the Secretary of State for Housing, Communities and Local Government to confirm the designations.

10. ROTHERHAM EMPLOYMENT AND SKILLS STRATEGY

Consideration was given to the report which provided detail of the Rotherham Employment and Skills Strategy and sought Cabinet endorsement of its adoption by Council.

The Strategy was a Rotherham Together Partnership (RTP) document linking to the existing Rotherham Economic Growth Plan to provide a framework for delivery of employment and skills activity over the next five years. This was essential to provide a suitably enterprising and skilled local workforce to drive forward the sustainable long-term growth of the Rotherham economy and allow it to compete in an increasingly global economy.

Following endorsement work would continue with the Business Growth Board to make sure that actions underneath the Strategy were put into place and an action plan drawn up with meaningful milestones and targets.

The Strategy had a role for schools to work with businesses and Enterprise Co-ordinators and one good example was the event held at Magna recently. This was also linked to the advanced manufacturing employment sector with gains in terms of companies such as McLaren, Boeing and Rolls Royce, not just in Rotherham, but across the whole innovation district.

This report had been considered by the Overview and Scrutiny Management Board as part of the pre-scrutiny process who were in support of the recommendations, subject to the design of the document being accessible, consideration of what steps could be taken to address barriers to employment or training such as lack of photographic identification or access to bank accounts, how meaningful work experience opportunities could be given to young people, that the Equality Analysis be reviewed to ensure that it reflects sex/gender inequality in the employment and skills market and that a detailed action plan be provided with clear, targets, milestones and measures in three months' time to Improving Places Select Commission.

Resolved:- (1) That the Rotherham Employment and Skills Strategy be endorsed.

(2) That responsibility for delivering and monitoring of the Strategy sits with the Business Growth Board of the Rotherham Together Partnership (RTP) be noted.

(3) That monitoring of the Strategy on a six-monthly basis, with the results reported through the Business Growth Board of RTP, be noted.

11. PROPOSAL FOR A PUBLIC SPACE PROTECTION ORDER IN THE FITZWILLIAM ROAD AREA

Further to Minute No. 129 of the Cabinet held on 18th March, 2019, consideration was given to the report which launched targeted consultation in relation to a proposed Public Space Protection Order for the Fitzwilliam Road area. The draft order published proposed a range of conditions.

Ward Members were supportive of an Order following an increase in anti-social behaviour and as a result more than twenty events were held as part of the consultation process given the diverse community in that area. Time was taken with Ward Members to speak to people with strong support. 74% of people believed a Public Space Protection Order would help with some of the issues along the Fitzwilliam Road area with two-thirds of people there experiencing at least one of the types of anti-social behaviour. This was clearly affecting people's lives and just another tool to tackle the concerns.

Having listened to feedback changes were made to the Order around vehicle nuisance, which involved speeding and parking (which were a Police issue) and not something that could be included. These issues would be picked up elsewhere.

Concern had also been expressed about drug use and drug dealing and whilst this was not directly for the Public Space Protection Order to deal with, a multi-agency plan would be developed to deal specifically with this issue.

Issues relating to noise, drinking, abusive language and tidiness of business premises could be included as a tool to improve the area alongside Fixed Penalty Notices. Tidy gardens were an issue in this area and also across the borough and there was a specific recommendation for officers to draw up a wider proposal around a Tidy Gardens Scheme.

This report had been considered by the Overview and Scrutiny Management Board as part of the pre-scrutiny process who were in support of the recommendations, subject to there being an update provided to Improving Places Select Commission in six months' time on the implementation of the Public Space Protection Order

Cabinet Members noted that from the consultation one of the concerns that was raised was about the use of language that caused or was likely to cause harassment, which was determined as an existing offence under the Public Order Act 1986 and needed to be mirrored by officers in applying the same kind of principles as part of the Order.

One issue that did need to be considered was around a person's ability to pay a fine. Whilst this Order was about changing behaviour in the area, it was not necessarily about financial matters. Whilst a fine was a big driver in a penalty, if people could genuinely not pay other mechanisms would need to be used to enable behavioural change, such as community payback.

It was important people were treated fairly and the rules applied equally, but the easiest way to avoid a fine was not to carry out the inappropriate behaviour in the first place.

The Council would draw on its experience from the Town Centre Public Spaces Protection Order on how to deal with specific issues.

Resolved:- (1) That the implementation of a Public Space Protection Order on the boundaries as shown as draft in Appendix 1, for the maximum three year period be approved.

(2) That the specific conditions recommended in Section 7 and captured within the draft Order at Appendix 1 be approved and adopted.

(3) That the action to pilot a 'Tidy Garden Scheme' in relation to waste in gardens be noted.

12. MODERN SLAVERY UPDATE AND TRANSPARENCY STATEMENT 2019-20

Consideration was given to the report which provided an update in relation to the activity of the Council and its partners, in seeking to both address and prevent modern slavery. The report focussed specifically on actions following the resolution passed by Council to adopt the Co-operative Party Charter against Modern Slavery on the 25th July, 2018.

It highlighted key achievements, such as work alongside suppliers to increase compliance with the Modern Slavery Act 2015, which had seen an increase in verified compliance.

The Council initially identified seventeen suppliers that were required to comply with the Modern Slavery Act, but where compliance, in particular publication of a transparency statement, could not be verified. The Council identified eight of those were current suppliers. Contact was made with all eight suppliers to inform them of their obligations and to ask for an update in relation to compliance. This led to all eight companies becoming compliant.

There were also issues around support for victims and the referral mechanism only gave a victim forty-five days of support. The Council were fully supportive of a national campaign to make this a year long level of support to overcome the trauma of what they had been through and give them time to stabilise their lives through housing and employment.

Cabinet Members paid tribute to the Cabinet Member and officers involved in this Statement and expressed the need for more work with community and the voluntary sector to raise awareness and progress. The local Modern Slavery Partnership had also been launched and Councillor Short was thanked for his support, input and his challenge.

The report also introduced a refreshed transparency statement for the period 2019 to 2020 for approval.

Resolved:- (1) That the progress against commitments made be noted.

(2) That the refreshed Transparency Statement be approved for publication.

13. ADOPTION OF A SEX ESTABLISHMENT LICENSING POLICY

Consideration was given to the report which confirmed, following public consultation, the passing of a resolution by Council on 22nd May, 2019 to adopt powers to regulate sex establishments across Rotherham from 1st July 2019. The adoption by Council of Schedule 3 of the Local Government (Miscellaneous Provisions) Act 1982, now allowed the Council to set a clear Policy.

The proposed Policy would cover all sexual entertainment venues, sex cinemas and sex shops. If adopted, the Policy would allow the Local Authority to better regulate Sex Establishments, taking account of the views of residents, including the appropriate number and localities for such establishments and the establishment of welfare conditions for those working within such establishments.

Based on the feedback from public consultation this report proposed the adoption of a Sex Establishments Policy, to be effective from 1st July, 2019.

Whilst the Council would still be required to consider applications when they arose, the Policy proposed that the appropriate number of Sex Entertainment Venues and Sex Cinemas in each Ward of the Borough was nil.

The feedback received was reflected upon and there was clear evidence from residents that sex establishments should be regulated and there was no suitable location for lap-dancing clubs anywhere in Rotherham, although applications for such could still be made.

Residents did not feel that establishments such as this were in-keeping with what was trying to be done in Rotherham and gender inequality was an issue, which was picked up as part of the Equality Impact Assessment.

Examples of living conditions near sex establishments, details of humiliating and lewd comments and inequalities and exploitation within the venues were provided. Tighter regulations around workers' rights were included to give more protection.

There was some assumption that the rules that applied to sexual entertainment venues were not applied to sex shops. Rotherham currently had one sex shop.

The main focus for the feedback had been around sexual entertainment venues, but different feedback had been made from residents around sex shops where they found them to be more appropriate in an industrial location away from areas with children and young people.

As a result new guidance would be issued and reflected in the role of the Licensing Committee.

Whilst it was proposed the new Policy be implemented from the 1st July, 2019, there would be a transition period for existing establishments of twelve months to come in line with the new Policy, when they would need to reapply.

This report had been considered by the Overview and Scrutiny Management Board as part of the pre-scrutiny process who were fully in support of the recommendations and welcomed the detail set out in the Equality Impact Assessment.

The Cabinet Member thanked all those involved in the formulation of this Policy and the engagement throughout the consultation process, especially the women who came forward and shared their experiences. In particular Councillor Clark was praised for being actively involved in some of the face to face consultation and in her support in hearing first-hand about some of the issues.

Resolved:- (1) That the attached reports including the Equality Analysis and the consultation document appended to this report be duly regarded and noted.

(2) That the proposed Sex Establishment Licensing Policy be adopted (see Appendix 2), including: proposed limits on the numbers of Sex Establishments in localities of the Borough and the types of areas where Sex Establishments would/would not be deemed appropriate; to be effective from 1st July 2019.

(3) That the charging arrangements for the licensing of Sex Establishments, to be effective from 1st July, 2019, be approved.

14. LICENSING ACT 2003 - STATEMENT OF LICENSING POLICY

Consideration was given to a report which detailed under Section 5 of the Act, how a Licensing Authority must prepare a Licensing Act 2003 Statement of Licensing Policy (a 'Statement of Licensing Policy') which effectively set out the principles it proposed to apply in exercising functions under the Act.

The Council was now seeking to develop a revised Licensing Act 2003 Statement of Licensing Policy, which balanced the need to encourage a vibrant and dynamic entertainment industry as part of the regeneration of the Borough, and the need to ensure that concerns relating to health and public disorder were effectively addressed. To support this, the Council proposed and sought approval to undertake consultation to seek the views of key stakeholders and residents of Rotherham, to inform the development of a draft Policy.

In addition the Council proposed to undertake a 'Cumulative Impact Assessment', to identify any potential parts of the Borough where further granting of licences or variations to licences could impact on the Council's obligations in respect of the Licensing Objectives. This would be used to support determinations under the Policy.

Resolved:- (1) That public consultation be approved to commence, in line with Option 3 (Section 3), to inform the development of the Council's Licensing Act 2003 Statement of Licensing Policy.

(2) That Officers undertake a Cumulative Impact Assessment in respect of licensable activities, to support the development of a Licensing Act 2003 Statement of Licensing Policy.

15. GAMBLING ACT 2005 - STATEMENT OF LICENSING POLICY

Consideration was given to the report that detailed how under Section 349 of the Gambling Act 2005 a Licensing Authority was required to prepare and publish a statement of its licensing policy at least every three years.

The Council was now seeking to develop a revised Gambling Act 2005 Statement of Licensing Policy that, whilst acknowledging that gambling was a legitimate leisure activity that many people enjoyed and one that generated income, sought to protect residents from the potential harm that could be caused by problem gambling.

To support this, the Council proposed and sought approval to undertake consultation to seek the views of key stakeholders and residents of Rotherham, to inform the development of a draft Policy.

In addition the Council also proposed to develop 'Area Risk Profiles', to identify any potential parts of the Borough where further granting of licences or variations to licences could impact on the Council's obligations

in respect of the Licensing Objectives. This would be used to support determinations under the Policy.

Resolved:- (1) That approval be given to commence public consultation, in line with Option 3 (Section 3), to inform the development of the Council's Gambling Act 2005 Statement of Licensing Policy.

(2) That commencement of a study be approved into the individual and cumulative impact of gambling across the Borough to develop a Local Area Risk Profile, to support the Gambling Act 2005 Statement of Licensing Policy.

16. RECOMMENDATIONS FROM OVERVIEW AND SCRUTINY MANAGEMENT BOARD

Consideration was given to the circulated report, the contents of which were included as part of the relevant item and the details included accordingly.

17. DATE AND TIME OF NEXT MEETING

Resolved:- That the next meeting of the Cabinet take place on Monday, 8th July, 2019 at 10.00 a.m.

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Committee Name and Date of Committee Meeting

Cabinet – 08 July 2019

Report Title

Revised Foster Carer Fees and Allowances Payment Scheme

Is this a Key Decision and has it been included on the Forward Plan?

Yes

Strategic Director Approving Submission of the Report

Jon Stonehouse, Strategic Director of Children and Young People's Services

Report Author(s)

Catherine Boaler, Service Manager – Fostering & Adoption
01709 822423 or catherine.boaler@rotherham.gov.uk

Ward(s) Affected

Borough-wide

Report Summary

The vision in Rotherham is 'Working with Rotherham's children, young people and families to be safe, resilient and successful'. The Council is seeking to improve the care experience for children in Rotherham by ensuring that wherever possible they are looked after in a foster family environment. In the spirit of this ambition the Council is proposing to revise its 'offer' to foster carers with regard to the fees and allowances that they receive. This will also lead to a reduced overall cost in line with Budget assumptions.

It is well understood that the needs of children and young people can only be met effectively if they live in an environment that provides a high quality of care and support, generally within a family home setting and in a geographical location that is familiar. Wherever possible, children and young people should be placed within their own community which enables them to continue to have contact with the people and community of the most importance to them, thus promoting identity and a strong sense of self, fundamental to resilience in later life. In addition, placing children in the RMBC area ensures a better oversight and control over educational provision and other support services such as Health and Community Adolescent Mental Health Services (CAMHS).

Recruitment and retention of in-house foster carers is at the heart of RMBC's LAC Sufficiency Strategy; 82% of Rotherham's LAC population are in family-based settings. In 2018/19 the Council spent £11.122m on 263 IFA placements compared to £3.188m on 167 in-house placements, equating to a 61/39% split respectively. As part of the overall strategy it is intended to change the placement mix, profiling a net increase of 36 new in-house foster placements over a 12 month period. The

proposals in this report are part of delivering that change. Increasing the number of in-house carers is critical to ensuring that Rotherham:

- Has a range of suitable placements available to meet current and future placement needs.
- Is able to reduce overall placement costs and avoid more expensive Independent Fostering Agency (IFA) and out of borough residential placements.
- Is able to meet the needs of individual children and young people in our care by creating stable, secure and high quality family placements.
- Supports children and young people in our care to maintain contact with birth families, essential services and their local community.

This revised foster carer fees and allowance payment scheme is being proposed in order to ensure that Rotherham is best placed to meet the objectives detailed above.

Recommendations

That approval be given to the implementation and changes to Foster Carer Fees and allowances as follows:

- An additional skill level fee to be paid to the carer when caring for more than one child, as set out in paragraph 2.11.
- The weekly allowance, to cover the expense involved in caring for a child, to remain the same.
- Change in payments to foster carers for birthdays, Christmas/cultural celebrations and holiday pay for the child in placement, as set out in paragraph 2.11.

List of Appendices Included

Appendix 1 Equality Assessment

Background Papers

Revised Recruitment & Sufficiency Strategy

Consideration by any other Council Committee, Scrutiny or Advisory Panel

Overview and Scrutiny Management Board – 3 July 2019

Council Approval Required

No

Exempt from the Press and Public

No

Revised Foster Carer Fees and Allowances Payment Scheme

1. Background

- 1.1 This report seeks to improve the care experience for children and young people in Rotherham by ensuring that wherever possible they are looked after in Rotherham in a foster family environment.
- 1.2 Section 22G of the Children Act (1989) requires local authorities to take strategic action in respect of those children they look after and for whom it would be consistent with their welfare for them to be provided with accommodation within their local authority area. In those circumstances section 22G requires local authorities, so far as is reasonably practicable, to ensure that there is sufficient accommodation for those children that meets their needs and is within their local authority area. This is called the Sufficiency Duty.
- 1.3 Having a range of options and identifying the right placement for each child is key to achieving placement stability and permanence. However, foster care is still the most common placement choice for children; 85% of all looked after children live with unrelated foster carers (DfE 2013). Therefore, developing a proportionately high number of fostering placements is seen as key to developing a strong sufficiency position.
- 1.4 It is well understood that the needs of children and young people can only be met effectively if they live in an environment that provides a high quality of care and support. In general this is located within a family home setting, which additionally is also the most cost effective placement. It also follows that, wherever possible, children and young people should be placed within their own community which enables them to continue to have some consistency in education and contact with the people and community of the most importance to them, thus promoting a strong sense of self, fundamental to resilience in later life.
- 1.5 Rotherham Metropolitan Borough Council currently has 648 (as of 18/06/19) children in care. Around 170 are placed with Rotherham Borough foster carers. Rotherham has a shortage of all foster placements but particularly of placements for adolescents and for larger siblings groups. There are too many children and young people placed out of borough simply because there are not enough local placements.
- 1.6 The lack of sufficient foster placements means that Rotherham relies on the use of Independent Fostering Agencies (IFAs) or residential provision, both of which are significantly more costly. This has led to a position where there is significant pressure on the external placement budget with the average cost of an IFA amounting to circa £847 per week as opposed to an in-house placement costing circa £301 per week (dependent on the additional needs of each particular looked after child). Performance data also evidences that in house placements are more likely to maintain placement stability because the social work support is more readily at hand and the placements are likely to be more local, thus maintaining links for the child with family and community which can strengthen attachments for young people.

- 1.7 Comparisons with other authorities within the Yorkshire region have been carried out and learning from the more successful recruiters has been incorporated into this proposal e.g. Leeds incentivising carers to take additional placements. The proposals in this report will increase the incentive for potential foster carers to become RMBC foster carers and also provide an incentive for foster carers (current and potential) to increase the number of children they foster.
- 1.8 Whilst foster carers who were consulted stated that finances are not their primary motivating factor it is also clear that any allowance scheme that is perceived to be inequitable can be a disincentive to prospective carers pursuing their interest in fostering within Rotherham. As a result, in formulating this revised scheme the following factors have been taken into consideration:
- A review was undertaken in February 2019 and identified that there is insufficient incentive within the current scheme to encourage carers to offer a placement to more than one child at a time.
 - RMBC continues to find it difficult to place adolescents with in-house carers. As a result, whilst there is an over-supply of placements for babies and very young children, older children have a much greater likelihood of being placed within an IFA. The proposed changes will provide increased incentive for in house foster carers to take adolescents and also children with complex needs.

2. Key Issues

- 2.1 In response to the current sufficiency position, this proposal forms part of the work to transform the local authority's in-house fostering agency 'offer'. This includes a review of Rotherham's fostering provision, including a review of the payments to foster carers. It is anticipated that some existing Rotherham foster households may be able to increase the number of children they care for and provide an opportunity to increase placements.
- 2.2 However, the Council will not meet its sufficiency of placement provision for 'Looked after Children' without attracting additional carers to foster for Rotherham as well as ensuring existing foster carers are retained and developed.
- 2.3 The consultation with foster carers at the Foster Carer Association considered the elements of the current fees and allowances as follows:
- An additional skill level fee to be paid to the carer when caring for more than one child
 - The weekly allowance, to cover the expense involved in caring for a child to remain the same (above National minimum average recommended)
 - Changes to payments to foster carers for birthdays, Christmas/cultural celebrations and holiday pay for the child in placement.

- 2.4 Whilst financial incentives are a consideration, foster carers have said that they regard the level of support received from their fostering social worker as being most critical to their fostering experience. This was also true when managing complex placements. They identified the wrap around support provided in such circumstances makes the difference to their commitment to foster for Rotherham.
- 2.5 In reviewing the fee rate and structure and developing the 'offer' it is essential to ensure the fostering service remains financially competitive, whilst supporting the recruitment and retention of more locally based foster carers.
- 2.6 The outcome of the consultation and review is that the proposed 'offer' incorporates financial incentive and ongoing good quality support, training and development for Rotherham's foster carers.
- 2.7 Revising the fees and allowances is just one part of the plan to increase and retain the in-house foster carer base. The Council is also working to improve the Transfer Protocol for carers wishing to transfer over from Independent Fostering Agencies (IFA's) as well as improve the online/social media presence. Existing and traditional recruitment strategies are failing to stimulate the required increase of in-house fostering capacity and IFAs are actively targeting Rotherham residents to become agency foster carers.
- 2.8 **Current Weekly RMBC Fees and Allowances**
- 2.9 The current fee structure is set out below. This shows that the skills payment is currently only payable for the first child. The maintenance payment is applicable to all children as are the other allowances for birthdays, etc.

Skills Payment (1st child only)

Skill level	1 st placement	2 nd placement	Subsequent placements
1	£100.00	N/A	N/A
2	£125.00	N/A	N/A
3	£175.00	N/A	N/A
4	£360.00	N/A	N/A

Maintenance Payment (per child)

Child Age	Amount
0 - 4	£133.54
5 - 10	£152.12
11 - 15	£189.37
16+	£230.30

Birthdays, Christmas and holiday allowances (per child per occasion) to be paid in addition to the above allowances:

Age	Birthday	Christmas/cultural celebrations	Holiday
0 - 4	£121.85	£182.77	£243.70
5 - 10	£137.98	£206.97	£275.96
11 - 15	£168.09	£252.13	£336.17
16 +	£ 206.25	£309.39	£412.51

2.10 Proposed Weekly RMBC Fees and Allowances

- 2.11 The proposed fee structure is set out below. The skills payment for the first child remains the same but there is an additional payment for subsequent children. The maintenance payment remains unchanged. The other allowances for birthdays, etc. has been changed marginally to align with other local authority schemes where these payments are directly linked to the value of the maintenance payments.

Skills Payment (1st child only)

Skill Level	1 st placement	2 nd placement	Subsequent placements
1	£100.00	£100.00	£50.00
2	£125.00	£125.00	£62.50
3	£175.00	£175.00	£87.50
4	£360.00	£366.00	£180.00

Maintenance Payment (per child)

Child Age	Amount
0-4	£133.54
5-10	£152.12
11-15	£189.37
16+	£230.30

Birthdays, Christmas and holiday allowances (per child per occasion) to be paid in addition to the above allowances:

Age	Birthday	Christmas/cultural celebrations	Holiday
0-4	£133.54	£133.54	£267.08
5-10	£152.12	£152.12	£304.24
11-15	£189.37	£189.37	£378.74
16 plus	£230.30	£230.30	£460.60

Options considered and recommended proposal (Foster Carer fees and allowances last reviewed in 2016)

- 3.1 Option One: Take no action. This is likely to result in a continuation of the current position where an unacceptable number of children and young people are placed in residential care, with independent providers and/or away from the

borough and 'at a distance' from their family, schools and support networks. This would have an adverse impact on outcomes for children and overall placement costs would continue to remain high. Also, the Budget approved by Council in February 2019 included a cost reduction programme linked to market management and the proposals in the report are a mechanism to deliver part of that.

- 3.2 Option Two: **(recommended option)**: To develop a foster carer 'offer' that ensures all carers are incentivised and incorporates the following: competitive financial incentives for caring for additional children/young people, good quality support and training and development. Whilst this will require changes to the payment structure, an increase in the number of these placements will be a key enabler in improving outcomes and reducing the overall cost of placement provision.
- 3.3 Option Three: To develop a foster carer offer that is comparable to other local authorities and provides a financial incentive to increase the number of in-house foster care placements. This would involve reducing the weekly allowances paid to carers with only one child in placement and the allowance paid for the two higher age groups to bring them more in line with the National Foster Care Allowances. However, whilst this option may incentivise existing carers to take an additional placement it may also create a disincentive to new carers considering fostering for Rotherham. It may also create a disincentive for carers to foster older children for whom Rotherham faces particular challenges in securing appropriate in-house foster placements and who are therefore more likely to be placed in IFA placements or in residential care.

4. Consultation on proposal

- 4.1 A consultation was undertaken with foster carers at the Foster Carer Forum in April regarding the rationale and options for a revised Fees and Allowances scheme and this has informed this proposal. The carers were presented with the 3 options described above and indicated that option 2 would be likely to incentivise the creation of additional placements. Subsequently, Supervising Social Workers have liaised directly with their carers to gain an indication of the number of carers that would want to be considered for additional placements. This exercise has also indicated that the change to fees and allowances would result in increased capacity.
- 4.2 Foster carers were very clear that their motivation to foster was not solely based on finance. However, they felt that the skills payment for additional placements was 'fair' and may provide more of an incentive to take additional placements where possible and to attract new foster carers to Rotherham.
- 4.3 Consultation has also taken place with other Local Authorities within the Yorkshire region. This evidences that the Council would be in a competitive position in terms of its offer to carers if the professional skill level fee for more than one child placed were payable. Leeds, as an outstanding Local Authority and a market leader, have adopted a similar payment model for their carers and this has increased their average placements.

5. Timetable and Accountability for Implementing this Decision

- 5.1 Subject to Cabinet approval, the revised payment structure will be introduced from 1 August 2019.

6. Financial and Procurement Advice and Implications

- 6.1 The Budget approved by Council in February 2019 stated that *“To build capacity in Rotherham to better meet the needs of looked after children, our strategy will incorporate a reviewed approach to foster carer recruitment”*. The assumptions underpinning the budget require an increase in foster placements, involving the recruitment of more foster carers as well as an increase in the average number of children that a foster carer cares for. The proposals in this report are a significant part of delivering this.
- 6.2 Based on learning from the more successful recruiters and consultation carried out with foster carers, the proposals are to maintain the maintenance payment at slightly above the national recommended level and introduce a skills payment for subsequent children along with other minor changes. Based on the current number of children placed with existing in-house foster carers, the revision to the allowances will incur additional payments of £321k per year (£136k in 2019/20) and will increase the average cost of an in house placement from £16k to £18k.
- 6.3 However, as the current average cost of an IFA placement is £44k there will be a cost reduction of £26k for each child that is placed in an in-house placement at the proposed scheme rates rather than an IFA. The revisions will incentivise foster carers to take more than one child and are expected to deliver an increase in in house foster placements of 36 per annum generating overall net financial savings in excess of £600k and potentially significantly more than this.
- 6.4 If Option 3 were agreed, the total cost of the allowances would be similar to Option 2 but would mean a reduction in the weekly payment to 35 in-house foster carers who only have one child in placement which may prove to be a disincentive to some carers and impact negatively on the projected savings. It would be unlikely that the required increase in placements would be achievable.
- 6.5 Whilst there are no direct procurement implications arising from the recommendation of the implementation and changes to Foster Carer Fees and allowances, Corporate Procurement are currently working with Children and Young People’s Services Strategic Commissioning team to plan the Procurement for Independent Foster Agencies. Therefore the outcome of these changes may inform the requirements of this procurement activity. Children and Young People’s Services Strategic Commissioning will be required to work closely with the Procurement team to identify the possible routes to market capable of delivering the Council’s objectives, whilst ensuring this is compliant with the Council’s own Contract Procedure Rules and Public Contracts Regulations 2015.

7. Legal Advice and Implications

- 7.1 The current proposals demonstrate that Rotherham Metropolitan Borough Council has implemented a fair approach in that it has considered amounts paid by neighbouring local authorities, whilst also taking into account the recommended national minimum payments for allowances, to devise proposals which will assist in improving services and carrying out its statutory duties towards its looked after children.

8. Human Resources Advice and Implications

- 8.1 No HR implications were identified from the report.

9. Implications for Children and Young People and Vulnerable Adults

- 9.1 The proposal seeks to attract additional foster carers and placements such that wherever possible, children and young people can be placed within their own community. This will enable them to continue to have contact with the people and community of the most importance to them, promoting a strong sense of self, fundamental to resilience in later life.

10. Equalities and Human Rights Advice and Implications

- 10.1 The proposed changes to fees and allowances have been developed following consultation with foster carers (at the Foster Carer Forum). The overall assessed impact is concluded to be a positive one in terms of enhanced skill level fees (for additional placements) along with good support and training. Foster carers felt that the changes were positive in terms of future recruitment and retention of foster carers.

11. Implications for Partners

- 11.1 The proposals contained in this report do bring additional financial pressures which may impact on the overall council budget. However, as they are intended to increase numbers of lower cost in-house placements, this is intended to be on an 'invest to save' basis and ultimately to be of benefit to the financial position of the council.

12. Risks and Mitigation

- 12.1 Any potential risks are mitigated by the overall change in our approach to foster recruitment and the recruitment resource identified within this report from the external communications and business development agency. This includes the revised Marketing/Recruitment strategy to specifically attract foster carers with the capacity to care for adolescents and children with more complex needs. The revised fees and allowances will form part of a number of new initiatives that we are implementing to attract and retain more in-house foster carers therefore resulting in a reduction of Independent Fostering Agency placements (IFA's). This will place us in a stronger and more competitive position as a Local Authority Fostering Service.

12.2 The revised changes will offer an inducement to potential foster carers to offer their services to Rotherham Council and it is hoped will lead to current carers considering additional placements/ placements of sibling groups. We currently have 59 foster carers with only one child in placement so potentially these carers may consider an additional placement with the implementation of this new payment scheme. Some of these carers may well not have suitable space/ accommodation, however with the Pathway to Care scheme they may be in a position to consider this.

13. Accountable Officer(s)

Jon Stonehouse, Strategic Director of Children and Young People's Services
Ian Walker, Head of Service

Approvals obtained on behalf of:-

	Named Officer	Date
Chief Executive	Sharon Kemp	24/06/19
Strategic Director of Finance & Customer Services (S.151 Officer)	Judith Badger	24/06/19
Assistant Director of Legal Services (Monitoring Officer)	Bal Nahal	20/06/19
Assistant Director of Human Resources (if appropriate)	Amy Leech	26/03/19
Head of Procurement (if appropriate)	Lorna Byne	21/06/19

Report Author: Catherine Boaler, Service Manager – Fostering & Adoption
01709 822423 or catherine.boaler@rotherham.gov.uk

This report is published on the Council's [website](#).

Initial Equality Screening Assessment

As a public authority we need to ensure that all our strategies, policies, service and functions, both current and proposed have given proper consideration to equality and diversity.

A **screening** process can help judge relevance and provide a record of both the process and decision. Screening should be a short, sharp exercise that determines relevance for all new and revised strategies, policies, services and functions.

Completed at the earliest opportunity it will help to determine:

- the relevance of proposals and decisions to equality and diversity.
- whether or not equality and diversity is being/has already been considered, and
- whether or not it is necessary to carry out an equality analysis.

Directorate: CYPs	Service area: Fostering
Lead person: Catherine Boaler (SM) Ian Walker (HOS)	Contact number: 22423 (Internal)

1. Title: Revised Foster Carer Fees and Allowances

Is this a:

☒

Strategy / Policy

☐

Service / Function

☐

Other

If other, please specify

2. Please provide a brief description of what you are screening

Rotherham Council, as a 'Child Centred Borough', which is reflected in the intention of the Children and Young People's Services Directorate to be rated 'outstanding' has in pursuit of this ambition produced a revised 'offer' for Foster Carers in regard to the fees and allowances that they receive.

This report seeks to improve the care experience for children in Rotherham by ensuring that wherever possible they are looked after in Rotherham in a foster family

environment.

Rotherham has a shortage of all foster care placements, but particularly foster care placements for adolescents and larger sibling groups. The Council places too many young people in Independent Fostering Agencies (IFA's) and residential care.

3. Relevance to equality and diversity

All the Council's strategies/policies, services/functions affect service users, employees or the wider community – city wide or more local. These will also have a greater/lesser relevance to equality and diversity.

The following questions will help you to identify how relevant your proposals are.

When considering these questions think about age, carers, disability, gender reassignment, race, religion or belief, sex, sexual orientation and any other relevant characteristics (for example socio-economic status, social class, income, unemployment, residential location or family background and education or skills levels).

Questions	Yes	No
Does the activity have implications regarding the accessibility of services to the whole community?		✓
Is there an impact for an individual or group with protected characteristics? (Discrimination, harassment or victimisation of individuals with protected characteristics)		✓
Have there been or likely to be any public concerns about the policy or proposal?		✓
Could the proposal affect how the Council's services, commissioning or procurement activities are organised, provided, located and by whom?		✓
Could the proposal affect the Council's workforce or employment practices?		✓

If you have answered **no** to all the questions above please complete **sections 5 and 6**.

If you have answered **yes** to any of the above please complete **section 4**.

4. Considering the impact on equality and diversity

If you have not already done so, the impact on equality and diversity should be considered within your proposals prior to carrying out an **Equality Analysis**.

Considering equalities and diversity will help to eliminate unlawful discrimination, harassment and victimisation and take active steps to create a discrimination free society by meeting a group or individual's needs and encouraging participation.

Please provide specific details for all three areas below and use the prompts for guidance.

- **How have you considered equality and diversity?**

(**think about** the scope of the proposal, who is likely to be affected, equality related information, gaps in information and plans to address, consultation and engagement activities (taken place or planned) with those likely to be affected)

A consultation has taken place with Foster Carers (at the Foster Carer Forum) regarding the rationale and options for a revised Fees and Allowances was undertaken and has informed this proposal.

Foster carers were very clear that their motivation to foster was not solely based on finance. However, they felt that the skills payment for additional placements felt 'fair' and may provide more of an incentive to take additional placements where possible and to attract new foster carers to Rotherham.

- **Key findings**

(**think about** any potential positive and negative impact on different equality characteristics, potential to promote strong and positive relationships between groups, potential to bring groups/communities into increased contact with each other, perception that the proposal could benefit one group at the expense of another)

- **Actions**

(**think about** how you will promote positive impact and remove/reduce negative impact)

Date to scope and plan your Equality Analysis:

Date to complete your Equality Analysis:	
Lead person for your Equality Analysis (Include name and job title):	

5. Governance, ownership and approval

Please state here who has approved the actions and outcomes of the screening:

Name	Job title	Date
AILSA BARR	ACTING ASSISTANT DIRECTOR	10/05/19

6. Publishing

This screening document will act as evidence that due regard to equality and diversity has been given. If an Equality Analysis is not required the screening document will need to be published.

If this screening relates to a **Key Delegated Decision, Executive Board, full Council** or a **Significant Operational Decision** a copy should be emailed to Corporate Governance **(to include contact)** and will be published along with the relevant report.

A copy of **all** screenings should also be sent to Zaidah.ahmed@rotherham.gov.uk For record keeping purposes it will be kept on file (but not published).

Date screening completed	10/05/19
If relates to a Key Decision - date sent to Cabinet	10/05/19
Date screening sent to Equalities Officer Zaidah.ahmed@rotherham.gov.uk	10/05/19

Committee Name and Date of Committee Meeting

Cabinet – 8 July 2019

Report Title

Advice Services Review - Phase Two

Is this a Key Decision and has it been included on the Forward Plan?

Yes

Strategic Director Approving Submission of the Report

Shokat Lal, Assistant Chief Executive

Report Author(s)

Steve Eling, Policy and Partnership Officer
01709 254419 or steve.eling@rotherham.gov.uk

Jackie Mould, Head of Performance, Intelligence and Improvement
01709 823618 or jackie.mould@rotherham.gov.uk

Ward(s) Affected

Borough-wide

Executive Summary

Continuing austerity and the impact of welfare reforms including the roll out of universal credit is having a significant effect on many of the most vulnerable Rotherham residents especially people with disabilities and families with children. The provision of good quality advice services provides essential support particularly for those individuals and families experiencing difficulties arising from:

- Bedroom tax;
- Benefit cap;
- Sanctions;
- Employment Support Allowance;
- Appeals for Personal Independence Payments; and
- Debt.

The need for advice support continues to increase with many individual cases covering a number of complex issues which require specialist help and guidance.

In response to this growing challenge the Cabinet agreed on the 16th October to undertake a comprehensive review of advice services provided by the Council or in partnership with other organisations, primarily in the voluntary sector. The review aims were to secure responsive and effective service provision meeting growing complex needs for these services, with maximum efficiency within the challenging budgetary climate.

The review was set in the context of significant need for advice services by the residents of Rotherham and the first phase of the review was to bring together arrangements for Council supported open door advice provided in the voluntary sector.

This achieved the co-design of services in accordance with the “Rotherham Compact”, where Citizens Advice Rotherham and District (CARD), liaising with the Advice in Rotherham Partnership (AiR), produced a single Service Level Agreement (SLA) with the Council that included services provided by both CARD and Kiveton Park Independent Advice Centre. The amount agreed by Cabinet for commissioned advice services was £240,000 per annum for a three year period commencing from April 2018.

The second phase of the review, addressed in this report includes advice services provided directly by the Council and the enhancement of partnership working through AiR.

Proposals now include bringing together under one management Council provided advice services and enhancing partnership working and referral systems. This will provide a more efficient set of inter-related services and improve access and referral routes for clients through a new “Single Advice Model”.

It is projected that bringing services under one management can be achieved by September 2019.

Some of the components of the “Single Advice Model” including a new referral system are now being introduced. Further enhancements to partnership working will be developed in discussion with partners.

The changes can be achieved within existing budget and staffing allocations.

Recommendations

1. That the management of Council provided advice services be consolidated under the management of Housing Services within the Adult Social Care & Housing Directorate and co-location within Riverside House by September 2019.
2. That the role of partnership working through the Advice in Rotherham Partnership (AiR) be enhanced to provide full alignment and added value across advice services in Rotherham through the introduction of a new “Single advice model.”

List of Appendices Included

None

Background Papers

Report to Cabinet – 16th October 2017.

Consideration by any other Council Committee, Scrutiny or Advisory Panel

Overview and Scrutiny Management Board – 3 July 2019

Council Approval Required

No

Exempt from the Press and Public

No

Advice Services Review – Phase Two

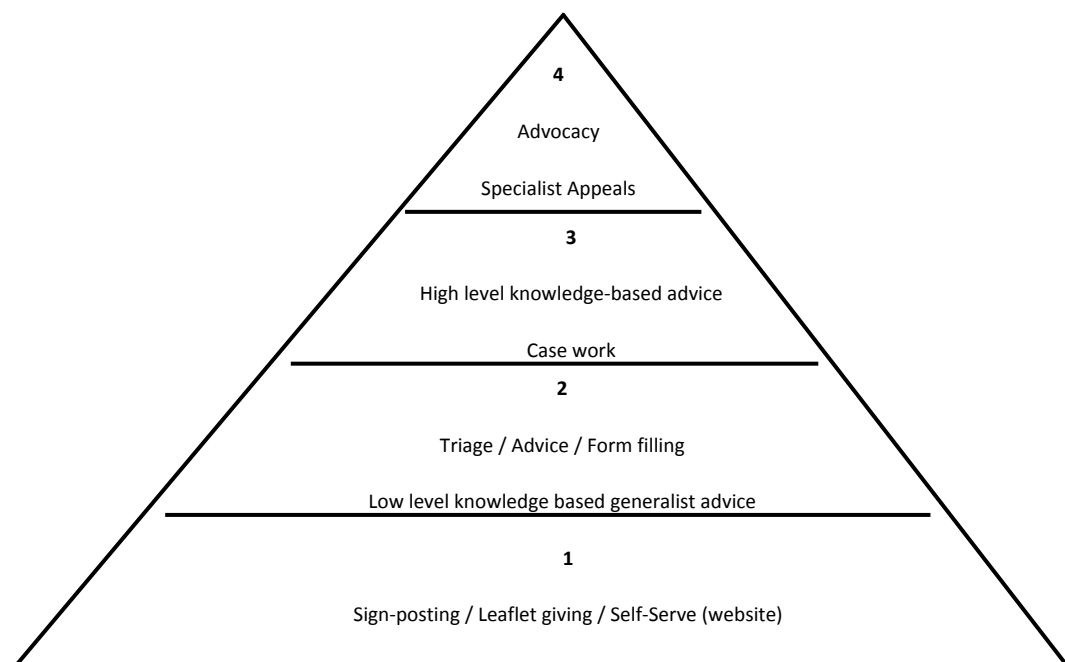
1. Background

- 1.1 At the meeting of Cabinet on 16th October 2017 it was agreed to undertake a comprehensive review of advice services provided by the Council or in partnership with other organisations, primarily in the voluntary sector. The review was commissioned in response to the growing number of vulnerable people in Rotherham experiencing difficulties arising from welfare reforms and continuing austerity.
- 1.2 The Council remains committed to working in partnership with others to provide support to our most vulnerable residents particularly during times of economic hardship. The pressure on Council resources and the complexity of advice support cases point to the need to refresh the advice offer in Rotherham to ensure that resources can be used more efficiently to meet growing need.
- 1.3 This first phase of the review brought together arrangements for Council supported open door advice provided in the voluntary sector. This was achieved through the development of a co-design where Citizens Advice Rotherham and District (CARD), liaising with the Advice in Rotherham Partnership (AiR), produced a single Service Level Agreement (SLA) with the Council.
- 1.4 The second phase of the review, addressed in this report includes advice services provided directly by the Council and the enhancement of partnership working through the Advice in Rotherham Partnership (AiR).

2. Key Issues

- 2.1 Welfare reform, low income, job insecurity and long term health issues, along with other social and economic factors, continue to create considerable challenges and stress for the people of Rotherham.
- 2.2 The annual real terms loss to Rotherham people from welfare reform measures was estimated by Sheffield Hallam University at £66 million in 2016/17 and is projected to double to £132 million by 2020/21. The movement of thousands of households from benefits such as Employment Support Allowance (ESA) and Income Support onto Universal Credit by 2023 is expected to cause difficulties for many as is the ongoing movement of disabled people from Disability Living Allowance (DLA) to Personal Independence Payments (PIP). The current benefit freeze means that 39,000 households will be £487, on average, worse off in real terms by 2021. The lower benefit cap affects 950 households with the average loss being £2,500. People claiming benefits and tax credits are under increasing pressure with inflation running at between 2% and 3% and are likely to need advice to maximise their entitlement and avoid growing debt.
- 2.3 Whilst the advice review phase one brought together the open door advice services provided in the voluntary sector through co-design and SLA, the overall advice provision remains fragmented and difficult to navigate for service users, who are often among the most vulnerable in society.

- 2.4 The services are also largely unreformed, and whilst many of the issues facing residents remain the same, the overall provision is not fully fit for purpose to meet current and future demands.
- 2.5 The objectives taken forward in the phase two review are to develop service models and partnership working across agencies that deliver a seamless set of services to the residents of Rotherham, better meeting their needs and within the organisational and resource capacity available. This will especially benefit residents who require the support of more than one advice organisation, which is often the case, bringing added value to service delivery.
- 2.6 The scope of the review takes in all welfare and financial advice and support including benefit advice with the exception of where this may form part of mainstream social work support to families or children's centres.
- 2.7 The current advice provision either provided by or contracted by the Council can be summarised in the following five formats which encompass a complex range of services and funding arrangements:
- Housing Financial Inclusion services to council tenants;
 - Housing Advice Team resettlement officers;
 - Age UK provided support for claiming Attendance Allowance;
 - Advocacy and Appeals Service - specialist debt and welfare benefits advice;
 - Advocacy and Appeals Service - Macmillan Benefit Advice Service;
- 2.8 The range of services provided by the Council are very different to the “open door” services supported through voluntary sector provision by Citizens Advice Rotherham & District (CARD) etc; with services either being for specific client groups or directed towards specific rather than generalist advice.
- 2.9 Levels of Social Welfare Law Advice - information and advice on various aspects of Social Welfare Law is offered under a hierarchy of four levels, depending on the level of complexity and knowledge required, as illustrated in the diagram below:



- 2.10 Level four services are provided by the Council's Advocacy and Appeals Services.
- 2.11 Level three services are delivered both through the Council and the voluntary sector provides a range of services mainly focussed on:
- Debt;
 - Housing;
 - Employment;
 - Education;
 - Consumer;
 - Immigration;
 - Welfare;
 - Family; and
 - Legal.
- 2.12 Services for Council Tenants are provided by the Financial Inclusion Team within Housing Services, funded by £699,449 from the Housing Revenue Account (HRA). The team seeks to secure sustainable tenancies, especially in light of the threat to rent income from the roll-out of Universal Credit and increased arrears levels. Part of the support is a pre-tenancy stage where work is done to ensure that people will be able to sustain their tenancy. Increased capacity has also been put in to address income recovery with financial inclusion. Much of this work is preventative seeking to avoid arrears arising in the first place and maximising income to the HRA. In addition, a £60,000 contract with Age UK funded from HRA further supports council tenants over 65 to access their benefit entitlement with support for claiming Attendance Allowance and other benefits.
- 2.13 The Advocacy and Appeals Service is currently managed within the Regeneration and Environment Directorate and has a budget of £151,825 the service provides specialist debt and welfare benefits advice, mainly at level four including appeals against Department for Work and Pensions (DWP) decisions not to award benefits. Its services are open to all although many clients are referred from other services. Housing makes referrals to the service, which is part funded through a £80,000 contribution from the HRA. Whilst support is generalist, it is not designed as fully open door in the way that the voluntary sector providers are.
- 2.14 The outcomes gained by the service are largely to protect and/or increase the incomes of people who rely on benefits, which helps to maximise HRA income, benefits disadvantaged people and families, and supports the local economy. Benefits gained for Rotherham residents from the Advocacy and Appeals in 2017/18 were £559,015 lump sum payments, £23,057 Discretionary Housing Payments (DHPs), and £1,006,774 weekly income, a total of £1,588,846.
- 2.15 Other services are more specialist, either focussed on a specific client group or in specific circumstances. The Advocacy and Appeals Macmillan Benefit Advice Service is funded by £101,384 from Macmillan Cancer Support to provide a broad ranging advice service for people affected by cancer and long term, life limiting health conditions. Access to the service is provided through hospital appointments, ward visits, office appointments and telephone advice. Access to

the service is by referral from any other professional working on the case or self-referral.

2.16 Until recently, the Council was providing “Universal Support” funded by the Department for Work and Pensions (DWP) for people claiming Universal Credit. This was in two categories.

- “Digital Support” helping people to make an on-line claim; and
- Budgeting support, helping people manage monthly budgeting where it has been assessed that support is needed or where they have fallen into arrears on rent etc.

From 1st April 2019, DWP entered into a national contract with Citizens Advice to provide what they describe as a “new service” focused on supporting people making claims rather than budgeting support. In Rotherham CARD provide a “Help to Claim” service at Rotherham Jobcentre on Mondays to Thursday. There is provision on alternate Fridays at either Dinnington or Maltby Jobcentres. Housing Services will continue to provide some HRA funded budgeting support through its tenancy support and money advice functions. The digital support offer will remain too as will locating staff at the Jobcentre. The services provided to support Universal Credit claimants will be kept under review.

3. Key Issues

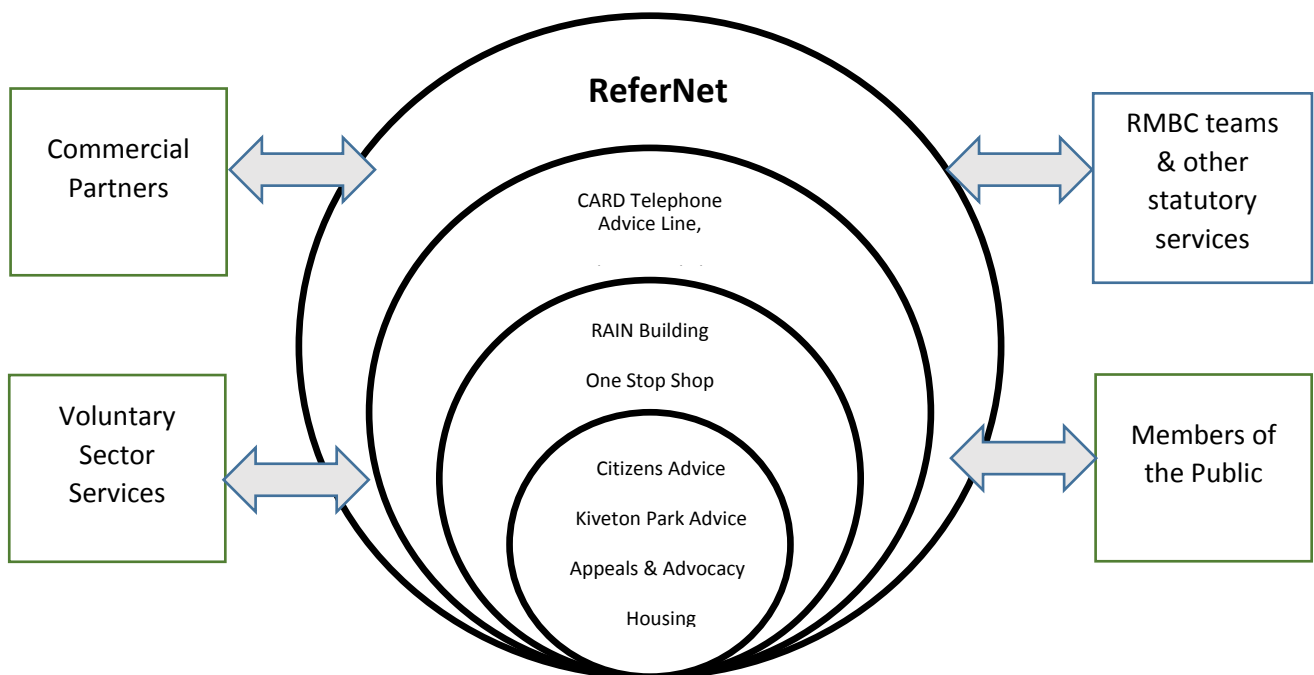
- 3.1 The demand for the full range of advice service continues with increasing complexity of cases presented.
- 3.2 As part of the broader review of advice services, AiR were asked to consider how advice in Rotherham might be shaped going forward. This resulted in a paper being produced by CARD in August 2017 which looked at options around co-location, website and other joint working arrangements.
- 3.3 A problem that the report identified was that advice services had minimal interconnectivity with clients often signposted from pillar to post, repeating their story to different people in the hope that eventually someone will take on their case. It also found that there is no co-ordinated oversight of advice in Rotherham, no centralised process for a quality client referral journey and finally no way for the client to know where to go for what advice, or to what extent an individual agency is quality assured.
- 3.4 The main challenges facing advice services looking forward relate to the ongoing roll-out of Universal Credit, including a potential increase in rent arrears, dealing with other impacts of welfare reform such as the benefit freeze and roll-out of PIP, debt and the need for crisis support. Working groups at both strategic and operational levels, drawing in people from across the Council and key external agencies are identifying and dealing with issues arising from Universal Credit.
- 3.5 There is a significant opportunity to add value through changes to working models including bringing together Council provided advice services under a single management and enhanced partnership working across the broader range of advice agencies.

4. Options considered and recommended proposal

4.1 Three options have been considered:

- 4.2 Option one is to scope and examine the full effectiveness of advice provision within the Council and contracted services, which is the scope of phase two of the review; and in external advice agencies including crisis support. It may be worth considering how advice provision aligns to the Council's functions of providing discretionary support through housing payments and Council Tax relief. Cross service working is already in place as is cross service funding but there is potential for greater integration, bringing together advice services provided by the Council that would streamline management and give a greater overview of the services provided. The disadvantage with this option would be that it would not achieve full alignment with advice services provided in the voluntary sector including those funded by the Council.
- 4.2 Option two would be to integrate advice services provided by both the Council and CARD into a single service which could be co-located at a suitable central location such as Riverside House, taking forward the "Single Advice model". This would require a more detailed review of the levels and types of advice to be provided and the job roles required to deliver such a comprehensive advice service. The ambition for the future would be for a co-located model which would provide the full breadth of support from one place. Whilst not yet at this stage we would also wish to explore making access to the range of partnership advice services easier including the possibility of a single telephone number and website.
- 4.3 Option three (preferred option) would take forward the new "single Advice Model" through developing a collaborative approach of sharing provision, capacity and resource where it delivers benefits to do so. It would also bring together the Council in-house provision into one service. The role of the Advice in Rotherham Partnership would also be enhanced in this approach to provide an overarching framework and leadership. This would also support outreach and "surgery" style provision to enhance accessibility to the range of services available. As part of this option the aim would be to continue to provide services in different locations across the Borough making outreach an integral part of the new model. Co-location would still be an option in the future
- 4.4 Option three is the preferred option from the review along with combining teams within the Council. In recommending the combining of teams attention is required to problems that could result from separating the roles of tenancy support from financial inclusion. Advocacy and Appeals is part funded by HRA so there is already a link with Housing. The most practical solution therefore is to group Advocacy and Appeals with Financial Inclusion and Tenancy Support, as part of the Housing Income Service within the Adult Social Care & Housing Directorate and co-location within Riverside House by September 2019. This will retain the integrity of the Housing related advice services whilst realising the benefit of co-ordination across the services; and maintaining corporate service provision within the new arrangements.

4.5 The option also takes forward the “Single Advice Model”



4.6 Key tools such as “Refernet” are already in place but not yet being used by all services. Those providing levels one and two advice should be able to access this to directly book people into advice as well as signposting. The full alignment and added value to be achieved through partnership working will probably require facilitated evolutionary change around a common agenda. The strategic approach will need to centre on added value with the range of providers participating through mutual benefit. A programme of action will need to be developed with the Advice in Rotherham (AiR) partnership which includes representatives from a range of organisations including Citizen’s Advice Rotherham and District, Rotherham Ethnic Minority Alliance (REMA), Clifton Learning Partnership (CLP), Age UK Rotherham, Voluntary Action Rotherham, Kiveton park Independent Advice centre, Live Inclusive and the British Red Cross.

5. Consultation

- 5.1 Consultation has taken place with the Advice in Rotherham Partnership that resulted in the development of the “Single Advice model” proposal.
- 5.2 Within the Council discussions have taken place with service managers to identify the actions and management arrangements to take the preferred option forward.

6. Timetable and Accountability for Implementing this Decision

- 6.1 It is planned to have achieved the bringing together of services under single management and co-location within Riverside House by September 2019.

- 6.2 Refernet is already being rolled out as part of the “Single Advice Model”. Discussions will be held with the AiR Partnership on developing and implementing other service alignment and enhancements.

7. Financial and Procurement Implications

- 7.1 The proposed changes can be met from within existing budgets.

8. Legal Implications

- 8.1 The provision of the advice services covered by this report are discretionary, enabled by the General Power of Competence provided for by Section 1 of the Localism Act 2011 (c. 20). Advice services provided under the statutory provisions of Section 4 of the Care Act 2014 (c. 23) and advice provisions contained in the Children Act 1989 (c. 41) are not included.

9. Human Resources Implications

- 9.1 Although there will be no impact on staff's terms and conditions of employment as a result of the preferred recommendation, there will be a change in the line management arrangements for staff within the Advocacy and Appeals service with the proposal to move them from the Regeneration and Environments Directorate to the Adult Care Housing and Public Health Directorate. Therefore staff engagement and information sharing will be required with affected staff regarding this change.

10. Implications for Children and Young People and Vulnerable Adults

- 10.1 Many of the users of advice services will be from families with children and vulnerable adults, many with disabilities. Continuation of the advice services is important to safeguarding and is consistent with being a child friendly borough.

11 Equalities and Human Rights Implications

- 11.1 Advice services provide support to a wide range of people and the future provider(s) will need to identify how they can support individuals with protected characteristics under the Equalities Act and to address the potential for any disproportionate detrimental impact as a result of the new arrangements.
- 11.2 An Initial Equality Screening Assessment has been undertaken. The screening at this stage in the process relates only to movement of the Advocacy & Appeals to the management of Housing and proposals for enhanced partnership working. It does not cover potential future service developments but the changes proposed at this stage will enable proposals to be brought forward for future service enhancements, which will have a positive impact for service users, and in doing so improve equalities outcomes too. An Equalities Assessment will be undertaken on the future service enhancements.

12. Implications for Partners and Other Directorates

- 12.2 Issues for both Council services and partnership working are set out in the report.

13. Risks and Mitigation

- 13.1 There is the risk that the collaborative approach of the “Single Advice Model” fails through disagreement between the parties. This will be mitigated by working with a strategic approach that centres on added value with the range of providers participating through mutual benefit.

14. Accountable Officer(s)

Shokat Lal, Assistant Chief Executive

Approvals obtained on behalf of:-

	Named Officer	Date
Chief Executive	Sharon Kemp	24/06/19
Strategic Director of Finance & Customer Services (S.151 Officer)	Judith Badger	21/06/19
Assistant Director of Legal Services (Monitoring Officer)	Bal Nahal	21/06/19
Assistant Director of Human Resources (if appropriate)	Theresa Caswell	18/03/19
Head of Procurement (if appropriate)	N/A	N/A

Report Author: *Steve Eling, Policy and Partnership Officer*
 01709 254419 or steve.eling@rotherham.gov.uk

Jackie Mould, Head of Performance, Intelligence and Improvement
01709 823618 or jackie.mould@rotherham.gov.uk

This report is published on the Council's [website](#).

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Initial Equality Screening Assessment

As a public authority we need to ensure that all our strategies, policies, service and functions, both current and proposed have given proper consideration to equality and diversity.

A **screening** process can help judge relevance and provides a record of both the **process** and **decision**. Screening should be a short, sharp exercise that determines relevance for all new and revised strategies, policies, services and functions.

Completed at the earliest opportunity it will help to determine:

- the relevance of proposals and decisions to equality and diversity.
- whether or not equality and diversity is being/has already been considered, and
- whether or not it is necessary to carry out an equality analysis.

Directorate: Assistant Chief Exec	Service area: PII
Lead person: Jackie Mould; Steve Eling	Contact number: 54419

1. Title: Advice Services Review Phase Two

Is this a:

☒ **Strategy / Policy**
☒ **Service / Function**
☐ **Other**

If other, please specify

2. Please provide a brief description of what you are screening

The second phase of the advice services review includes advice services provided directly by the Council and the enhancement of partnership working through the Advice in Rotherham Partnership (AiR).

Proposals now include bringing together under one management Council provided advice services and enhancing partnership working and referral systems. This will provide are more efficient set of inter-related services and improve access and referral routes for clients through a “Universal Door”

The screening at this stage in the process relates only to movement of the Advocacy & Appeals to the management of Housing and proposals for enhanced partnership working. It does not look at potential future service changes arising. However, the changes being proposed at this stage will

enable proposals to be brought forward for future service enhancements, which will have a positive impact for service users, and in doing so improve equalities outcomes too. An Equalities Assessment will be undertaken on the future service enhancements.

3. Relevance to equality and diversity

All the council's strategies/policies, services/functions affect service users, employees or the wider community – city wide or more local. These will also have a greater/lesser relevance to equality and diversity.

The following questions will help you to identify how relevant your proposals are.

When considering these questions think about age, carers, disability, gender reassignment, race, religion or belief, sex, sexual orientation and any other relevant characteristics (for example socio-economic status, social class, income, unemployment, residential location or family background and education or skills levels).

Questions	Yes	No
Is there an existing or likely differential impact for the different equality characteristics?		x
Have there been or likely to be any public concerns about the policy or proposal?		x
Could the proposal affect how our services, commissioning or procurement activities are organised, provided, located and by whom?	x	
Could the proposal affect our workforce or employment practices?	x	
Does the proposal involve or will it have an impact on • Eliminating unlawful discrimination, victimisation and harassment • Advancing equality of opportunity • Fostering good relations		x

If you have answered **no** to the questions above please complete **sections 6 and 7**

If you have answered **yes** to any of the above and;

- Believe you have already considered the impact on equality and diversity within your proposal please go to **section 4**.
- Are not already considering the impact on equality and diversity within your proposal please go to **section 5**.

4. Considering the impact on equality and diversity

If you can demonstrate you have considered how your proposals impact on equality and diversity you have carried out an impact assessment.

Please provide specific details for all three areas below (use the prompts for guidance).

- **How have you considered equality and diversity?**

(think about the scope of the proposal, who is likely to be affected, equality related information, gaps in information and plans to address, consultation and engagement activities (taken place or planned) with those likely to be affected)

The proposals at this stage in the advice services review are about bringing staffing functions together within the Council and starting a discussion about enhanced partnership working around advice.

Data collected by the main advice service providers shows that demand for advice services across a range of equality considerations that need to be taken account of in developing the new partnership "Single Advice Model".

Data of service users at CARD / Kiveton Park shows that:

Male / Female split is about 45% to 55%

People with disabilities 32%

Age profile up to 25, 6.5%; 25 to 64, 83%; and over 65, 10%

Ethnic background:

White British 83%

White other 4.5%

Asian 5.1%

Black 2.6%

Mixed 1.4%

Other 3.1%

However, when these are compared to data for people accessing Food in Crisis, there is a disparity that suggests that the new model could potentially reach need that is currently unmet. Data for access to Food in Crisis shows:

White British 77.5%

White other 2.2%

Asian 4.1%

Black 6.1%

Mixed 0.1%

Other 10%

- **Key findings**

(think about any potential positive and negative impact on different equality characteristics, potential to promote strong and positive relationships between groups, potential to bring groups/communities into increased contact with each other, perception that the proposal could benefit one group at the expense of another)

See above.

- **Actions**

(think about how you will promote positive impact and remove/ reduce negative impact)

The proposals create a new platform both within the Council and working with partners to review future provision.

Work to date shows that the main challenges facing advice services looking forward relate to the ongoing roll-out of Universal Credit, including a potential increase in rent arrears, dealing with other impacts of welfare reform such as the benefit freeze and roll-out of PIP, debt and the need for crisis support. Working groups at both strategic and operational levels, drawing in people from across the Council and key external agencies are identifying and dealing with issues arising from Universal Credit.

There is a significant opportunity to add value through changes to working models including bringing together Council provided advice services under a single management and enhanced partnership working across the broader range of advice agencies.

Research undertaken as part of Phase one of the advice services review that addressed services provided in the voluntary sector identified user demand including equalities related details around demand for services and service users. Both the internal structural changes and the enhanced partnership working will allow for a new baseline to be established to enable services to better focus on need and vulnerable people with a full assessment of equality impacts.

It is developing the “Single Advice Model” that is the principal change opportunity to address equalities issues.

The Advice in Rotherham Partnership will take forward the full equalities analysis as part of putting in place the “Single Advice Model”. The Partnership is well placed to undertake this work. It consists of a range of organisations, which are:

- Citizen’s Advice Rotherham and District;
- Rotherham Ethnic Minority Alliance (REMA);
- Clifton Learning Partnership (CLP);
- Age UK Rotherham;
- RMBC Assistant Chief Executive’s Directorate;
- RMBC Advocacy and Appeals Team;
- Voluntary Action Rotherham;
- Kiveton park Independent Advice centre;
- Live Inclusive;
- British Red Cross;
- Apna Haq;
- Rotherham Rise; and
- Active Independence.

These providers already cover the full range of equality and diversity issues. From broad open door advice providers, open to all, there are specialist and specific provision services tailored to needs and requirement around age related issues; support for women; people with disabilities; support tailored to ethnic background

including support around immigration and asylum seekers. Making connections through enhanced partnership working will potentially enable better “outreach” and surgery style service provision that may remove barriers to accessing service provision.

The Partnership will be able to bring together the range of service providers and assessment of needs and barriers to access to services to produce a full Equalities Assessment as part of developing the “Single Advice Model”. The full scope and timing will be agreed as part of developing the model.

5. If you are **not already considering the impact on equality and diversity you **will need to carry out an Equality Analysis****

Date to scope and plan your Equality Analysis:	Autumn 2019
Date to complete your Equality Analysis	Subject to scoping
Lead person for your Equality Analysis (Include name and job title)	Jackie Mould

6. Governance, ownership and approval

Please state here who has approved the actions and outcomes of the screening

Name	Job title	Date
Jackie Mould	Head of PII	29 th March 2019

7. Publishing

This screening document will act as evidence that due regard to equality and diversity has been given. If you are not carrying out an independent Equality Analysis the screening document will need to be published.

If this screening relates to a **Key Delegated Decision, Executive Board, full Council** or a **Significant Operational Decision** a copy should be emailed to Corporate Governance and will be published along with the relevant report.

A copy of **all other** screenings should be sent to Zaidah.ahmed@rotherham.gov.uk. For record keeping purposes it will be kept on file (but not published).

Date screening completed	21 st June 2019
If relates to a Key Decision - date sent to Cabinet	21 st June 2019

Any other decision – date sent to Equalities Officer Zaidah.ahamed@rotherham.gov.uk	21 st June 2019
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Committee Name and Date of Committee Meeting

Cabinet – 08 July 2019

Report Title

Council Plan Quarter 4 (January to March 2019) and 2018-2019 Annual Performance Report

Is this a Key Decision and has it been included on the Forward Plan?

No, but it has been included on the Forward Plan

Strategic Director Approving Submission of the Report

Shokat Lal, Assistant Chief Executive

Sharon Kemp, Chief Executive

Report Authors

Simon Dennis, Corporate Risk Manager

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Jackie Mould, Head of Performance, Intelligence and Improvement,

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Ward(s) Affected

Borough-wide

Report Summary

The Council Plan is the core document that underpins the Council's overall vision. The Plan sets out the headline priorities, outcomes and measures that will demonstrate delivery of the vision. The process for monitoring performance against the vision is set out in the Council's Performance Management Framework which explains to all Council staff how robust performance monitoring should be carried out.

The Council Plan for the period 2017-2020 was approved by Elected Members at the RMBC Council meeting on 12th July 2017. Refreshed performance measures covering the 2018-2019 financial year were approved by Cabinet on 21st May 2018. Refreshed measures for the 2019-2020 financial year were approved by Cabinet on 20th May 2019 and are to be adopted by Council in July 2019.

To ensure that the delivery of actions and their impact is assessed, formal quarterly performance reports are presented in public at Cabinet meetings, with an opportunity

for Scrutiny consideration if required. This report is the fourth and final report in the 2018-2019 reporting cycle covering quarter four (1st January 2019 to 31st March 2019).

The Performance Report and Performance Scorecard included in Appendix A provides an analysis of the Council's current performance against 14 key delivery outcomes and 70 measures. This report is based on the currently available data and also includes an overview of progress on key projects and activities which contribute to the delivery of the Council Plan. For this quarter, the report also includes a summary of the Council's achievements during the financial year.

At the end of the fourth and final quarter (January to March 2019) 34 measures had either met or had exceeded the target set in the Council Plan. This represents 58% of the total number of measures where data is available or where targets have been set. This is the highest percentage of performance measures that the Council has hit for a number of years and represents a significant improvement in performance over previous quarters, as only 47%, 45% and 42% of measures hit their targets in quarters one, two and three respectively. The priority area with the highest proportion of targets met is Priority 4 (Extending opportunity, Prosperity and planning for the future) where 75% of measures are marked as on target.

The direction of travel is positive for 32 (51%) of the measures calculated in this quarter. This is a deterioration compared to the 58% figure for last quarter and suggests that, although there has been an increase in the number of targets marked as "hit", there are an increasing number of measures where performance is stable or worsening.

Recommendations

1. That the overall position and direction of travel in relation to performance be noted
2. That consideration be given to measures which have not achieved their target and the actions required to improve performance, including future performance clinics
3. That the performance reporting timetable for 2019-2020 be noted.
4. That the achievements for 2018-2019 be noted.

List of Appendices Included

- Appendix 1 Quarter 4 (January to March 2019) Performance and 2018-2019 Annual Performance Report
- Appendix 2 Initial Equality Screening Assessment.

Background Papers

- 'Views from Rotherham' report, October 2015
- Performance Management Framework 2016-2017
- RMBC Council Plan 2017-2020 – Cabinet Agenda 25th June 2017 and revised indicators for 2018-2019 – Cabinet Agenda 21st May 2018
- Corporate Performance Report 2018-2019 Quarter 1 – Cabinet Agenda 17th September 2018

- Corporate Performance Report 2018-2019 Quarter 2 – Cabinet Agenda 17th December 2018
- Corporate Performance Report 2018-2019 Quarter 3 – Cabinet Agenda 18th March 2019.

Consideration by any other Council Committee, Scrutiny or Advisory Panel
Overview and Scrutiny Management Board – July 2019

Council Approval Required

No

Exempt from the Press and Public

No

Corporate Plan 2018-2019 Quarter 4 and Annual Performance Report

1. Background

- 1.1 The current Council Plan, which covers the period 2017 to 2020, was approved by Members on 12th July 2017. Refreshed performance measures, covering the 2018-2019 financial year, were approved by Cabinet on 21st May 2018.
- 1.2 This is the fourth quarterly Performance Report for 2018-2019. The last report, covering quarter 3 of 2018-2019, was reported to the Cabinet meeting on 18th March 2019.
- 1.3 Service Plans have been produced to ensure a 'golden thread' runs from the Council Plan through to each service as well as the PDR process. These help to develop a consistent approach across the Council.

2. Key Issues

- 2.1 The Council Plan includes 70 measures. The measures sit under 14 key delivery outcomes, which form the priority actions under each of the vision priorities:
 - *Every child making the best start in life*
 - *Every adult secure, responsible and empowered*
 - *A strong community in a clean, safe environment*
 - *Extending opportunity, prosperity and planning for the future*

These four priorities are underpinned by a fifth, cross-cutting commitment to be *a modern and efficient Council*.

- 2.2 The 2017-2020 Council Plan sets out the vision, priorities and measures to assess progress. Through the guidance and direction set out in the supporting Performance Management Framework, relevant plans are in place at different levels of the organisation to provide the critical 'golden thread' that ensures everyone is working together to achieve the Council's strategic priorities.
- 2.3 The Quarter 4 Performance Report (Appendix A) sets out how the Council has performed in the final quarter of 2018-2019 (1st January to 31st March 2019) to deliver the five headline priorities for Rotherham as set out in the Council Plan for 2017-2020. The report provides an overview of progress and exceptions, highlighting good and improved performance as well as areas of concern. The report also includes wider information, key facts and intelligence such as customer feedback, quality assurance, external regulation and specific case study information to demonstrate what has been achieved to deliver the vision. For the first time this year, the report includes a range of performance highlights from the whole year, which summarises the principal achievements of the Council during 2018-2019.

- 2.4 The Quarter 4 Performance Scorecard data, which is included within the Performance Report at Appendix A, provides an analysis of the Council's performance against each of the 70 performance measures. Based on the frequency of reporting and targets set each of the measures are rated as follows:

Overall status (relevant to target)



Measure progressing above or in line with target set



Measure progress has been satisfactory but is not fully reaching target set



Measure has not progressed in accordance with target set



Measure under development (e.g. awaiting data collection or target-setting)



Measure not applicable for target (e.g. baseline year, or not appropriate to set a specific target)



Measure information not yet available (e.g. due to infrequency or timing of information/data)

Direction of travel (dependent upon whether good performance is high or low)



Numbers have improved



Numbers are stable



Numbers have got worse



Direction of travel not applicable

- 2.5 The status and direction of travel for the fourth and final quarter (January to March 2019) is based on annual performance and 34 measures had either met or had exceeded the target set in the Council Plan. This represents 58% of the total number of indicators where data is available or where targets have been set. This is a significant increase in performance when compared to Quarter 3 (42%) and is the highest performance level that the Council has achieved for a number of years. The priority area with the highest proportion of targets met is Priority 4 (Extending opportunity, Prosperity and planning for the future) where 75% of measures are marked as on target, however Priority 5 (A modern, efficient Council) saw 73% of its measures marked as on target.

- 2.6 The direction of travel is positive for 32 (51%) of the measures calculated in this quarter. This is a deterioration compared to the 58% figure for last quarter and suggests that, although there has been an increase in the number of targets marked as “hit”, there are an increasing number of measures where performance is stable or worsening. It is particularly encouraging that Priority 1 (Every child making the best start in life) continues to record proportionately the strongest positive direction of travel in the Council reflecting the Council’s continued investment in Children’s Services. Performance will continue to be kept under review during 2019-2020, and reported publically, in order to ensure that the Council’s direction of travel remains positive.
- 2.7 The performance report at Appendix A includes a high level overview of progress against each of the priority outcomes and highlights key achievements by the Council in the period; a graphical interpretation of each priority area, with the “Scorecard” information included within the body of the report. All of the 70 indicators in the Council Plan are given equal priority and this is reflected in both the narrative report and the scorecard. The final pages of the report include a number of significant case studies, alongside a timeline of achievements in the quarter and a summary of the key Council achievements in the financial year 2018-2019.
- 2.8 The Council Plan for 2017-2020 focuses on indicators that can be measured monthly or quarterly. To ensure that the Plan is managed effectively, formal quarterly performance reports will continue to be presented to Cabinet meetings for the next financial year, as follows:
- Quarter 1 Performance Report (performance to end June 2019) – 16th September 2019
 - Quarter 2 Performance Report (performance to end September 2019) – 16th December 2019
 - Quarter 3 Performance Report (performance to end December 2019) – 23rd March 2020
 - Quarter 4 and Year-end Performance Report (performance to end March 2020) – June/July 2020 (exact date TBC).

3. Options considered and recommended proposal

- 3.1 It is recommended that Cabinet review the overall position, direction of travel and general progress made to deliver against the key delivery outcomes and provide feedback regarding what action is required in relation to areas of poor performance.

4. Consultation

- 4.1 During the summer of 2015 the Council consulted with 1,800 members of the public through the ‘Views from Rotherham’ consultation in order to listen to their views and vote on their priorities for the future. 800 members of staff also attended staff briefing sessions delivered by the Leader and Chief Executive and regular discussions were held with Strategic and Assistant Directors, M3 Managers, Cabinet Members and Commissioners. This Corporate Plan was presented to Overview and Scrutiny Management Board on 26th November

2015 and formally considered by members at the Council meeting on 9th December 2015 and approved on 13th July 2016.

- 4.2 The quarterly reporting template and performance scorecard was developed in consultation with performance officers, the Strategic Leadership Team and Cabinet Members.
- 4.3 The Council continues to consult with members of the public to ensure that the Council understands what is important to them and the priorities reflect the views of Rotherham residents. Examples include:
- Consultation takes place annually in relation to the Council's budget. From 26th October 2018 to 30th November 2018, the Council consulted with the public, staff and partners around the directorate savings proposed for the 2019/20 and 2020/21 budget. The Council asked the public to provide feedback on budget proposals via: local media, the Council website and social media. A total of 1,181 people participated in the consultation overall, through online engagement, face-to-face sessions, letters and emails. The majority of the comments were made on social media.
 - Annual Rotherham Partnership showcase events are held and attended by approximately 100 partners, to review progress over the past 12 months in delivering the Rotherham Plan 2025, celebrate successes and communicate key milestones for the following year.
 - A Rotherham Resident Satisfaction Survey is conducted on a six monthly basis to find out what residents think about the Council and the Borough in general.

5. Timetable and Accountability for Implementing this Decision

- 5.1 This is the fourth quarterly Performance Report relating to the Council Plan's refreshed indicators the 2018-2019 financial year. Paragraph 2.8 sets out an outline forward programme of further quarterly performance reports for future years.

6. Financial and Procurement Implications

- 6.1 The Council Plan is designed to help steer the use of Council finances, balanced against the wider funding backdrop for the Council and the broader context of national local government finance and policy.
- 6.2 The Council operates in a constantly changing environment and will need to be mindful of the impact that changes in central Government policy, forthcoming legislation and the changing financial position of the authority will have on its ability to meet strategic, corporate priorities and performance targets; and that ambitions remain realistic.
- 6.3 Whilst there are no direct procurement implications as a result of this report, any identified need to procure goods, services or works in relation to achieving the Council Plan objectives should be referred to the Corporate Procurement Service in order to ensure all projects are in line with the relevant internal Contract Procurement Rules and the UK Public Contract Regulations 2015 as well as other relevant EU/UK legislation governing procurement practice.

- 6.4 The redesigned Council Plan Performance Report now includes information regarding the Council's financial position, however further work is required to link the budgets to the Council Plan priorities and align the performance and financial reporting timelines.

7. Legal Implications

- 7.1 While there is no specific statutory requirement for the Council to have a Performance Management Framework and Council Plan, being clear about the Council's ambitions gives staff, partners, residents and central Government a clear understanding of what it seeks to achieve and how it will prioritise its spending decisions.
- 7.2 An effective and embedded Council Plan is also a key part of the Council's ongoing improvement journey.

8. Human Resources Implications

- 8.1 There are no direct Human Resources (HR) implications as a result of this report, though the contribution HR makes to a fully functioning organisation and dynamic workforce is set out within the plan and Performance Report (Priority 5 – a modern, efficient Council). Continued application of the values and behaviours requires engagement with all sections of the workforce and it is a key role for managers across the organisation, led by the Chief Executive and wider Senior Leadership Team.

9. Implications for Children and Young People and Vulnerable Adults

- 9.1 The Council Plan has a core focus on the needs of children and young people and vulnerable adults and this is embedded in the Council Plan under Priority 1, "Every child making the best start in life".

10. Equalities and Human Rights Implications

- 10.1 Ensuring that the Council meets its equalities and human rights duties and obligations is central to how it manages its performance, sets its priorities and delivers services across the board.
- 10.2 A new corporate Equalities and Diversity Policy was adopted by Council on 13th July 2016. This reinforced the duties of the Council in delivering the aims and ambitions of the Council Plan for 2017-2020, and supporting service business planning processes. A performance indicator specifically relating to equalities is included in the 2018-2019 iteration of the Council Plan.

11. Implications for Partners and Other Directorates

- 11.1 Partnership working is central to the Council Plan. The formal partnership structure for Rotherham, the 'Rotherham Together Partnership' (RTP), launched "The Rotherham Plan 2025" in March 2017. The Plan describes how local partners plan to work together to deliver effective, integrated services, making best use of their collective resources. The refreshed Council Plan links to The Rotherham Plan by picking up the "Game Changers" described in the latter document and setting out the Performance Indicators that describe how the Council intends to deliver its part of the Plan.

12. Risks and Mitigation

- 12.1 Within the Performance Report there are risks and mitigations identified under each of the key delivery outcomes. Additionally, the Priority areas also include an assessment of the areas where progress is not in line with. Within the Scorecard data tables, all measures which have not progressed in accordance with the target set are clearly marked with a red cross. Directorates are also responsible for ensuring that any significant risks are also addressed via Directorate and Corporate Risk Registers.
- 12.2 The Corporate Strategic Risk Register is structured to identify and mitigate strategic risks aligned to the Council Plan. The process of updating and identifying strategic risks is designed to enable the Council to manage risks connected to the Council Plan.

13. Accountable Officer(s)

Sharon Kemp, Chief Executive
Shokat Lal, Assistant Chief Executive

Approvals obtained on behalf of:-

	Named Officer	Date
Chief Executive	Sharon Kemp	21/06/2019
Strategic Director of Finance & Customer Services	Judith Badger	20/06/2019
Assistant Director of Legal Services	Stuart Fletcher	14/06/2019
Assistant Director of Human Resources	Lee Mann	14/06/2019
Head of Procurement	Karen Middlebrook	18/06/2019

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*Tanya Palmowski, Performance Officer (Corporate),
01709 822764, tanya.palmowski@rotherham.gov.uk*

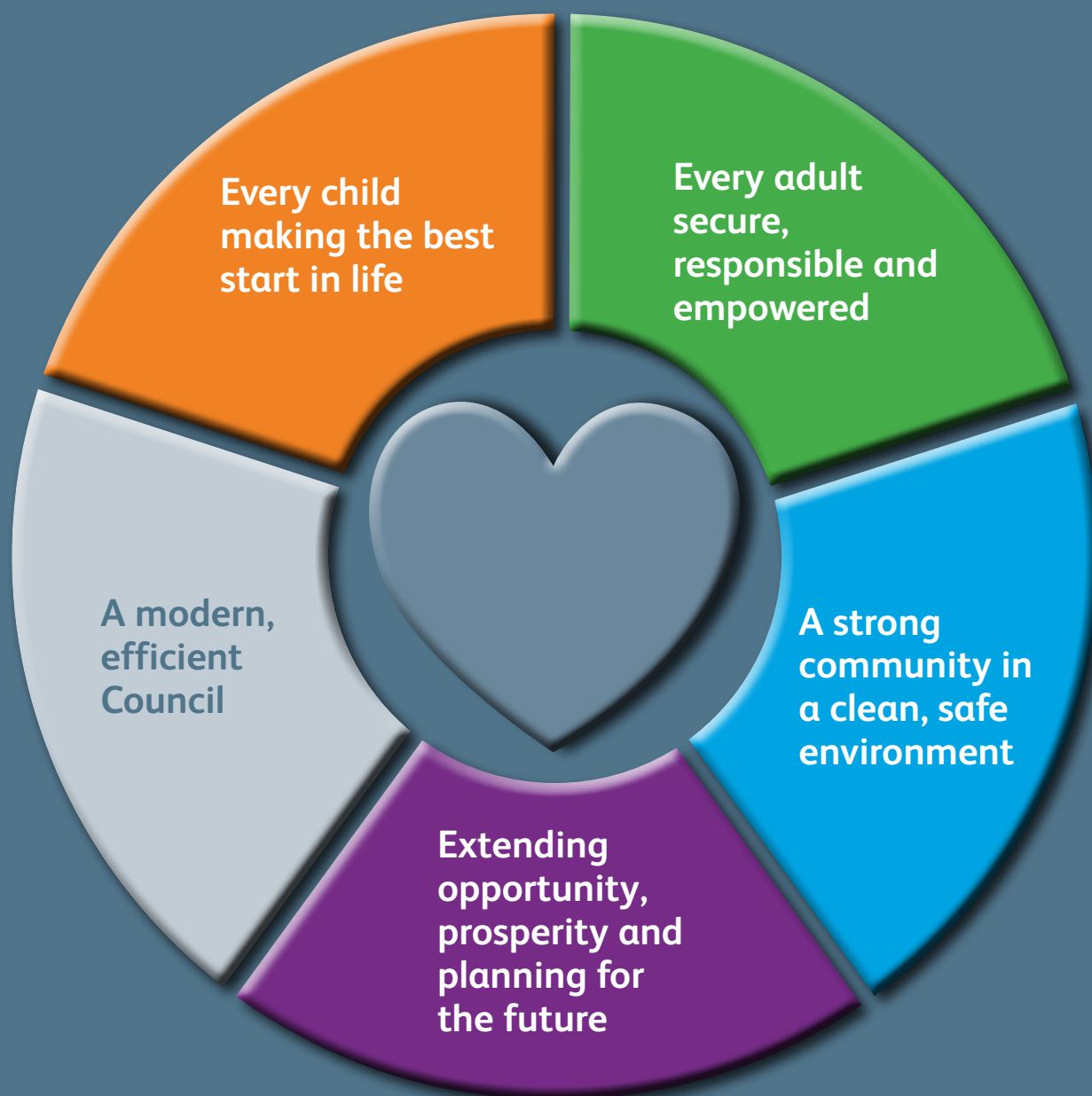
This report is published on the Council's website or can be found at:

<http://moderngov.rotherham.gov.uk/ieDocHome.aspx?Categories=>

COUNCIL PLAN 2017-20

Performance report and key achievements/activities

Period: Quarter 4 (January-March 2019) and 2018-19 Annual Performance



Big Hearts Big Changes

EXECUTIVE SUMMARY

This report sets out how the Council has performed in the final quarter of 2018/19 and during 2018/19 to deliver the vision and priorities for Rotherham as set out in the Council Plan for 2017-20. The priorities include:

Priority 1: Every child making the best start in life

Priority 2: Every adult secure, empowered and responsible

Priority 3: A strong community in a clean, safe environment

Priority 4: Extending opportunity, prosperity and planning for the future

Priority 5: A modern, efficient council

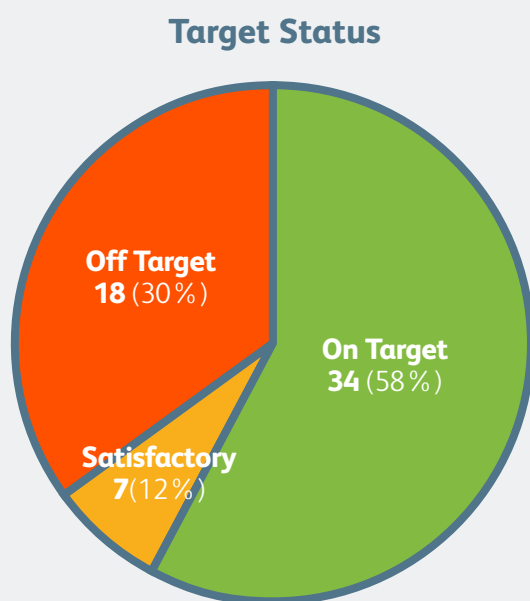
The report focuses on progress against the 13 key delivery outcomes which underpin the Council's priorities and the 70 headline performance measures that directorates have identified that best demonstrate progress in achieving the outcomes. It also brings together wider information, key facts and intelligence to explain how the Council is working and performing.

Through directorate and service-level business plans the Council carries out wider work to measure performance and quality. This report is intended to provide an overview of the contribution that the Council makes across all of its activities to improving Rotherham as a place to live, work and spend time.

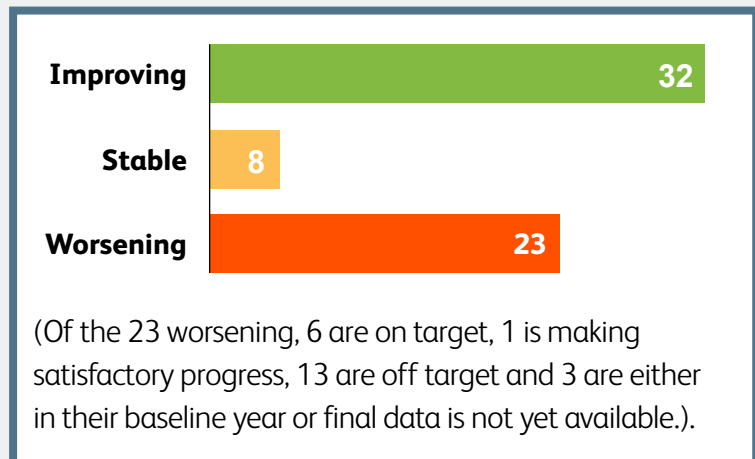


Performance overview to 31st March 2019

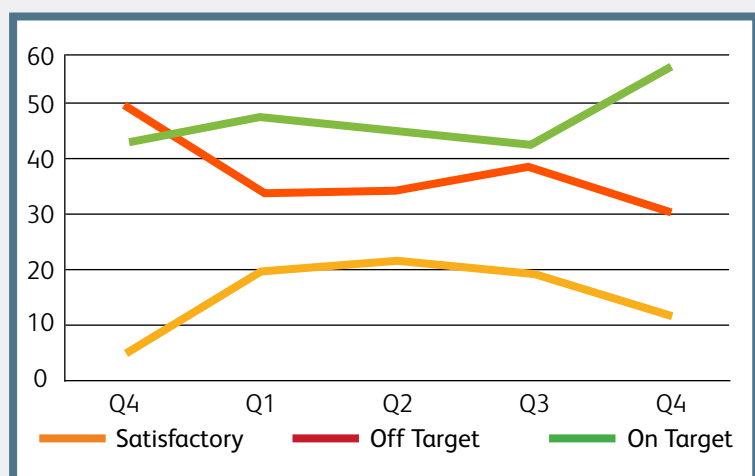
(where data is available or where targets have been set)



Direction of Travel



Percent Overall Status Trend to 31 March 2019



(The overall totals in the diagrams may differ because some measures, which have a direction of travel, do not have a status rating (on target, satisfactory or off target) as this is not applicable. These are measures where no target has been set, however good performance (high or low) is still applicable).

There are a number of measures rated as 'measure information not yet available' due to these being annual, termly or 6 monthly. In some circumstances interim data is available to demonstrate whether or not the Council is on track to achieve the annual target. For others, the Performance Report provides an overview of progress to assure Cabinet that progress is being made.

Performance status broken down by priority



FINANCE UPDATE

As at the end of March 2019, the Council reported an improved position compared to that reported at Quarter 3. Significant cost and service pressures in respect of both Children's and Adults social care required the Council to implement a number of actions during the year to mitigate these costs. The Financial Monitoring reports to Cabinet throughout the year have provided updates on the overall budget position for 2018/19 and in later months indicated that the Council would achieve a balanced budget, after taking account of the £10m budget contingency approved within the original budget, which included £5.2m planned use of reserves. The final outturn for the year was an improved position compared to Quarter 3 which has resulted in the Council achieving a balanced budget whilst using only £2m of the planned £5.2m of reserves.

The overspending against budget within Children and Young People's Services Directorate continues due to demand for services outstripping budget capacity. The outturn overspend of £15.6m was as forecast at Quarter 3. The increase in the number of Looked after Children also places significant pressure on Legal Services within the Finance and Customer Service's Directorate.

Adult Care Services outturn of £4.6m was an improved position on that reported at Quarter 3. However, residential and nursing care budgets across all client groups remain under pressure due to a combination of increased client numbers, the rising cost of care packages, and delays in delivery of some previous years' savings plans.

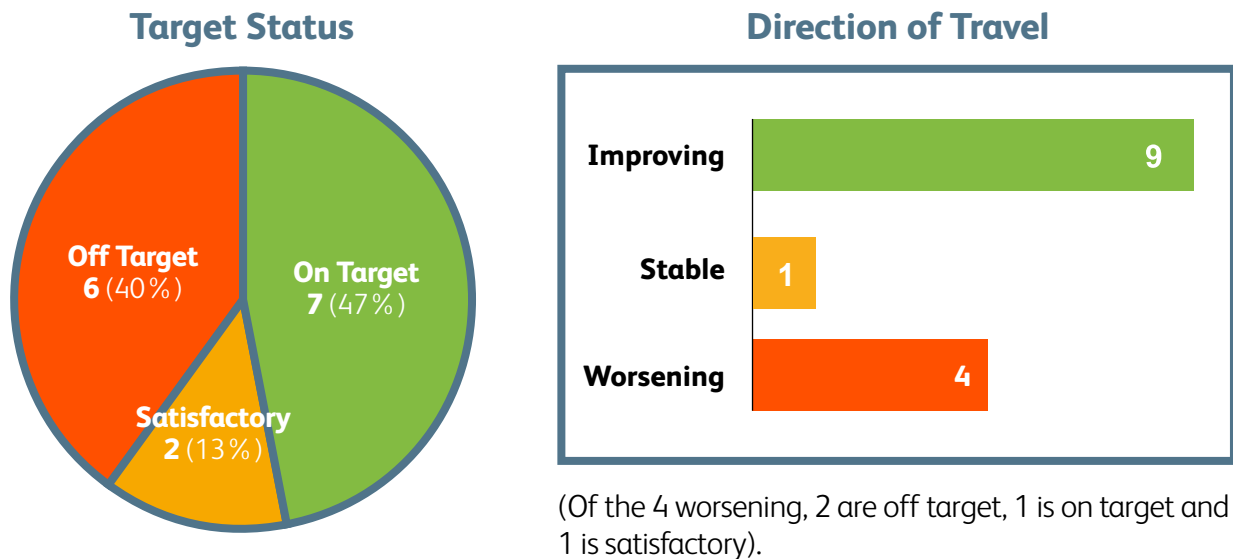




Priority 1: Every child making the best start in life

Performance headlines

The diagrams below provide an overview of performance (status and direction of travel) in relation to the priority (where data is available or where targets have been set).



(Note - The overall totals in the diagrams may differ because some measures, which have a direction of travel, do not have a status rating (on target, satisfactory or off target) as this is not applicable). These are measures where no target has been set, however good performance (high or low) is still applicable).

Areas performing well or improving



(measures which have achieved targets and direction of travel improved)



(1.A1) Reduction in Children in Need rate (rate per 10K population under 18)
331.7 children against a target of 375.5 children (lower is better)



(1.A2) Reduction in the number of children subject to a CP plan (rate per 10K population under 18)
88.9 children against a target of 99.6 children (lower is better)
(1.A5) % children who are subject to repeat child protection plans (within 24 months)
6.5% of children against a target of 9% (lower is better)



(1.C1) Smoking status at time of delivery (women smoking during pregnancy)
17.6% at end of quarter 3 against a target of 18% (lower is better)

Areas for improvement



(measures which have not achieved targets and direction of travel worsened)



(1.A3) **Reduction in the number of Looked After Children** (rate per 10k population under 18)

112.9 Looked After Children against a target of 99.1 (lower is better)

There is cautious optimism that the trend of increasing numbers of LAC has at least stabilised. The use of Special Guardianship Order's (SGO) is an increasingly strong practice both to divert and discharge children from care with 53 children being made subject of an SGO this year.

Outcome: A Children, young people and families are protected and safeguarded from all forms of abuse, violence and neglect

Lead accountability: Jon Stonehouse, Strategic Director Children and Young People's Services

Where are we now?: RMBC Children & Young People Services has had on-going independent scrutiny and challenge that has supported the Council to know itself well and to understand the application of thresholds. The Council actively support safeguarding awareness and training around key and emerging contextual safeguarding issues such as child sexual exploitation (CSE); child criminal exploitation (CCE); children that go missing; radicalisation and human trafficking.

Although demand remains relatively high at all levels of social care intervention, during 2018/19 the Council have begun to see a downward trend in the overall numbers of children subject to a child protection plan and the number of children subject to a Children in Need (CiN) Plan. In addition, the number of looked after children (LAC) has stabilised in recent months. This would appear to be as a result of a number of factors which include;

- Service Managers as part of the normal business cycle continue to lead and support a review of all CiN work open 6 months or more. Quarter four has again seen this approach extended to all children subject to child protection plans. This programme of work is continuing to provide appropriate 'check' and 'challenge'.
- A more consistent approach to the use of advanced practitioners in quarter four has meant that social workers are much clearer about exit planning through case mapping and reflective surgeries.
- Children subject to pre proceedings (typically children who are also the subject of a child protection plan) are reviewed by the Public Law Outline (PLO) manager each week and a report is prepared for the PLO Panel.

- Signs of Safety, as a “strengths based” model, is gaining momentum in practice and we expect this will continue to support a safe reduction in the number of children needing to become subject to child protection planning as we work to ensure effective safety planning at every stage of the child’s journey.
- As at the end of the Right Child Right Care phase 1 (Dec 18), the project had succeeded in supporting 72 children in a work-stream to be discharged from care, a further 37 discharges via the ‘ripple effect’. Overall this indicates a success rate of 61 % or 78 % with the ripple effect taken into account. Right Child Right Care (phase 2) is being implemented over the course of 2019 with 152 LAC currently identified as having a confirmed plan for permanence to be achieved over the course of the year with 29 plans yet to be confirmed.
- Reduction in the number of LAC has now been incorporated into the Big Hearts Big Changes Demand Management Strategy which continues to have a discernible impact on overall numbers. On the basis that the average net monthly increase in LAC over 2017 was 8.5 children, the ‘do nothing’ projection was that there would have been 718 LAC by the end of March 2019. There were only 642 LAC at that point in time with further reductions forecast.
- The Edge of Care Team has, since April 2018, worked with 150 families enabling more children to be supported to live at home. They have supported 17 children to return back into the care of their parents with a further 8 children planned to return home over the coming months (projected reduction in placement costs of £245k pa).

Despite the on-going levels of demand, performance against compliance and outcome measures has seen an improvement in most areas including;

- 98 % of families (51) rated their support as ‘good or better’ via the Early Help Satisfaction Survey which remains the same as the last quarter. The length and format of the survey is currently under review to look to streamline the process & increase family participation.
- Quarter 4 saw another reduction in the overall CiN population and within the last 12 months there has been a reduction of 269 children.
- The number of children subject to child protection plans has continued to gradually reduce in line with expectations and is now well below the target of 99.6 children per thousand, at 88.9.
- The number of children subject to repeat Child Protection planning within 24 months remains below the 9 % target and reflects that work is focused on making the right decision at the right time in relation to the young people we work with.
- The proportion of LAC in a family based setting (82.3 %) has continued to be better than that in both 2016/17 (81.1 %) and 2017/18 (81 %).
- The numbers of young people currently assessed as medium/ high risk of Child Sexual Exploitation (CSE) has stabilised through 2018/2019 with a slight increase in the last quarter reflecting activity in the borough. Overall quality assurance through the pathway of involvement around CSE demonstrates that there is good understanding and that this model of intervention supports young people and works to mitigate risks around them.

Next steps:

- The Charging Policy for Section 20 placements is currently undergoing the consultation process, primarily being utilised to elicit greater degrees of commitment from birth families in the edge of care offer. A more wide-scale review of the impact of the Edge of Care offer is also to be undertaken in order to assess the longer term impact of each of the interventions available to support children living at home/with extended family members.
- The Intensive Intervention Programme continues to support the most vulnerable children and is having a significant impact in supporting placement stability for these children. A full report will be presented to Directorate Leadership Team (DLT) in June outlining the outcomes achieved through the programme.
- The Safeguarding Unit and Social Care field work teams continue to work closely, with work around the Social Worker and Early Help Pathway having proposals agreed at DLT to support a slow transfer of work and a gradual shift to a new pathway. Work is also on going to embed the Rotherham Family Approach and key principles of working effectively with families to find strengths and mitigate risks across CYPS. The Quality Assurance Audit processes allow us to; measure the effectiveness of our application of the new methodology, adjust the training offer to maximise impact and outcomes and support a safe reduction in the number of children and families in high end statutory processes, such as CP and LAC.
- The EVOLVE guidance has been updated to include Child Criminal Exploitation, recognising the key link and similarities between the two. This will progress via final consultation with all partners. A refresh to the strategy around CSE and CCE will also support us to increase awareness around CSE in a way that reflects safeguarding issues beyond the family, within the wider context of the community. This should positively impact in the referrals and assessment completed around CSE and potentially the CSE cohort.
- Service Managers to implement a 12 month schedule of themed audit activity of children in specific circumstances who are subject to a child protection plan.

Risks and Issues

Risk/issue	Mitigation
Poor foster care recruitment and market saturation may impact on the on-going ability of RMBC to place an increasing proportion of LAC in family based settings.	The Fostering Diversity Scheme was launched in April 2019; 10 expressions of interest were made. The Foster Care Allowance Scheme is being revised in order to make it easier to understand for prospective carers. A revised marketing strategy is being drafted in partnership with the Commissioning Service.
Current trend of reducing numbers of LAC may increase due to a variety of reasons including on-going police operations or a number of large sibling groups being admitted to care.	Senior management oversight of children being admitted to care will continue via the Public Law Outline (PLO) Panel, considering all admissions to care to ensure all alternative care options have been fully considered. The number of care proceedings being initiated has already reduced from 19.5 per month at the start of 2018, to 15.5 at the start of 2019. As from 1st May 2019; before any case is referred to PLO Panel there must have been a Family Network Meeting convened except where there is a safeguarding concern. The Family Networking Meeting is to identify and establish a family support plan in order to divert a child from becoming looked after or to provide alternative care should that plan not be successful.
A further reduction in CSE cases could flag a concern that the operating guidance updates were not well understood	Work across the services and partnership to ensure that the CSE operation guidance is well understood and that training opportunities are maximised to ensure consistency of approach, grip and oversight. The partnership training offer has been reviewed and future training will be offered by the EVOLVE team directly.
As we consider changes in working practices around the social work pathway this may impact in relation to an increase in CP numbers after a period of reduction.	Continue to work closely across the fieldwork teams to ensure that caseloads and management span are carefully considered to ensure there is a limited impact on social worker capacity.

Outcome: B Children and Young people are supported to reach their potential

Lead accountability: Jon Stonehouse, Strategic Director Children and Young People's Services

Where are we now?: At the end of Key Stage 2 (KS2) (2018), the local authority average for the percentage of pupils meeting the expected standard in reading, writing and mathematics combined has improved by 1.2 %. However, this is below the national average rate of improvement of 3 % and is 2 % below the national average. Attainment in reading shows the widest gap to the national average, this is a key priority and a focus of many of the CPD and school improvement activities available to schools through the traded service offer. Results in a number of schools that have converted to academies and joined Multi-Academy Trusts (MAT's) have decreased in 2017 and 2018.

The final validated Key Stage 4 (KS4); average attainment 8 score per pupil has decreased by 1.4 points and is 3.0 points below the national average (state-funded schools) and 0.9 points below the national average (all schools) in 2018. The progress 8 score is below the national level for the first time in 2018. The Assistant Director of Education has proposed a funding commitment from secondary schools to support the appointment of three lead practitioners for english, maths and science. They would take a lead role in raising standards across Rotherham at KS4. The proposal is for these appointments initially to run from the summer term 2019 until the end of summer term 2020. The job descriptions have been written and the posts are currently being advertised.

A targeted support workshop took place where seven schools shared their strategies that have contributed to improved outcomes for disadvantaged pupils at the end of Key Stage 1 (KS1) and KS2. 21 schools attended this workshop and evaluations were very positive with schools completing an action plan, including what they will change, key people in school who will work on the plan and any external support that is required.

Progress made towards reducing the number of fixed term exclusions in both secondary and primary schools continues to improve but the target set for this area remains very challenging. As exclusions are measured across the academic year, it is not yet possible to judge whether the use of fixed term exclusion has reduced in comparison with the previous academic year. The on-going work of the Rotherham Education Strategic Partnership (RESP) to challenge and support school leadership and the high profile of exclusions as part of the national agenda have contributed to this improvement.

Whilst the Council is still awaiting the Department for Education (DfE) Review of exclusion, Social, Emotional & Mental Health (SEMH); Strategy work has continued under the leadership of the Joint Assistant Director of Commissioning, Performance and Inclusion and Assistant Director of Education.

The annual target for the % of 16-17 year olds Not in Education, Employment or Training (NEET) or whose activity is Not Known is measured as an average across Nov, Dec and Jan. The combined NEET and Not Known target of 5.8 % has been successfully achieved. This can be broken down to show 3.3 % NEET (against the target of 3.3 %) and 2.5 % Not Known (against the target of 2.5 %). At the end of Q4 an average of 5.4 % was achieved (3.3 % NEET and 2.1 % Not Known) showing a further reduction from the annual outturn measure.

Following the Education, Health and Care Assessment Team restructure in October 2018; recruitment is now complete and the team is fully staffed and trained. The percentage of completed Education Health and Care Plans (EHCP's) within 20 weeks has fluctuated significantly over the year however, the team now having a full complement of staff, is already having a positive impact on performance.

Next steps:

- The project for KS2 and KS3 pupils to improve writing skills particularly for the most disadvantaged pupils has continued and the impact analysis to date has been very positive and the project is to be extended to include a new cohort from September 2019. The current cohort will be sustained and will continue to meet on a termly basis. The strategy uses the 'IPEELL*: Improving Writing Quality' approach which was originally developed in Calderdale, funded by the Education Endowment Fund and evaluated by York and Durham Universities.

*(IPEELL: I= Introductory paragraph; P= Points; E = Examples/elaboration; E=End; L=Links; L = Language)

- Interim evaluation of the 'Enhancing Language Acquisition' project demonstrates the positive impact it is having on widening pupils' vocabulary. There will be a final evaluation in the summer term 2019 with plans for it to extend, because of its success, beyond April 2019. The project is being delivered by Forge Teaching School Alliance in partnership with Bedrock Vocabulary and RoSIS.
- CAMHS Trailblazer Mental Health Support Teams are being established to support around 16,000 children and young people within Rotherham. The focus will be on low-moderate mental health needs including anxiety, low mood, managing ADHD, self-harm, bullying and peer support (including peer support for parents). During Quarter 4 successful schools were informed and NHS England surveys have been completed and interviews have taken place for the Mental Health Practitioners.

Risks and Issues

Risk/issue	Mitigation
Maintaining an effective Borough wide focus on School Improvement and the quality of provision.	Regular termly meetings with the Regional Schools Commissioners office (RSC) to highlight the importance of cooperation and collaborative working with all academies/MATs. Continue to offer meetings with the Chief Executive Officers (CEO's)/Executive Headteachers of schools/academies to discuss their schools and the range of school improvement services available within RoSIS. A meeting with officers from the RSC took place in the Spring term 2019 & the Head of Education and Consultant Headteacher from RoSIS also met with five CEO's. Unfortunately some MATs declined the offer of this meeting.
The EHCP Team has suffered significant amount of serious staff illness.	It is unlikely to be repeated, however the sickness management policy and cover arrangements have been utilised.
Fixed term and permanent exclusion rates are unpredictable and depend entirely upon many external factors, including the national education agenda, changes in leadership, community issues and schools funding.	A draft SEMH strategy has now been published for consultation and SEN Sufficiency work has started to increase specialist provision and alternative education places for children with Special Educational Needs (SEN).

Outcome: C Children, young people and families are enabled to live healthier lives

Lead accountability: Terri Roche, Director Public Health

Where are we now?: Public Health (PH) continues to commission specialist services for smoking cessation in pregnancy. All women are carbon monoxide (CO) monitored and smokers are referred to the in-house specialist service using an opt-out system.

South Yorkshire and Bassetlaw Integrated Care System – Local Maternity System (LMS) has outlined that each Place must have a Maternity Transformation Place Plan based on 6 Key Lines of Enquiry (KLOE). In response to the ‘Smoking in pregnancy’ KLOEs a smoking cessation sub group’ has been set up to move the work forward with The Rotherham NHS Foundation Trust (TRFT), NHS Rotherham Clinical Commissioning Group and Public Health. The group are meeting monthly, and have produced an action plan, with thirty work-streams identified.

Linked to the work-streams was the recruitment of an additional quit smoking in pregnancy midwife, who is now in post. Additionally, the Quit Smoking team have returned to Greenoaks, so will be better placed to work with midwifery. Further additional resources include the purchase of model placentas which show the effect of smoking. Smoking cessation continue to work with TRFT communications to improve messages given out.

The Quit Smoking team are currently building up expertise to work with vulnerable groups using a person centred approach, non-verbal communication and a phone line for translation (which can be difficult). The service has had some success with the Roma community.

The Rotherham NHS Foundation Trust Quit Smoking in Pregnancy Service is performance managed using a Key Performance Indicator (KPI) measured by number of quits and not a percentage. The figures achieved by the Service by quarter are outlined in the table below.

Quits achieved by Service

2017/18	Quit
Q1 (April to June)	34
Q2 (July to September)	37
Q3 (October to December)	44
Q4 (January to March)	24
2018/19	
Q1 (April to June)	26
Q2 (July to September)	34
Q3 (Oct to December)	35
Q4 (Jan to March)	35

The KPI is to achieve 129 quits per year (average 10.8 quits a month, 32 per quarter). Despite a lower Quarter 4 the full year total for 2017/18 was 139 (11.6 quits a month), and above target. For 2018/19, Quarter 1 was below target, but the last three quarters were all rated green and the full year target was achieved (total quits 130, average 10.8 quits per month). Actions are now in place to address the shortfall in Quarter 1.

Smoking Status at Time of Delivery (SATOD - percentage) No data is available yet for Quarter 4 2018/19, therefore the latest data is for Quarter 3. Data decreased from 18.1 % to 17.6 % to go below the target of 18 % (lower is better). As data is below target at Quarter 3 and year-to-date is 17.4 % the measure is progressing in line with target and overall status is classed as green.

Childhood Immunisation – DTaP/IPV/Hib (2 years) - Data is not available for Quarter 4 2018/19 at present (due end June 2019). The latest data for Quarter 3 2018/19 of 97.8 % is well above the target level of 95 % (the level set nationally to ensure control of vaccine preventable diseases). However, although the overall Rotherham level is green, there are a few pockets of low uptake in the borough. NHS England is working with GP practices in Rotherham which have a low uptake in their area (generally the more deprived practices) to ensure equity of access across the population.

Next steps:

- Additional quit smoking in pregnancy midwife will start working with pregnant women and significant others by May.
- Looking at introducing mandatory training for 'very brief advice' to increase consistency of smoking cessation messages given out. Also training for multi-agencies, including Health Visitors and doctors within the Trust.
- Introducing a money box scheme, this incorporates a scan of the baby and a pledge to give up smoking. The aim is for the box to act as a motivator for women.

Risks and Issues

Risk/issue	Mitigation
The Smoking Status at Time of Delivery (SATOD) target for 2018/19 is an aspirational target of 18 % as the annual data for 2016/17 included a very low quarter which skewed the outcome figure of 17 % (2017/18 outturn was 19.9 %) Additionally there has been a reduction in funding for the smoking midwifery service of 36 %.	The Service continues to be performance managed.
Rotherham has high rates of smoking in pregnancy as it is a deprived area and all smokers are identified. Every woman is CO (carbon monoxide) monitored at each visit to midwifery.	South Yorkshire and Bassetlaw Integrated Care System – Local Maternity System (LMS) and sub-group are working to decrease the smoking in pregnancy figures. Wider tobacco control work is on-going which will promote a reduction in general population smoking prevalence and contribute to reducing Smoking Status at Time of Delivery (SATOD), such as supporting the hospital to implement the South Yorkshire QUIT programme.

Corporate Priority 1 – Every child making the best start in life

Key	Overall status (relevant to target)			
	✔	Measure progressing above or in line with target set	✂	Measure under development (e.g. awaiting data collection or target-setting)
	●	Measure progress has been satisfactory but is not fully reaching target set	□	Measure not applicable for target (e.g. baseline year, or not appropriate to set a specific target)
	✖	Measure has not progressed in accordance with target set	■	Measure information not yet available (e.g. due to infrequency or timing of information/data)

Outcome	Lead Accountability (Strategic Director)	Ref No.	Action	Measure	Lead officer	Good performance	Frequency of reporting	Target														Data notes (where measure has not progressed in accordance with the target set provide details of what is being done to improve performance)	
									Overall status	DOT	Annual			Year end 2018/19	Quarterly					Monthly			
											Year end 2015/16	Year end 2016/17	Year end 2017/18		Q4 Jan - Mar 2018	Q1 Apr - June 2018	Q2 Jul - Sep 2018	Q3 Oct - Dec 2018	Q4 Jan- Mar 19	Jan-19	Feb-19		Mar-19
A. Children, young people and families are protected and safeguarded from all forms of abuse, violence and neglect	Jon Stonehouse, Strategic Director Children and Young People's Services	1.A1	Early Help – Early Help service to identify and support families at the right time to help prevent social service involvement	Reduction in Children in Need rate (rate per 10K population under 18)	Ailsa Barr - CYPS	low	Monthly	375.5	✔	🔄	320	359.8	411.0	331.7	411.0	425.8	360.5	352.3	331.7	345.2	340.5	331.7	Quarter 4 saw a further reduction in the overall CIN population, which has now reduced by 269 over the last 12 months. The total now stands at 1383 children, which is the lowest reported figure for some years. Service Managers are continuing to provide appropriate check and challenge of all CIN work open 6 months or more. Allowing Service Managers to have operational oversight on cases that might be susceptible to drift and provides added value and assurances that children and their families are receiving appropriate and timely support.
		1.A2		Reduction in the number of children subject to a CP plan (rate per 10K population under 18)	Ailsa Barr - CYPS	low	Monthly	99.6	✔	🔄	65.4	65.6	114.5	88.9	114.5	113.1	107.5	99.4	88.9	95.7	95.7	88.9	The trend for the number of children per 10K population with a Child Protection Plan (CPP) remains significantly higher (88.9) than that of statistical neighbours (54.5) and the national average (45.3). The numbers of children becoming subject to a plan each month has steadily reduced since June 2018 as expected. We expect the number of children subject to CP planning to reduce further but potentially not at the rate we have seen in previous months. As the Rotherham Family Approach and Signs of Safety embeds across the partnership, this cohort should settle closer to the benchmark averages.
		1.A3		Reduction in the number of Looked After Children (rate per 10k population under 18)	Ailsa Barr - CYPS	low	Monthly	99.1	✖	🔄	76.6	86.6	110.8	112.9	110.8	112.9	114.1	111.3	112.9	110.5	113.3	112.9	There can be some cautious optimism that the trend of increasing numbers of LAC has at least stabilised with the overall number dropping to 642 at the end of Qtr 4. Although there is potential for between 26-31 children to be discharged over the course of April depending on Court outcomes. The use of Special Guardianship Order's is an increasingly strong practice both to divert and discharge children from care with 53 children being made subject of an SGO this year. In March there was an Ofsted Focussed Visit which reviewed permanence planning which was previously identified as an area for development. The feedback was extremely positive.
		1.A4		Increase the proportion of families who rate the Early Help service as Good or Excellent.	David McWilliams - CYPS	high	Monthly	95%	✔		Not Available	Not Available	Not Available	97.2%	Not Available	94.3%	96.3%	98.3%	97.7%	100.0%	93.0%	100.0%	The target of 95% has been exceeded with a 2018/19 figure of 97.2%
		1.A5	Children's Social Care Improvement – Ensure that all Child Protection Plan work is managed robustly and that appropriate decisions and actions are agreed with partner agencies	% children who are subject to repeat child protection plans (within 24 months)	Ailsa Barr - CYPS	low	Monthly	9%	✔	🔄	4.7%	9.2%	9.5%	6.5%	9.5%	8.2%	7.2%	4.7%	6.5%	4.9%	5.7%	6.5%	In the last 12 months the proportion of children subject to repeat plans within 24 months has started to see an improving trend which may be an indication that our continuing work with families is making a sustainable impact in keeping children safe. This measure when considered with the reducing re-referral rates at front door, give us a level of assurance that we are making the right decisions about plans.
		1.A6	Child Sexual Exploitation - an increased awareness of CSE and an increase in the number of police prosecutions as a result of joint working	Number of children and young people with a currently assessed as medium/high risk of CSE (CSE cohort)	Ailsa Barr - CYPS	Not applicable	Monthly	No target - not applicable	🔲		Not Available	64	85	63	85	72	55	64	63	60	64	63	Whilst the cohort itself has reduced over the last 12 months this has evened out and the reduction trend appears to have stabilised.
		1.A7	Placements - Improve Quality of Care for looked after children	Reduce the number of disrupted placements. Definition: % of LAC who have had 3 or more placements - rolling 12 months	Ailsa Barr - CYPS	Low	Monthly	10.8%	✖	🔄	13.0%	11.9%	13.4%	12.7%	13.4%	13.8%	12.5%	12.8%	12.7%	14.6%	13.9%	12.7%	Re-assuring the number of children experiencing 3 or more placement moves has reduced to 12.7%. However, this remains below the statistical neighbour average as well as the locally set target. The on-going drive for permanence is likely to continue to impact on long-term placement stability figures.
		1.A8		Increase the proportion of LAC placed within Family Based settings	Ailsa Barr - CYPS	high	Monthly	85.0%	✖	🔄	Not Available	81.1%	81.0%	82.3%	81.0%	81.0%	82.3%	83.8%	82.3%	82.4%	82.8%	82.3%	The number of LAC living in a Family Based Setting has increased to 82.3% (2017/18, 81.0%), as has the percentage of LAC living at home.

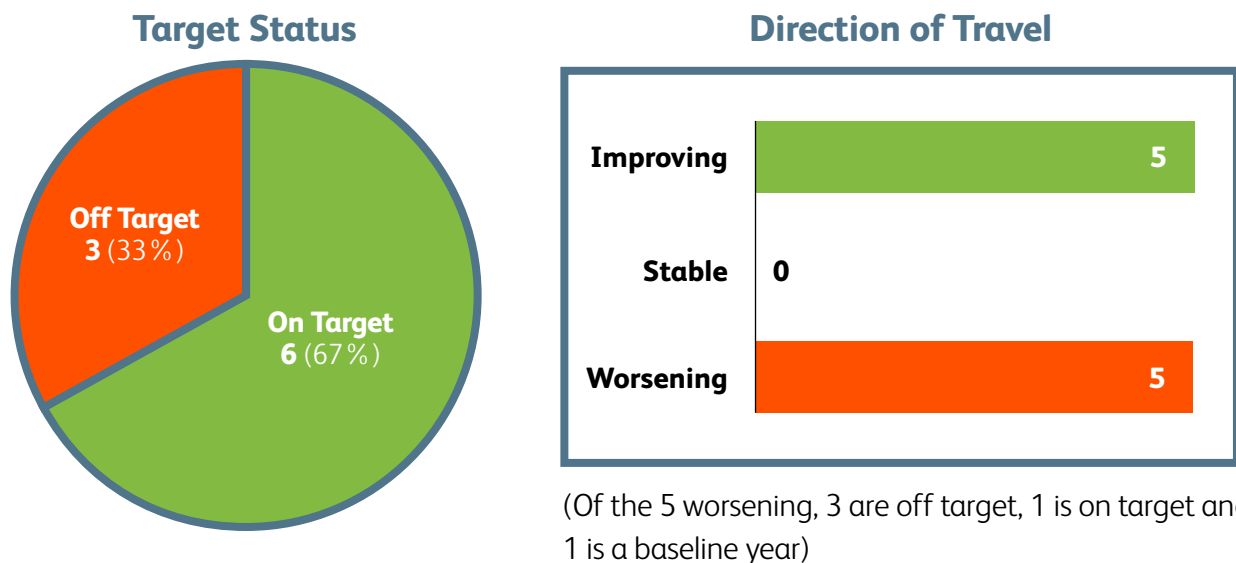
Outcome	Lead Accountability (Strategic Director)	Ref No.	Action	Measure	Lead officer	Good performance	Frequency of reporting	Target															Data notes (where measure has not progressed in accordance with the target set provide details of what is being done to improve performance)	
									Overall status	DOT	Annual				Year end 2018/19	Quarterly					Monthly			
											Year end 2015/16	Year end 2016/17	Year end 2017/18	Q4 Jan - Mar 2018		Q1 Apr - June 2018	Q2 Jul - Sep 2018	Q3 Oct - Dec 2018	Q4 Jan- Mar 19	Jan-19	Feb-19	Mar-19		
B. Children and Young people are supported to reach their potential	Jon Stonehouse, Strategic Director Children and Young People's Services	1.B1 (a)	Sustainable Education and Skills	% of pupils reaching the expected standard In reading, writing and mathematics combined at the end of Key Stage 2	Pepe Dillasio - CYPS	high	Academic Year	65%	✗	↻	53.9%	60.8%	62.0% <i>(Final & Validated)</i>	Measure Not Due Academic Year Measure									The Rotherham LA average has improved by 1.2% in 2018 but this is below the national average improvement and the gap to the national average is 2%. The ROSIS traded service offer to schools contains a range of CPD activities linked to the areas for improvement/borough wide priorities. Attainment in reading shows the widest gap to the national average. This is a key priority and a focus of many of the CPD and school improvement activities available to schools through the traded service offer.	
		1.B1 (b)		The average attainment 8 score at the end of Key Stage 4 . The progress 8 measure from the end of primary school (KS2) to the end of secondary school (KS4)	Pepe Dillasio - CYPS	high	Academic Year	47 +0.08	✗	↻	48.8 +0.04	45 +0.06	43.6 -0.09 <i>(Final & Validated)</i>	Measure Not Due Academic Year Measure									The LA average attainment 8 score is 3.0 points below the national average (state-funded schools) and 0.9 points below the national average (all schools). The progress 8 score is below the national level for the first time in 2018. The Assistant Director of Education has proposed a funding commitment from secondary schools to support the appointment of three lead practitioners for English, maths and science. They would take a lead role in raising standards across Rotherham in KS4.	
		1.B2 (a)	Sustainable Education and Skills – Reduce the number of school days lost to exclusion	Reduction in the number of exclusions from school which are i) Fixed term (Secondary school)	Jenny Lingrell - CYPS	low	Monthly	2,500 Academic Yr	●	↻	3,555	3,116	3,068	Measure Not Due Academic Year Measure	791	732	343	614	640 (YTD 1554 Academic Year)	314	220	106	Q4 2019 performance compares well to Q4 performance 2018, but the target set for this area remains very challenging. Direction of travel is positive when compared to previous quarters, with the exception of Q2 where schools were closed for 6 of the weeks, therefore being no exclusions in these weeks.	
		1.B2 (b)		Reduction in the number of exclusions from school which are ii) Fixed term (Primary school)				280 Academic Yr	●	↻	406	358	408	Measure Not Due Academic Year Measure	85	123	67	106	92 (YTD 249 Academic Year)	33	42	17		
		1.B3	Sustainable Education and Skills – Enable hard to reach young people to achieve their full potential through education employment or training	% of 16-17 year olds NEET or whose activity is Not Known (NK) % 16-17 year old NEET % 16-17 year olds whose activity is Not Known	David McWilliams - CYPS	low	Monthly	5.8% combined i) 3.3% (NEET) ii) 2.5% (Not Known) (Local Annual target based on Dec, Jan, Feb Ave)	✔	↻	Not Available	5.7% combined i) 2.6% (NEET) ii) 3.1% (Not Known)	5.8% combined i) 3.3% (NEET) ii) 2.5% (Not Known)	5.8% combined i) 3.3% (NEET) ii) 2.5% (Not Known)	i) 3.3% (NEET) ii) 2.0% (Not Known)	i) 3.5% (NEET) ii) 3.0% (Not Known)	i) 1.4% (NEET) ii) 15% (Not Known)	i) 3.1% (NEET) ii) 4.6% (Not Known)	i) 3.3% (NEET) ii) 2.1% (Not Known)	i) 3.4% (NEET) ii) 2.5% (Not Known)	i) 3.2% (NEET) ii) 1.3% (Not Known)	i) 3.4% (NEET) ii) 2.5% (Not Known)	The annual target which is measured as an average across the Nov, Dec and Jan has been successfully achieved with a combined return of 5.8%. At the end of Q4 we have achieved an average of 5.4% which shows a further reduction following the annual outturn.	
		1.B4	Special Educational Needs and Disabilities (SEND) – Improve personal outcomes for our young people with SEND to enable them to make choices that lead to successful adult lives	Increase the proportion of Education and Health Care Plans completed in statutory timescales (based on NEW plans)	Jenny Lingrell - CYPS	high	Monthly	Qtr 1 - 45% Qtr 2 - 65% Qtr 3 - 75% Qtr 4 - 90% (in period) 2018/19 - 70% (cumulative)	✗	↻	58.30%	52%	57.0%	57.1%	52.0%	48.0%	65.0%	51.0%	64.0%	42.0%	83.0%	88.0%	Recruitment to the 4 vacant posts within the team is now complete. Performance has improved commensurately, however a dip is expected to take place in May 19 due to planned staff sickness.	
C. Children, young people and families are enabled to live healthier lives	Terri Roche, Director Public Health	1.C1	Deliver services for the 0-19 year olds – to support children and families to achieve and maintain healthier lifestyles	Smoking status at time of delivery (women smoking during pregnancy)	Gilly Brenner, Consultant in Public Health	Low	Quarterly	18%	✔	↻	18.1%	17.0%	19.9%	n/a (due July 2019)	17.1%	16.4%	18.1%	17.6%	n/a (due July 2019)				Data for the latest quarter available (Q3 2018/19) was 17.6% which is below the target of 18% (lower is better) Year-to-date is 17.4% therefore measure is progressing in line with target and overall status is shown as green.	
		1.C2		Childhood immunisation - % of eligible children who received 3 doses of DTaP / IPV / Hib vaccine at any time by their 2nd birthday (diphtheria, tetanus and pertussis/polio/Haemophilus influenza type b)	Gilly Brenner, Consultant in Public Health	High	Quarterly	95%	✔	↻	96.7%	96.7%	97.2%	n/a (due September 2019)	97.9%	96.8%	98.3%	97.8%	n/a (due end June 2019)				The latest quarter available (Q3 2018/19) was 97.8% and above the target level of 95% (higher is better) Therefore, at this quarter the measure is progressing above or in line with the target set (criteria for rating as green) National target is 95% to ensure control of vaccine preventable diseases.	



Priority 2: Every adult secure, empowered and responsible

Performance headlines

The diagrams below provide an overview of performance (status and direction of travel) in relation to the priority (where data is available or where targets have been set).

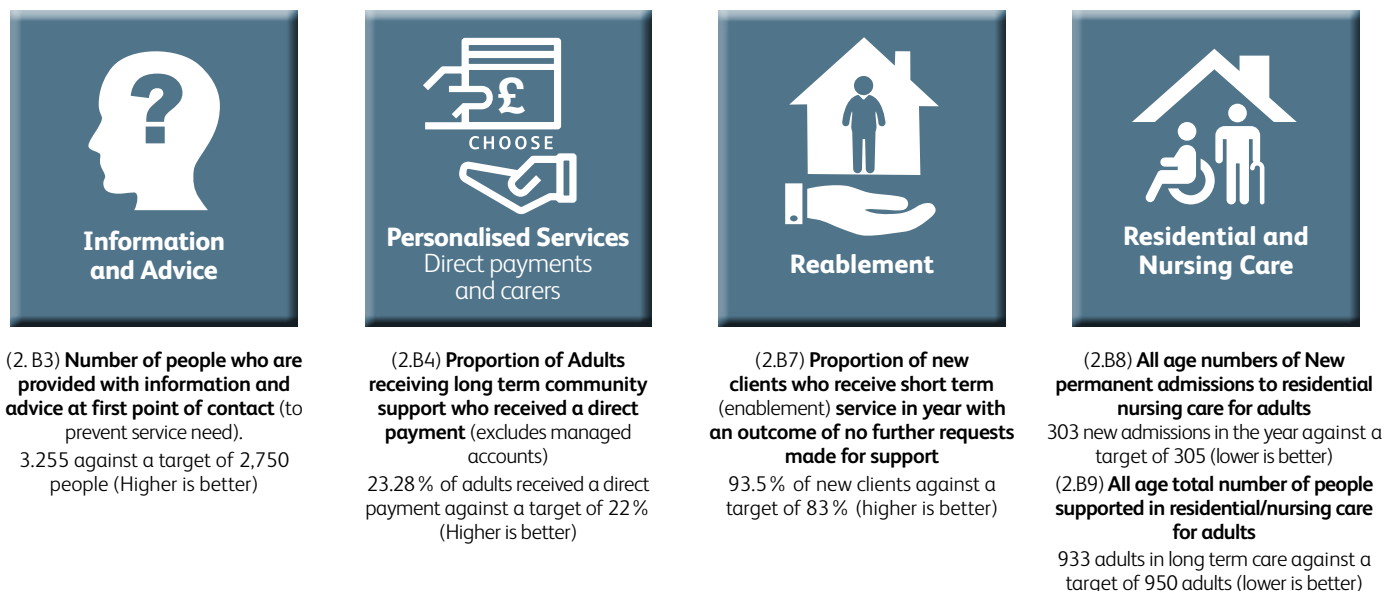


(Note - The overall totals in the diagrams may differ because some measures, which have a direction of travel, do not have a status rating (on target, satisfactory or off target) as this is not applicable). These are measures where no target has been set, however good performance (high or low) is still applicable).

Areas performing well or improving



(measures which have achieved targets and direction of travel improved)



Areas for improvement



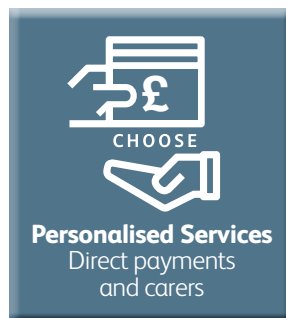
(measures which have not achieved targets and direction of travel worsened)



(2.A1b) Successful completion of drug treatment –b) non-opiate users (aged 18-75)

At the end of quarter 3, 25.6% of treatments were successfully completed against a target of 35.7% (higher is better) Performance on non-opiates has worsened recently.

Once the new working practices by Change Grow Live (CGL) are established this is expected to improve in line with the opiates measure 2.A1(a)



(2.B5) 2.B5 Number of carers assessments

556 assessments completed against a target of 567 (Higher is better).

Carer's assessment numbers were adversely affected in Quarter 3 by reduced staff capacity. However,

Quarter 4 performance has significantly increased and the year-end total of 556 is much closer to target than anticipated.



(2.B6) The proportion of people (65+) offered the reablement service after discharge from hospital

1.7% of people were offered the service against a target of 2.6% (higher is better). Plans are now progressing to increase service capacity to ensure that this target can be met in future years.

Outcome: A Adults are enabled to live healthier lives

Lead accountability: Terri Roche, Director Public Health

Where are we now?: The latest data for successful completion of opiate exits shows a decrease from 3.8% to 3.5% between Quarter 2 and Quarter 3 2018-19 (higher is better). Rotherham is RAG rated as 'red' (lower) when compared to the England average (6.1%). The target improvement by the end of the first year, based on a 1.5% improvement, is 5.8% which has not been met, although final data from the National Drug Treatment Monitoring System (NDTMS) is awaited to establish how far from target the service was at year end.

Change Grow Live (CGL) are aware of the challenge to improve performance and have produced an action plan that targets stratification of opiate users by dose of methadone in order to target the offer of a new detoxification pathway at this group. All staff have had a range of additional training and new clinical approaches to target clients are being trialled. CGL have estimated the number of exits needed to deliver on the 1.5% increase as 72 this year.

Successful completion of non-opiate exits decreased from 29.8% at Quarter 2 2018-19 to 25.6% at Quarter 3 (higher is better). Rotherham is outside the top quartile range for comparator local authorities (9 more successful exits were needed) and RAG rated as 'red' (worse) when compared to the England average (35.7%). This measure is to be removed for 2019-20 to better focus efforts on opiate users as they are the primary concern with regards to substance misuse service performance and this indicator will still be monitored effectively through contract monitoring and service action plans.

The new provider contract with CGL (Change Grow Live) commenced 1 April 2018, however due to the way the successful completion figure is calculated (i.e. wait 6 months after successful completion to ensure client does not re-present back into treatment), and also the need to wait for the new service to have had at least 6 months to change practices, impact of the new service won't be seen until March 2019 National Drug Treatment Monitoring System (NDTMS) data (published mid-May 2019).

Next steps:

- The actions from the Care Quality Commission (CQC) inspection which rated Rotherham CGL as 'requires improvement' will be implemented. These actions also support the exits and recovery agenda.
- The service will continue to implement the opiates action plan but will also need to be mindful of keeping all the other performance in line with national positions e.g. non opiates and alcohol successful completions.
- Public Health will work alongside the Adult Safeguarding service to look into the circumstances surrounding CGL service user deaths and identify any possible learning that would help agencies to respond more effectively to drug and alcohol users.

Risks and Issues

Risk/issue	Mitigation
It is vital that the service has patient safety as its first priority, despite the need to improve the numbers of patients who leave treatment.	Health Select Commission continues to have scrutiny of the new service including reviewing drug related deaths.
Many service users feel that recovery is not an attractive option as being drug free is not a desirable state unless they can build a new life without drugs.	The new service is building links with local housing providers and employment specialists in order to try to build aspiration and hope into the recovery offer.

Outcome: B Every adult secure, responsible and empowered

Lead accountability: AnneMarie Lubanski, Strategic Director Adult Social Care and Housing

Where are we now?: Work on the new Adult Target Operating Model (TOM) has progressed during Quarter 4. This has built on staff input received via workshops on the design principles and has culminated in the directorate being ready to roll-out TOM within an achievable lead-in time. This also incorporates the formal consultation process which has been planned to commence from 1st May for a 45 day period. The new TOM restructure proposals represent a whole system change in the way the Council organise its services and resources. It is part of the Council's Big Hearts Big Changes Programme, which is looking to shape the future of the Council whilst achieving financial sustainability. A number of work streams are in place to support delivery of the new model and it is envisaged to start in late October 2019.

The latest Quarter 4 and year end results have shown that progress to achieve the outcome has overall been positive with 6 of the 8 performance measures targets having been met.

Key highlighted areas of performance across the outcome's five actions show:

Commissioning and using resources effectively - The positive downward trends reported throughout the year have seen both the total number of people who are supported in residential or nursing care and the number of new admissions made in 2019/20 improving compared to last year.

This demonstrates the positive contribution that both the improved case screening and the quality assurance of proposed support plans (which takes place within the Wellbeing Forum) have had on overall admissions. Also, the continued impact of a change of approach within the Single Point of Access (SPA) Team, which is providing more effective home-based care for customers and therefore reducing the need for respite and short term placements, is being sustained.

Info/advice readily available - Increased numbers of people have received information and advice, exceeding the target for 2019/20. This supports the service's aim of people being able to self-serve or be signposted to appropriate universal services. As TOM is rolled out, it is envisaged that total numbers contacting the Council may reduce and this measure will change to report as a proportion of all contacts received rather than a number. In year tracking suggests that the proportion ranged between 37 % and 40 % and the higher 40 % target has been set for 2019/20.

Ensure we make safeguarding personal - The proportion of people subject to a safeguarding enquiry who felt their personal outcomes were met over the full year was 97.1 % and achieved the service target. However, performance Quarter 4 fell to 95.7 % from 99.05 % in Quarter 3. The team are investigating the cause of the decline in performance.

An adult safeguarding team audit of recent safeguarding cases that didn't meet the threshold was undertaken and reported to the Safeguarding Adults Board. The Head of Service for Safeguarding attended the National 'Making Safeguarding Personal' (MSP) event looking at how MSP can be embedded and how to increase the user voice through their experience.

In order to ensure that quality assurance remains a key part of safeguarding work, the wider quality assurance framework must be further embedded across all services.

In order to monitor performance, support wider development and disseminate best practice, the Head of Service and Operation Manager for Safeguarding will continue to hold regular staff development meetings. At these meetings, practitioners are encouraged to discuss their individual cases and to seek advice about issues that they have encountered. This approach has been discussed with partner agencies.

The team is also seeking different methods to gather more feedback from customers and triangulate the 'user voice' as part of its performance reports. This process which will be based on MSP principles, will help to determine whether or not the service is making a positive difference for customers. Contact has been made with another local authority that has an excellent reputation for working with people who self-neglect and hoard, to find out how they gather and record this information.

Improved approach to personalised services - Carer's assessment numbers had been adversely affected in Quarter 3 by reduced staff capacity. This situation improved during Quarter 4 and a strong year end performance resulted in the 567 target only being narrowly missed by 11 Carer assessments. This improved performance is expected to be sustained in 2019/20 and work will continue to further embed a shift towards a more personalised approach for carer's assessments rather than jointly with the person they are supporting. More people chose to meet their needs via a Direct Payment and a 4 year continued improvement has seen personalised take up rise from 17.5 % 2015/16 to just over 23 % 2018/19.

The information and learning from the 'Re-imagining Home Care Event' that took place on the 10 January 2019 has also helped shape the new, more personalised, Home Care tender.

Modernise enablement services - This service's modernisation retains areas of challenge, and recruitment initiatives deployed in year have not resulted in the anticipated additional capacity being reached. As a result, the numbers of people offered the service has fallen back from last year's high and has not met the target. However, over 93 % of those who receive the service do not make a further request for support, which indicates that the service is successfully contributing to restoring people's independence to continue to live at home.

The impact of new working methods linked to roll out of TOM are expected to positively impact on this measure during 2019/20.

The development of the TOM over the next few months will ultimately provide a new operating framework that brings together best practice, the required resources, digital enablers and expected performance that will drive the improved outcomes for people.

Next steps:

- Complete the implementation phase of the TOM for Adult Social Care.
- Further embed the Quality Assurance Framework across all services.
- Seek different methods to gather more feedback from customers
- Investigate the Liquid Logic System to identify any reasons for the decline in the recorded 'Safeguarding Adults at risk who felt their outcomes were met' measure.

Risks and Issues

Risk/issue	Mitigation
Intended outcomes of the new TOM may be affected or delayed if the necessary changes to service delivery do not happen.	The TOM has a detailed project plan and risk and independence (RAID) log, so that any impact can be predicted and mitigated.

Corporate Priority 2 – Every adult secure, responsible and empowered

Key	Overall status (relevant to target)			
	✔	Measure progressing above or in line with target set	✖	Measure under development (e.g. awaiting data collection or target-setting)
	●	Measure progress has been satisfactory but is not fully reaching target set	□	Measure not applicable for target (e.g. baseline year, or not appropriate to set a specific target)
	✖	Measure has not progressed in accordance with target set	■	Measure information not yet available (e.g. due to infrequency or timing of information/data)

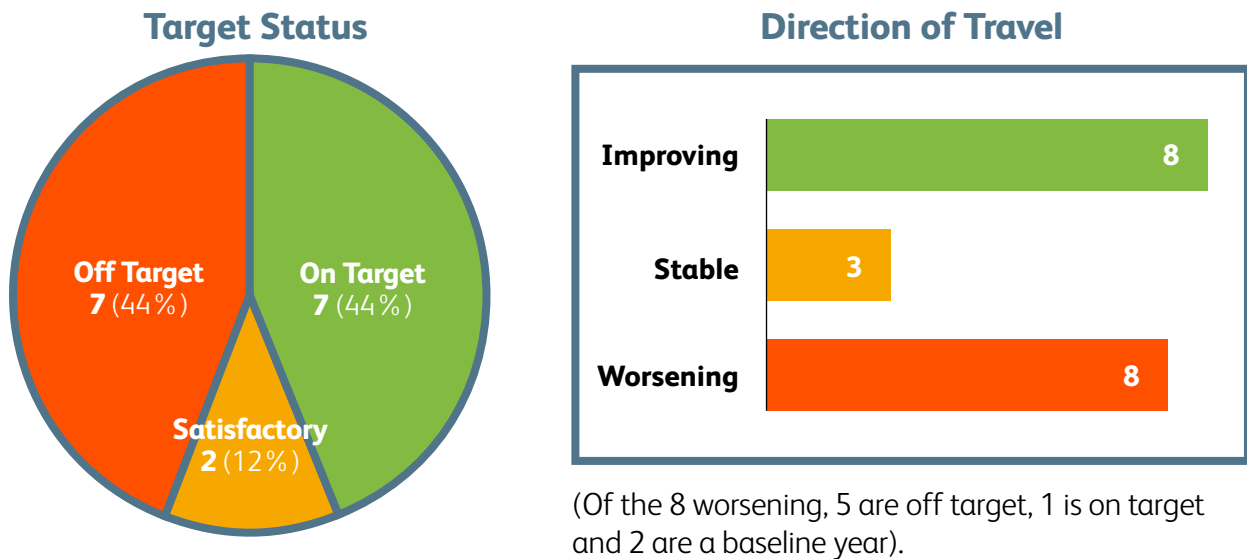
Outcome	Lead Accountability (Strategic Director)	Ref No.	Action	Measure	Lead officer	Good performance	Frequency of reporting	Target	Overall status	DOT												Data notes (where measure has not progressed in accordance with the target set provide details of what is being done to improve performance)	
											Annual				Quarterly					Monthly			
											Year end 2015/16	Year end 2016/17	Year end 2017/18	Year end 2018/19	Q4 Jan - Mar 2018	Q1 Apr - Jun 2017	Q2 Jul - Sep 2018	Q3 Oct - Dec 2018	Q4 Jan - Mar 2019	Jan-19	Feb-19		Mar-19
A. Adults are enabled to live healthier lives	Terri Roche, Director of Public Health	2.A1 (a)	Implement Health and Wellbeing Strategy to improve the health of people in the borough	Successful completion of drug treatment – a) opiate users (aged 18-75)	Gilly Brenner, Consultant in Public Health	High	Quarterly	1.5% increase on the value at new provider starting point (ie April 2018)			6.3% (2015)	3.9% (2016)	4.2% (2017)	n/a (est. due Aug 2019)	4.1%	4.2%	3.8%	3.5%	n/a (due mid-May 2019)				2018/19 is a baseline year for the new service by CGL (Change Grow Live) which commenced April 2018. CGL are aware of the challenge to improve performance and have produced an action plan to stratify clients by methadone dose to target an offer of a new detoxification pathway. However, it will take time for the impact to be seen due to the way the measure is calculated plus it will take at least 6 months for new practices to be established. The target is to achieve a 1.5% increase by the end of the year so this won't be known until Quarter 4 data is available (May 2019) Based on year to date activity the target will be missed, but will have significantly improved on the previous year. There are robust improvement plans in place and any slippage will have to be made up in the following year.
		2.A1 (b)		Successful completion of drug treatment –b) non-opiate users (aged 18-75)	Gilly Brenner, Consultant in Public Health	High	Quarterly	No national target. Local ambition to be within LA Comparators Top Quartile			42.9% (2015)	36.9% (2016)	31.5% (2017)	n/a (est. due Aug 2019)	32.5%	31.5%	29.8%	25.6%	n/a (due mid-May 2019)				Performance on non-opiates has worsened recently. Once the new working practices by CGL are established this is expected to improve in line with opiates. Overall status is based on the latest available quarter (Q3). Rotherham's figure of 25.6% is outside LA Comparators Top Quartile range and worse than England (35.7%). NOTE - Quarter represents point of success i.e. 6 months after end of treatment where person did not re-present.
B. Individuals and carers are supported to be safe, independent and resilient within a personalised model of care and support	Anne Marie Lubanski, Strategic Director Adult Social Care and Housing (Commenced 8th August 2016).	2.B1	We must ensure we make safeguarding personal	Proportion of Safeguarding Adults at risk who felt their outcomes were met.	Ian Spicer - Assistant Director of Independent Living and Support	High	Quarterly	96.6%			72%	85%	98.3%	97.13%	98.50%	96.60%	96.50%	99.05%	95.74%				Data is provisional pending submission and verification of statutory returns to NHS Digital. There was a decline in performance in Q4 and further analysis is taking place to understand reasons for decline. Cumulative score for 2018-19 is 97.13% which is above annual target set and equates to 336 out of 346 individuals who felt their outcomes were met.
		2.B2		No. of Safeguarding investigations (Section 42 enquiries) completed per 100,000 population adults (over 18 years)	Ian Spicer - Assistant Director of Independent Living and Support	Not applicable	Quarterly	No target - not applicable			278	214	314	253.35 (Cumulative)	358	64.77	123.52 (Cumulative)	190.86 (Cumulative)	253.35 (Cumulative)				Data is provisional pending submission and verification of statutory returns to NHS Digital. National guidance states a higher value is not reflective of good or bad performance, therefore the previous target of 336 has been removed. Q4cumulative performance is based on 523 S42 Enquiries completed . Performance based on rate per 100,000 population.
		2.B3	We must ensure that information, advice and guidance is readily available (e.g. b increasing self assessment) and there are a wide range of community assets which are accessible	Number of people who are provided with information and advice at first point of contact (to prevent service need).	Jayne Metcalfe- Head of Service - Front Facing Services	High	Quarterly	2,750			944 (Nov-Mar)	2,780	2,452	3,255	655	926 (37.9% of all contacts)	839 (38.8% of all contacts)	838 (40.3% of all contacts)	889 (37.8% of all contacts)				There has been an increase in the numbers of individuals not known to the service and provided with information and advice in quarter 4. However, this data when represented as a proportion of the total contacts demonstrates a decline on the previous quarter percentage score. In quarter performance has now been updated to include the proportion of contacts signposted/provided info and advice to more clearly show the direction of travel against total contact volumes.
		2.B4	Improved approach to personalised services – always putting users and carers at the centre of everything we do	Proportion of Adults receiving long term community support who received a direct payment (excludes managed accounts)	Ian Spicer - Assistant Director of Independent Living and Support	High	Quarterly	22%			17.5%	19.2%	20.30%	23.28%	19.98% (As at end of period)	20.50%	21..25%	21.71%	23.28%				Data is provisional pending submission and verification of statutory returns to NHS Digital. Performance in Q4 has increased steadily with 633 service users in receipt of a direct payment. This indicator has benefited from a reduction in numbers accessing service at the end of Q4
		2.B5		Number of carers assessments	Ian Spicer - Assistant Director of Independent Living and Support	High	Quarterly	567			2,420	771	2,051	556	183	123	148	95	173				Q4 performance has significantly increased and year end score of 556 is much closer to target than anticipated. There was a change in definition/calculation at the start of 2018/19 therefore Q4 2017-18 performance has been reprofiled using the current methodology to allow for accurate comparison. (Note added to the target field too to clarify the backward target on 17/18, actual target figure unchanged)
		2.B6	Modernise Enablement Services to maximise independence, including: • Intermediate care • Enabling • Prevention agenda • Developing community assets	The proportion of people (65+) offered the reablement service after discharge from hospital	Ian Spicer - Assistant Director of Independent Living and Support	High	Annual	2.6%			1.7%	1.8%	2.24%	1.70%	2.24%			1.70%					Annually calculated based on 3 mth period. Numbers offered have decreased to 136 from 174 reported in 2017-18 performance data. At present the service is performing below the national average of 2.6% of all customers accessing reablement: with current resources, the service is able to provide this support for 1.7% (in keeping with data for years 15/16 and 16/17 and this increased to 2.24% when external providers were introduced in 2017-18 and capacity temporary increased. Plans are now progressing to increase service capacity.
		2.B7		Proportion of new clients who receive short term (enablement) service in year with an outcome of no further requests made for support	Ian Spicer - Assistant Director of Independent Living and Support	High	Quarterly	83%			86.1%	81.9%	88.50%	93.50%	88% (Cumulative)	89%	91% (Cumulative)	90.4% (Cumulative)	93.5% (Cumulative)				Data is provisional pending submission and verification of statutory returns to NHS Digital. This indicator demonstrates continuous improvement from 2016-17 score of 81.9% Investigation of the cohort is planned to assess measures optimum range in conjunction with benchmarking data when available.
		2.B8	We must commission service effectively working in partnership and co-producing with users and carers. We must use our resources effectively.	All age numbers of New permanent admissions to residential nursing care for adults	Ian Spicer - Assistant Director of Independent Living and Support	Low	Quarterly	305			432	356	334	303	351 (Cumulative)	70	145 (Cumulative)	202 (cumulative)	303 (Cumulative)				Data is provisional pending submission and verification of statutory returns to NHS Digital. 2018-19 has seen an improvement in performance with a reduced number if people having their care and support needs met by a permanent admission to residential care. This data supports the calculation of ASCOF 2A performance indicators and initial analysis suggest an improved position above regional/national averages
		2.B9		All age total number of people supported in residential/nursing care for adults	Ian Spicer - Assistant Director of Independent Living and Support	Low	Quarterly	950			1,288	1,111	1,023	933	1,023 (As at end of period)	998	975	943	933				Data is provisional pending submission and verification of statutory returns to NHS Digital. There has been a positive reduction in numbers supported in residential/nursing care decreasing by 8.8% in 2018-19. This has been supported by a reduced rate of admissions as detailed in indicator 2B.8 and provides assurance that strength based practice is becoming embedded within Adult Care.



Priority 3: A strong community in a clean, safe environment

Performance headlines

The diagrams below provide an overview of performance (status and direction of travel) in relation to the priority (where data is available or where targets have been set).



(Note - The overall totals in the diagrams may differ because some measures, which have a direction of travel, do not have a status rating (on target, satisfactory or off target) as this is not applicable). These are measures where no target has been set, however good performance (high or low) is still applicable).

Areas performing well or improving



(measures which have achieved targets and direction of travel improved)



(3.A1(b) Reduce the number of repeat victims of ASB

138 repeat callers in the course of the year against a target of 320 (80 per quarter) (Lower is better)



(3.A6) **Number of engagements with the Council's Culture and Leisure facilities which help adults and children learn, develop their skills or get a job.** 465,734 engagements during the year against a target of 320,000 (Higher is better)

(3.A7) **Customer satisfaction with culture, sport and tourism services** Average satisfaction in year is 89.8% against a target of 89.3% (higher is better)

(3.A8) **Number of visits to the Councils, Culture and Leisure facilities** 4,030,130 visits against a target of 3,000,000 visits (higher is better)



(3.B1(b) % of the non-principal road networks in need of repair

4% in need of repair against a target of 6% (lower is better)

Areas for improvement



(measures which have not achieved targets and direction of travel worsened)



(3.A1(a) Public perception of ASB
(via the "Your Voice Counts" quarterly survey)

44% of people think ASB is a "big" of "fairly big" problem against a target of 32%. (lower is better)

Public perception of ASB does not reflect the downward trend in reported ASB incidents.

A recent performance clinic led by the Cabinet Member has triggered a range of activity to address the behaviours of most concern within the survey data

(3.A2) An increase in the % of positive outcomes over the year, for reported Hate Crime cases - 13.45% of Hate Crimes had a positive outcome compared to a target of 20% (Higher is better).

Increased use of Community Resolutions is intended to generate more positive outcomes in the future.



(3.B2(a) Effective enforcement action taken where evidence is found a Fly Tipping (fixed penalty notices and prosecutions)

23 prosecutions against a target of 37 or more (higher is better).

Although the target has not been achieved this financial year there have been a number of significant organised criminals tackled through the intervention. If the measure included cases passed to Legal but not yet heard, this year's cumulative figure would be 42, the same as 17/18



(3.B3) Total number of customer contacts by service area and overall

Total of 209 complaints in the year against a target of 75 (lower is better)

The waste management changes have, as expected, increased the number of contacts and complaints from residents. Substantial communication with residents has been undertaken and continues to minimise the impacts as the changes proceed



(3.B4) Number of missed bins per 100,000 collections

69.51 missed bins per 100,000 against a target of 50 (lower is better)

There has been confusion among residents as to which bin to present as an effect of the change to the kerbside service.

Communication continues and missed collections are monitored with regular meetings held with crews where performance is raised and improvement required

Outcome: A Communities are strong and people feel safe
(also contributes to priority 2 Every adult secure, responsible and empowered)

Lead accountability: Paul Woodcock, Strategic Director Regeneration and Environment and Shokat Lal, Assistant Chief Executive.

Where are we now?: A Performance Clinic was held on 11th March 2019, at the request of the Cabinet Member for Waste, Roads and Community Safety, to seek to identify actions to address the increases in the amount of people surveyed who perceive anti-social behaviour (ASB) as a problem in their area. When analysed further, it is clear from the data that the most common types of behaviour they are affected by fall into three categories: drug use and supply; enviro-crime such as littering and waste offences; and illegal use of off-road motor vehicles. As a result, the following actions are now being progressed:

- Target three types of crime that are having the most adverse effect on resident's perception of ASB (drugs, littering, and illegal use of off-road motor vehicles).
- Implement a robust plan to address these issues, including a communications plan.
- Give greater focus to the North, Central and South Tasking Groups to realign resource and activity accordingly. Provide a 6 month progress report to the Safer Rotherham Partnership Board (SRP Board).

The sustained improvement in decreasing the number of repeat victims of ASB has been achieved partly due to revised multi-agency processes agreed by SRP in December 2018. These new processes seek to achieve long-term sustainable solutions, and have been instrumental in driving down the number of repeat victims.

In relation to Hate Crime, SRP requested further analysis in relation to outcomes. This has resulted in figures being revised for the previous quarters and increases in the number of successful outcomes. The target of 20% is however, still not being achieved. The changes in the figures are due to active cases reported in the quarter being concluded outside of the reporting period for that quarter. This means that quarter 4 results are also likely to improve in the next quarter of the year. Whilst this does therefore show better progress than previously reported, recorded positive outcomes for Hate Crimes are still being impacted by the following factors:

- Increased reporting of low level incidents where no suspects can be identified;
- Increased anonymous reporting;
- Reports from schools or involving schools dealt with in house often do not generate a positive outcome, however recent guidance will allow recognition for offences where an educational intervention has taken place.

In order to drive further improvements, South Yorkshire Police (SYP) are seeking to increase the use of Community Resolutions. The Police and Crime Commissioner has commissioned 'Remedi', in order to support the initiative. This will deliver restorative justice solutions and increase the training of officers within the force. A launch event was held on the 15th May 2019 to promote the new service and further work will be delivered locally to raise awareness.

The Council continues to raise awareness of the impacts of hate. As a follow up to the successful 'Harms of Hate' event held at Magna in September 2018, pupils from Rawmarsh Community School attended an event at Manchester Metropolitan University ('Challenging Hate and Extremism 2019'). This provided an opportunity for young people to challenge extremist narratives and look at alternative viewpoints.

The Council's culture, sport and tourism facilities have exceeded targets for the number of visits by 1,030,130 visitors. This is predominantly related to increases in visits to parks and green spaces where the fine weather saw a significant increase in visits in the summer. Libraries have had an exceptional year, despite a very challenging environment for libraries nationally. The service has seen an increase in visits of 11,668 over the year – an increase of 14% - and maintained customer satisfaction ratings at 99.8%. It should also be noted that the service managed to achieve its target during Quarter 4 for the number of 'active borrowers' from Rotherham's library facilities. This reverses a long-term decline in usage to see an increase for the first time in 10 years.

Construction and site work on the Waleswood Camping and Caravan Park throughout 2018/19 meant that it was able to open in time for Easter. Early feedback on the site has been very complimentary with many 10 star reviews posted.

The Cultural Strategy has been revised following feedback from the extensive consultation process. There are now seven 'game-changers' and three 'enabling' actions. The overall goal is 'to get more people active, creative and outdoors more often' as a means of supporting economic growth, civic pride, community cohesion and health and wellbeing. The final draft will be considered by Cabinet in June and by the Rotherham Together Partnership in June/July.

Extended user testing by the Licensing Team of the system to deliver online licensing application processes, has identified a number of issues which have been raised by the supplier. This has unfortunately resulted in delays into the new financial year.

Next steps:

- Final draft of the Cultural Strategy to be considered by Cabinet in June and the Rotherham Together Partnership in June /July19
- Tender process for the Rother Valley Country Park Masterplan to start in June
- Consultation process on the development of a new Library Strategy 2020 – 2025 and the development of plans for a new town centre library
- A Public Space Protection Order, (PSPO) for the Fitzwilliam Road area is undergoing consultation with a deadline of 5th May, 2019
- A review and upgrading of the Clifton Park CCTV camera system is being undertaken to improve community safety
- Increase awareness of Restorative Justice to drive up positive outcomes to incidents of hate Crime
- Review of the Council's Hackney Carriage and Private Hire Licensing Policy to commence with a planned implementation date of end December 2019
- Progress work with potential new suppliers to enable the introduction of the online licensing application system.

Risks and Issues

Risk/issue	Mitigation
Hate Crimes outcomes continue to decline.	Increase awareness of Restorative Justice.
More People accessing domestic abuse support services.	A Domestic Abuse service review is underway and goes before Cabinet in April 2019.

Outcome: B Streets, public realm and green spaces are clean and well maintained

Lead accountability: Paul Woodcock, Strategic Director Regeneration and Environment

Where are we now?: The Council has provided a capital grant of £10m over three years to improve the condition of the unclassified road network which totals more than 700km of road. 2018/19 was the second year of this funding and £4m was invested. current highway ssset measures show the percentage of roads identified as in a 'green' condition, which is good and does not require repair, has increased. The measure of unclassified roads that are identified as in an 'amber' condition is also reducing. The Council has adopted best practice to target roads that have been assessed as in an 'amber' condition rather than "worst first" i.e. only focusing on 'red' condition roads. This method of improving the Council's road network has delivered a reduction in the number of pot holes reported and a reduction in the number of highway related insurance claims received, compared to the 3 year average.

The Council's Service Level Agreement (SLA) with Doncaster Council stipulates a minimum of 5,000 fines to be correctly issued for enviro-crime offences each year of the contract. This target has unfortunately not been met during the first six months of the contract. It is anticipated that at current performance levels there is a significant risk that the targeted level of fines will not be met for the 2019/20 period. This reduced level of performance is not unique to Rotherham, and is similar to the position in both Doncaster and North East Lincolnshire who also fall within the same contractual arrangements as Rotherham. There are a number of contributing factors to the poor performance, foremost of which is staff retention by the contractor and achievement of individual staff targets.

During the 2018/19 reporting period the measure on fly-tipping prosecutions and fixed penalty notices was amended to only include cases that had been heard in Court. Previously the measure reflected case files put forward to legal to pursue to court. Consequently, performance against the measure for is reliant on the courts hearing cases, which can be problematic given the potentially long periods from the presentation of files to court and the actual outcome hearing.

The number of prosecutions and fines that the Council issue are reliant upon evidence being present to indicate an offender. Where there is sufficient evidence to pursue, then action is taken. Where there is no evidence, prosecutions and fines are unfortunately not possible. In many instances, fly tipping incidents do not contain sufficient evidence to lead to prosecution or fines being issued. The variations from quarter to quarter in respect of fly tipping prosecutions and fines are therefore subject to both sufficient evidence being found, and court timelines.

Although the target for enforcement action on fly-tipping has not been achieved this financial year there have been a number of significant organised criminals tackled through intervention and a number of cases are awaiting hearing dates and court appearances.

The new chargeable garden waste service now has 36,000 residents signed up and the figure is expected to rise again after Easter as garden waste begins the summer schedule of collections.

As anticipated, the second phase of waste management changes saw another rise in the number of missed bins per 100,000 collections, giving a performance figure for the year of 69.51. Despite the increase changes to the waste service introduced during 2018/19, this is still close to the national average performance of 64.35 (per 100,000 collections). Projections for performance in the first quarter of 2019/20 are showing an improvement in service, and evidence of a return to the high levels of performance achieved before the waste changes were implemented. April's missed bins figure saw 68 fewer missed bins per 100,000 collections than the previous month.

So far the changes have seen an increase of 27 % in collection of paper and cardboard between October 2018 and March 2019 when compared to the same period in 2017/18. The weight of general waste collected has reduced by around 7 % and the volume of collected plastic has initially increased by 50 %. Monitoring of fly-tipping during the period of waste service changes has shown no major variance in the amount of fly-tipping being reported, compared to the same period last year.

As expected, given the changes to the service, the number of complaints about the waste management service has also increased from 101 in 2017/18, (including informal complaints, classed as Stage 1 complaints from April 2018) to 176 in 2018/19. However, of these, only 48 % were either partially or fully upheld. 96 % were responded to within the Council's timescales.

Next steps:

- Start of procurement process to align environmental back office staff and front-facing systems to achieve efficiencies in speed and response to incidents
- Delivery of a project to provide recycling to all social housing flats across the Borough
- Introduction of zonal working by grounds maintenance and street cleansing staff to align with other Council services
- Continue to focus investment on 'amber' roads and repair roads using the most efficient method to provide a network that is fit for purpose.

Risks and Issues

Risk/issue	Mitigation
As the roll-out of recycling to social housing flats commences there may be an initial increase in customer complaints.	While the changes are implemented the extensive communication and engagement programme will continue and progress monitored.
Volatility of recycling markets could see increased treatment costs.	Mitigated by contract arrangements and close and regular monitoring of material markets.

Corporate Priority 3 – A strong community in a clean safe environment

Key	Overall status (relevant to target)			
	✔	Measure progressing above or in line with target set	✖	Measure under development (e.g. awaiting data collection or target-setting)
	●	Measure progress has been satisfactory but is not fully reaching target set	□	Measure not applicable for target (e.g. baseline year, or not appropriate to set a specific target)
	✖	Measure has not progressed in accordance with target set	■	Measure information not yet available (e.g. due to infrequency or timing of information/data)

		Ref No.	Action	Measure	Lead officer	Good performance	Frequency of reporting	Target															Data notes (where measure has not progressed in accordance with the target set provide details of what is being done to improve performance)		
Outcome	Lead Accountability (Strategic Director)										Overall status	DOT	Annual				Quarterly					Monthly			
													Year end 2015/16	Year end 2016/17	Year end 2017/18	Year end 2018/19	Q4 Jan - Mar 2018	Q1 Apr - June 2018	Q2 Jul - Sep 2018	Q3 Oct - Dec 2018	Q4 Jan - March 2019	Jam 19		Feb-19	Mar-19
A. Communities are strong and help people to feel safe	Paul Woodcock, Strategic Director Regeneration and Environment	3.A1(a)	Ensure that the Safer Rotherham Partnership is robust and fit for purpose. Develop an effective Community Safety Strategy and Performance Management Framework	Public perception of ASB (via the "Your Voice Counts" quarterly survey)	Tom Smith - Regeneration and Environment	Low	Quarterly	32%	✗	🔄	30%	32%	34%	44%	33% which is a 1% increase on the same period 16/17 but a 1% reduction on Q3.	34%	48%	43%	44%				Public perception of ASB does not reflect the downward trend in reported ASB incidents. The number of people stating that they think ASB is a big or fairly big problem in their area has been increasing year on year since 2015/16. A recent performance clinic led by the Cabinet Member has triggered a range of activity to address the behaviours of most concern within the survey data		
		3.A1(b)		Reduce the number of repeat victims of ASB	Tom Smith - Regeneration and Environment	Low	Quarterly	80 or fewer repeat callers per quarter	✔	🔄			309 (total for year)	138 (total for year)	63	46	38	26	28				A continued decline is seen as positive. Partnership focus is now moving to focussing on wider repeat victims of crime		
		3.A2		An increase in the % of positive outcomes over the year, for reported Hate Crime cases	Tom Smith - Regeneration and Environment	High	Quarterly	20%	✗	🔄	38%	22%	Currently not available, see data notes	13.45% (average over the year)	Currently not available, see data notes	12.9% which equates to a 1.1% reduction on the same period last year.	19.7% which equates to a 4.5% increase on the same period last year.	14.3% which equates to a 3.7% reduction on the same period last year.	6.9% which equates to a 10.2% reduction on the same period last year.				Due to the time taken to investigate, many of the incidents reported in Quarter 4 will not yet have any outcomes recorded. The target of 20% has still not been achieved during the current year, however the revised figures for Quarter 2 saw 19.7% of cases achieving a positive outcome, with a majority now closed. Efforts to increase positive outcomes will be a challenge based on current estimates suggesting that around 50% of demand relating to hate crime relates to cases where either the report is anonymous or there are no lines of enquiry, meaning a positive outcome cannot be achieved. The Safer Rotherham Partnership have begun to work on a Hate Crime strategy.		
		3.A3(a)		Total number of referrals to Domestic Abuse support services	Tom Smith - Regeneration and Environment	High	Quarterly	Baseline year	📦	🔄				2.855		692	675	761	727				Referrals to Support Services totalled 2,885 during 2018/19 at an average of 714 per quarter. This represents a small increase on the previous year.		
		3.A3(b)		% of people receiving Domestic Abuse support who are satisfied with the service	Tom Smith - Regeneration and Environment	High	Annual	Baseline Year	📦				71%, (further 20% partly satisfied)					71%, (further 20% partly satisfied)					This outturn is based on RMBC Review of Commissioned and Non-Commissioned Domestic Abuse Services, with a data size of 101 service-users.		
		3.A4(a)	Ensure an robust, effective and efficient licensing service	The number of on the spot inspections of taxis	Tom Smith - Regeneration and Environment	High	Quarterly	Baseline year	📦	🔄				127		37	19 (Cumulative 56)	17 (cumulative 73)	54 (127 cumulative)				Of the 54 inspections, 13 vehicles did not comply with the Councils licensing requirements. Reasons for non-compliance were; • Six vehicles were not displaying the required "no-smoking" signage (warning issued and signs provided). • Four vehicles did not have the required fire extinguisher within the vehicles (warning issued). • Three vehicles did not have the required first aid kit within the vehicles (warning issued). • One vehicle failed to display the rear plate in the required manner (warning issued). • One vehicle had a taxi camera system installed that had not been maintained as required by the camera supplier (warning issued). • The owner of one vehicle was given advice regarding the exterior condition of the vehicle. During the inspections 7 drivers, (13%), did not comply, 6 of the 7 failed due to failure to wear their badge as required, all were issued with a warning, the remaining driver was issued with a warning for failure to maintain their taxi cameras, (system was operational).		
		3.A4(b)		The % of taxis found to be compliant with the licensing regime during on the spot inspections.	Tom Smith - Regeneration and Environment	High	Quarterly	Baseline year	📦	🔄			75%			57%	79% (vehicles) (Cumulative: 64%)	88% (vehicles) (Cumulative: 70%)	76%						
		3.A5 a)	Rotherham residents are satisfied with their local area and borough as a place to live	a) How satisfied or dissatisfied are you with your local area as a place to live	Christopher Burton, Assistant Chief Executive's office	High - very or fairly satisfied	6 monthly	>79%	🟡	🔄	79% June 2015 82% December 2015 satisfied or fairly satisfied	80% June 2016 81% December 2016 satisfied or fairly satisfied	79% June 2017 75% February 2018 Very or fairly satisfied	79% (Wave 8 December 2018) Very or fairly satisfied	75% (Wave 6 February 2018) Very or fairly satisfied	79% (Wave 7 June 2018) Very or fairly satisfied		79% (Wave 8 December 2018) Very or fairly satisfied					Satisfaction with the local area within Rotherham as a place to live has remained high and fairly stable since the first satisfaction survey in June 2015. Across the eight waves, the average level of satisfaction has been 79 per cent and no survey result has varied from this by more than four per cent. Importantly, the proportion of respondents who were either 'very satisfied' or 'fairly satisfied' across all eight polls was very similar to the national average over the same time period. Finally, respondents were asked, all things considered, how satisfied or dissatisfied they were with Rotherham Borough as a place to live. Sixty one per cent of respondents said, overall, that they were satisfied. This was close to the average of the seven relevant surveys although there has been considerable fluctuation between waves.		
		3.A5 b)		b) Overall, all things considered, how satisfied or dissatisfied are you with Rotherham Borough as a place to live	Christopher Burton, Assistant Chief Executive's office	High - very or fairly satisfied	6 monthly	>69%	✗	🔄	69% June 2015 61% December 2015 very or fairly satisfied	62% June 2016 66% December 2016 very or fairly satisfied	56% February 2018 Very or Fairly Satisfied	61% (Wave 8 December 2018) Very or Fairly Satisfied	56% (Wave 6 February 2018) Very or Fairly Satisfied	57% (Wave 7 June 2018) Very or Fairly Satisfied		61% (Wave 8 December 2018) Very or Fairly Satisfied					The next survey will take place in June 2019.		

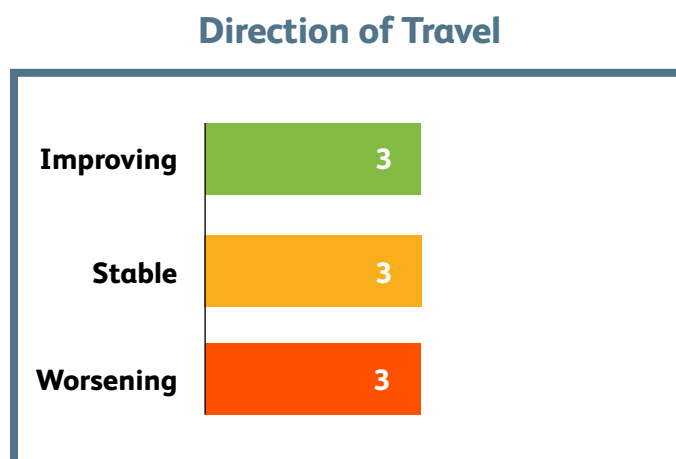
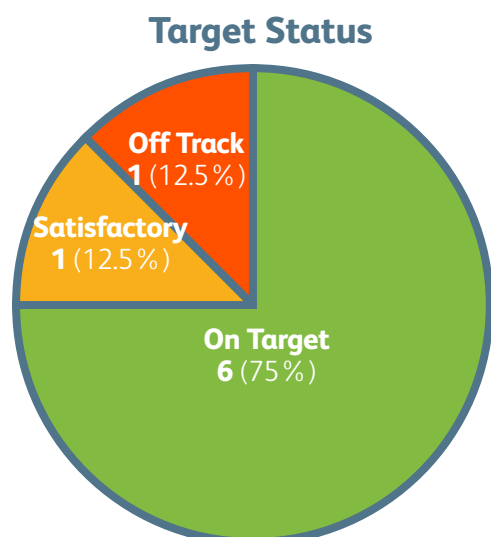
		Ref No.	Action	Measure	Lead officer	Good performance	Frequency of reporting	Target															Data notes (where measure has not progressed in accordance with the target set provide details of what is being done to improve performance)
Outcome	Lead Accountability (Strategic Director)								Overall status	DOT	Annual				Quarterly					Monthly			
											Year end 2015/16	Year end 2016/17	Year end 2017/18	Year end 2018/19	Q4 Jan - Mar 2018	Q1 Apr - June 2018	Q2 Jul - Sep 2018	Q3 Oct - Dec 2018	Q4 Jan - March 2019	Jam 19	Feb-19	Mar-19	
A. Communities are strong and help people to feel safe	Paul Woodcock, Strategic Director Regeneration and Environment	3.A6	Create a rich and diverse cultural offer and thriving Town Centre	Number of engagements with the Council's Culture and Leisure facilities which help adults and children learn , develop their skills or get a job.	Polly Hamilton - Regeneration and Environment	High	Quarterly	320,000 cumulative annual target.	✔	↻			400,228	465,734	101,243 (Cumulative)	109,074	102,907 (211,981-cumulative)	131,638 (343,832 - cumulative)	122,115 (465,734 cumulative)				Although some facilities experienced a decrease in some activity types on Q3, overall there was a 13% increase on engagement activity against Q4 in 2017/18 and the yearly target for engagements was exceeded by nearly 146,000.
		3.A7		Customer satisfaction with culture, sport and tourism services	Polly Hamilton - Regeneration and Environment	High	Quarterly	a) Libraries and CSC >90% b) Heritage Sites >90% c) Parks and Opens Spaces >82% d) Sport and Leisure Facilities >95%	✔	↻			a- Libraries & CSC) 99.2% b- Heritage Sites) 87% b- Heritage Sites) 91% c- Parks and Open Spaces) 84% d- Sport & Leisure Facilities) 90.65%	a- Libraries & CSC) 99.01% b- Heritage Sites) 89.2% b- Heritage Sites) 82.65% c- Parks and Open Spaces) 82.65% d- Sport & Leisure Facilities) 90.65%	a- Libraries & CSC) 98.76% b- Heritage Sites) 86.6% c- Parks and Open Spaces) 82.65% d- Sport & Leisure Facilities) 90.65%	a- Libraries & CSC) 99.06% b- Heritage Sites) 88% c- Parks and Open Spaces) 82.65% d- Sport & Leisure Facilities) 90.65%	a- Libraries & CSC) 99.10% b- Heritage Sites) 85% c- Parks and Open Spaces) 82.65% d- Sport & Leisure Facilities) 90.19%	a- Libraries & CSC) 99.80% b- Heritage Sites) 88% c- Parks and Open Spaces) 82.65% d- Sport & Leisure Facilities) 90.19%				Rated as Green as average satisfaction outturn at Q4, exceeds average target figure. Average satisfaction for the year is 89.8% also exceeds target average. DOT based on quarter against quarter due to changes to leisure statistics since 2017/18.	
		3.A8		Number of visits to the Councils, Culture and Leisure facilities a - Libraries b - Clifton Park Museum, archives and other heritage sites c - Civic Theatre d - Country Parks (Rother Valley, Thyrbergh and Clifton Park) e - Visitor Information Centre f - Events g - Engagement and Outreach Activities h - Leisure Centres i - Other activities delivered by Third Parties	Polly Hamilton - Regeneration and Environment	High	Quarterly	3,000,000 cumulative annual target	✔	↻			a: 538,851 b: 110,217 c: 86,981 d: 1,034,416 e: 47,326 f: 69,660 g: 31,205 h: 1,296,570 i: 1,320 Total no. of visits = 3,216,546	a: 616,179 b: 111,154 c: 80,578 d: 1,838,798 e: 0 f: 68,460 g: 6,996 h: 1,307,965 i: 0 Total no. of visits = 4,030,130	a: 127,521 b: 13,784 c: 23,711 d: 270,851 e:11,446 f: 100 g: 7,271 h: 345,253 i: 0 Total no. of visits = 799,937	a: 155,196 b: 35,532 c: 17,875 d: 555,368 e: 0 f: 6,250 g: 2,490 h: 341,787 i: 0 Total no. of visits = 1,114,498	a: 157,446 b: 47,631 c: 7,429 d: 646,273 e: 0 f: 55,600 g: 1,514 h: 330,817 i: 0 Total no. of visits = 1,246,710 2,361,208 cumulative	a: 148,283 b: 12,767 c: 34,327 d: 319,720 e: 0 f: 6,610 g: 1,280 h: 297,690 i: 0 Total no. of visits = 820,677 3,181,885 cumulative	a: 155,254 b: 15,224 c: 20,947 d: 317,437 e: 0 f: 0 g: 1,712 h: 337,671 i: 0 Total no. of visits = 848,245 4,030,130 cumulative				The Town Centre Annual events programme does not commence until Easter each year. Therefore, unless Easter falls within the month of March, there will be no recorded data for this quarter each year. Theatre attendance was down on Q4 of 17/18, mainly due to the choice of pantomime, also a number of cancellations negatively impacted on the figure. The outturn for 2018/19 exceeded its target by over a million visitors
B. Streets, public realm and green spaces are clean and well maintained	Paul Woodcock, Strategic Director Regeneration and Environment	3.B1 (a)	Deliver a cleaner, greener Rotherham to ensure that it is a safe Rotherham to ensure that it is a safe and attractive place to live, work and visit	Percentage of the principal road network in need of significant repair	Tom Smith - Regeneration and Environment	Low	Annual	3%	✔	↻	3%	3%	2%	2%									Target Achieved. Out turn better than national average.
		3.B1 (b)		% of the non-principal road networks in need of repair	Tom Smith - Regeneration and Environment	Low	Annual	6%	✔	↻	6%	7%	5%	4%									Target Achieved. Out turn better than national average.
		3.B1 (c)		% of unclassified roads in need of repair	Tom Smith - Regeneration and Environment	Low	Annual	22%	●	↻	24%	23%	23%	23%	23%	24%	23.75%	23.75%	23%				The condition of the unclassified Road Network - Estate Roads - in Rotherham requires further investment to reach the National Average. The Council has invested in a capital grant of £10m over three years to improve condition of the network. 2018/19 is the first year and £3m will be invested to improve the condition of the unclassified network which totals more than 700km of roads. Current Highway Asset measures show the percentage of roads identified as in a GREEN condition which is good and does not require repair has increased. And the measure of unclassified roads that are identified as in an AMBER condition is reducing. The Council has adopted best practice to target roads that have been assessed as in an AMBER condition rather than "worst first" i.e. focusing only on RED condition roads. Accordingly this method of improving the Councils road network has demonstrated a reduction in the number of pot holes reported.



Priority 4: Extending opportunity, prosperity and planning for the future

Performance headlines

The diagrams below provide an overview of performance (status and direction of travel) in relation to the priority (where data is available or where targets have been set).



(Of the 3 worsening, 2 are on target and 1 is off target)

(Note - The overall totals in the diagrams may differ because some measures, which have a direction of travel, do not have a status rating (on target, satisfactory or off target) as this is not applicable). These are measures where no target has been set, however good performance (high or low) is still applicable).

Areas performing well or improving



(measures which have achieved targets and direction of travel improved)



(4.B2) % of stock that is non-decent

There was no stock “non-decent” by the end of the year against a target of 0.5% (Lower is better)



(4.A7) Number of planning applications determined within specific period

All categories have maintained 100% performance throughout the year and the Council has maintained No1 position for determining planning applications within prescribed timescales in the country (Higher is better).



(4.B3) % of privately rented properties compliant with Selective Licensing conditions within designated areas

95.7% compliant against a target of 95% (Higher is better)

Areas for improvement



(measures which have not achieved targets and direction of travel worsened)



(4.B1(b) Number of new homes delivered during the year)

427 new homes were delivered in the year against a target of 641 (Higher is better)

Performance against the overall new homes target will increase in future years as a result of the large number of sites allocated for housing in the Local Plan

Outcome: A Businesses supported to grow and employment opportunities expanded across the borough

Lead accountability: Paul Woodcock, Strategic Director Regeneration and Environment.

Where are we now?: The team has worked throughout the year to help deliver the annual Get Up to Speed with STEM event at Magna Science Adventure Centre, with over 3000 attendees this year. Around 100 companies from the local region exhibited and almost 70 schools attended, including those from the borough, but also as far afield as Leicester and Newcastle. This is putting Rotherham on the map in relation to industry-education links and opportunities for young people. The event also saw over 150 business people attend the networking and business breakfast, as well as a VIP lunch for over 100 dignitaries and senior business people.

As part of plans to regenerate Rotherham town centre, on 1st March 2019 the Rotherham Bus Interchange re-opened following a 10 month closure. The refurbishment work has cost the Sheffield City Region Mayoral Combined Authority a total of £12.5m, and has included significant structural repairs, as well as state of the art customer information systems and general improvements to the waiting environment.

The car park above is not yet complete along with a number of snagging issues, but when open there will be new surfacing, larger parking bays and better pedestrian routes. There has also been a series of solar panels installed to improve the sustainability of the building as well as ten electric vehicle charging points to encourage take up of hybrid and electric vehicles in Rotherham.

Bus services have relocated back from the temporary station on Forge Island, to begin work on the first stages of the leisure-led regeneration scheme at the heart of the Town Centre.

To further aid the regeneration of the Town Centre, an expression of interest has been submitted in March 2019 to the Governments High Street Fund with grants up to £25m available to aid Town Centres in their regeneration efforts. A decision on whether Rotherham has been successful and moved to the next stage of the process is expected in August.

A 21,000 square metre commercial development was approved by the Council on 5th April at Dinnington, for United Caps, despite concerns from the nearby Bluebell Hospice regarding potential noise nuisance and general disturbance. The applicant worked closely with officers of the Council and the Hospice to identify potential issues and amended the layout on site to minimise the impacts.

The initial facility will be 54,000 sq ft, with an option to expand to 215,000 sq ft as business growth demands. To be delivered in 4 phases, each phase will add an additional bay incorporating further factory and warehouse accommodation.

A further application for 11,000 square metres of commercial development was approved by the Council on 16th May on the former Laycast site at Fence, and when developed out would complete development on the overall site.

Planning permission granted to allow Ritchie Bros,(the world's largest auctioneer of heavy equipment and trucks) to use the former Maltby Colliery as its new UK location. The proposal will create an estimated 30 full time jobs and 40 additional temporary jobs, and result in an estimated £3m of investment in to Maltby Colliery. The first auction was held under permitted development rights in February 2019. The approved application is for a smaller area of the site to be used on a temporary basis of 12 months with plans set to follow for the use of around 26 acres of the site on a longer term basis.

During the quarter the 'Launchpad Business Start-up' programme continued to assist the creation of new businesses in the Borough and although the numbers were affected by the proximity to the new tax year and potential businesses waiting to register, the programme helped start 7 businesses.

Next steps:

- Consultation on the Public Realm section of the Town Centre Masterplan continues with a 'rolling process' of consultations
- Employment and Skills Plan to be submitted to Cabinet for endorsement in June, 2019
- United Caps have now cleared the site (as of April 2019) and are undertaking preparatory work to start construction later in 2019.

Risks and Issues

Risk/issue	Mitigation
Very Difficult trading conditions for town centre businesses, especially retail.	Continue to explore sources of additional funding.
Availability of funding to deliver regeneration.	If Regional devolution plans are 'signed-off' by Central Government an additional fund of £30m will become available.

Outcome: B People live in high quality accommodation which meets their need, whether in the social rented, private rented or home ownership sector (also contributes to priority 2 – Every adult secure, responsible and empowered)

Lead accountability: AnneMarie Lubanski, Strategic Director Adult Social Care and Housing

Where are we now?:

New homes delivered via Council intervention

The Council has exceeded this target. The fourth quarter figure of 19 takes the cumulative total for the year to 112, against a target of 109. This takes into account a revised figure for the third quarter which has been revised from 34 to 30 to take into account double counting of four units from an earlier quarter

New homes delivered overall

The overall number of homes being built in Rotherham continues to fall short of the target (79 completed during Quarter 4 and a year-end total of 427 against a target of 641), despite the Council's continuing efforts to stimulate and support the private sector, e.g. by:

- Providing a top-class planning service
- Engaging with developers via an annual Housing Developer Summit
- Releasing sites through the Local Plan
- Working collaboratively across teams to provide a 'One Council' approach
- Direct delivery by the Council

Performance against the overall new homes target will increase in future years as a result of the large number of sites allocated for housing in the Local Plan (since adoption of the second part of the Local Plan, the Sites and Policies Document, 717 permissions have been granted for new homes and a further 469 applications are pending decision). Waverley continues to deliver approximately 150 new homes each year, with support from the Council purchasing a proportion of homes through the Strategic Acquisitions programme.

The Council's own approved building programmes will deliver significant growth over the next five years which will make a positive contribution to overall delivery rates. Through the Council's strategic enabling role and direct delivery, over 300 new homes will be started during 2018/19. Therefore, in future years, performance will significantly exceed the current year's target of 109 homes delivered through Council intervention.

Maintaining minimum levels of decency in housing owned by the Council

Minimum standards of decency have been maintained in all properties owned and rented to tenants for social housing by the Council. Business intelligence used by the Council to plan the delivery of its services, identified at beginning of 2018/19 that 118 of the 20,393 properties the Council owns would become non decent during the course of the year. The effective delivery of the repairs and maintenance contract by Mears and Fortem, the Council's partners, means the target to ensure less than 0.5 % of the Council's stock is non decent by the end of the year has been met.

Maintaining standards in the private rented sector

95.7 % of properties registered under the Selective Licensing Scheme comply with the Council's licensing standards meaning the target for this indicator (95 %) has been met. Performance however is not as good as it was in Quarter 3 (98 %) which is caused by the increased number of inspections the Council has made where issues around energy efficiency have been detected. Landlords responsible for these issues have taken positive steps to improve their properties and standards of accommodation in the private sector are continuing to improve. The number of properties eligible to be registered under the Selective Licensing Scheme increased from 2329 in Quarter 3 to 2358 in Quarter 4 and for the first time the number of inspections carried out (2381) has outweighed the number of properties eligible to be registered under the scheme.

Next steps:

- Prepare a business case to Cabinet to deliver 183 new Council-developed homes in the town centre (September 2019)
- Housing and Planning to continue to collaborate to ensure delivery of the Local Development Framework sites.

Risks and Issues

Risk/issue	Mitigation
The overall number of new homes delivered each year continues to fall below target.	- Continuing to deliver ambitious Council house building programme including town centre programme and modern methods of construction pilot - Selling surplus Council-owned land for development - Local Plan adoption - Appointment of additional officers in Strategic Housing and Development to work closely with Planning on any privately owned stalled sites - Continuing to engage with the private sector via annual Housing Developer Summit.

Corporate Priority 4 – Extending opportunity. Prosperity and planning for the future

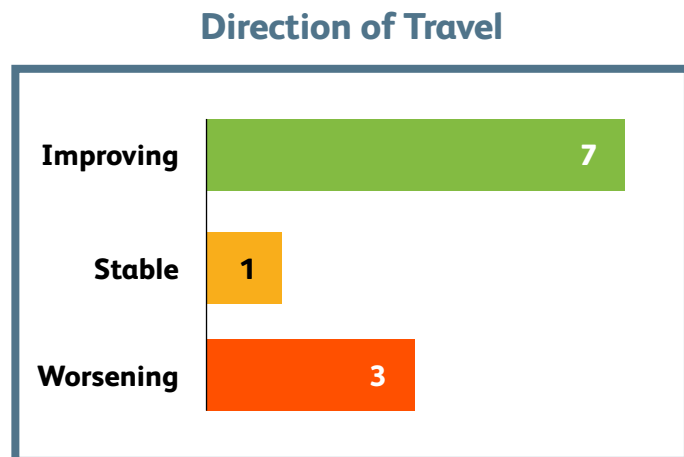
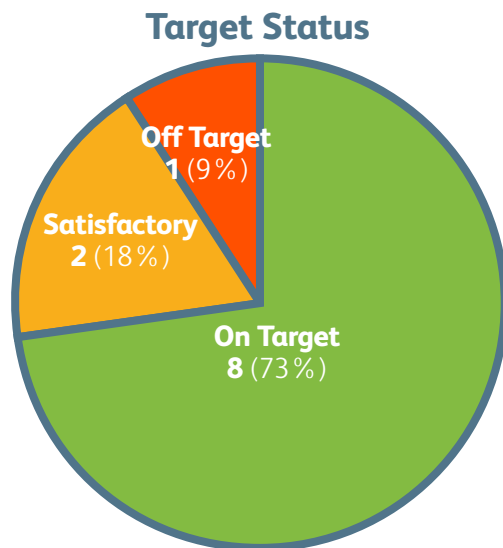
Key		Overall status (relevant to target)																					
		✔	Measure progressing above or in line with target set					✂	Measure under development (e.g. awaiting data collection or target-setting)														
		●	Measure progress has been satisfactory but is not fully reaching target set					□	Measure not applicable for target (e.g. baseline year, or not appropriate to set a specific target)														
		✘	Measure has not progressed in accordance with target set					■	Measure information not yet available (e.g. due to infrequency or timing of information/data)														
		Ref No.	Action	Measure	Lead officer	Good performance	Frequency of reporting	Target														Data notes (where measure has not progressed in accordance with the target set provide details of what is being done to improve performance)	
Outcome	Lead Accountability (Strategic Director)										Annual				Quarterly					Monthly			
									Year end 2015/16	Year end 2016/17	Year end 2017/18	Year end 2018/19	Q4 Jan - Mar 2018	Q1 Apr - Jun 2018	Q2 Jul - Sep 2018	Q3 Oct - Dec 2018	Q4 Jan- March 19	Jan-19	Feb-19	Mar-19			
A. Businesses supported to grow and employment opportunities expanded across the borough	Paul Woodcock, Strategic Director Regeneration and Environment	4.A1	Deliver economic growth (via the Economic Growth Plan, Business Growth Board and Sheffield City Region)	Number of new businesses started with help from the Council	Paul Woodcock - Regeneration and Environment	High	Quarterly	15	●	↻			13.75	14.5 (average for the year)	11	20	13	18	7				Downturn in number of businesses started with help from the Council, an expected 'seasonal' occurrence as potential 'starters' are waiting for the new Tax year to start.
		4.A2		Survival rate of new businesses (3 years)	Paul Woodcock - Regeneration and Environment	High	Annual	60.0%	■		60%	60.5%	62.9%	Next Data available Dec 19 for year to Dec 18.	62.9%								The 3 year survival rate is from the ONS Business Demography dataset which was updated at end of last year (although figures relate to 2017/18). Rotherham = 62.9% (compared to Y&H rate of 62.0% and UK rate of 61.2%) Next data available December 2019
		4.A3		% vacant floor space in the Town Centre area	Paul Woodcock - Regeneration and Environment	Low	Quarterly	25.0%	✔	↻			22.0%	24.5% Yearly average	23.1%	23.3%	21.5% Figure revised with return to previous definition of Town Centre	25.8%	27.40%				NB- Q4 will be the last data submission based on the former Town Centre definition. Outturn for q4 based on the revised definition in alignment with the Local Plan is 17%.
		4.A4		Net new business in the Town Centre	Paul Woodcock - Regeneration and Environment	High	Quarterly	Baseline Year	□	↻				8		1	-6	5	8				11 businesses opened, 3 closed in Q4, creating 35 new jobs
		4.A5		Number of jobs in the Borough	Paul Woodcock - Regeneration and Environment	High	Annual	1,000 new jobs p.a. (10,000 over 10 years).	■		100,000	104,000	99,000 (Annual Data to Dec 17)	Next Data available Oct 19 for year to Dec 18.									Data for 2018/2019 not available until the year end
		4.A6		Narrow the gap to the UK average on the rate of the working age population economically active in the borough	Paul Woodcock - Regeneration and Environment	Low	Quarterly	3.2% (This is a 0.8% reduction on the 2017/18 target of 4%)	✔	↻	1% gap	4.3%	3.23%	Next data due end Jun for end Mar figures	0.90%	0%	-0.70%	-0.70%	Next data due end Jun for end Mar figures				National Average rate at 31 December 18 = 78.3% Rotherham Economic Activity Rate = 79% Rotherham is now above the national average.
		4.A7		Number of Planning Applications determined within specified Period: a) Major 13 weeks b) Minor 8 weeks c) Other 8 weeks	Paul Woodcock - Regeneration and Environment	High	Quarterly	All at 95%	✔	↻	89.9%	99.9%	a) 100% b) 100% c) 100%	a) 100% b) 100% c) 100%	a) 100% b) 100% c) 100%	a) 100% b) 100% c) 100%	a) 100% b) 100% c) 100%				This maintains Rotherham's position as the Country's No1 Local Planning Authority for determining planning applications within prescribed timescales		
B. People live in high quality accommodation which meets their need, whether in the social rented, private rented or home ownership sector	Anne Marie Lubanski, Strategic Director Adult Social Care and Housing (Commenced 8th August 2016).	4.B1(a)	Implement the Housing Strategy 2016-2019 to provide high quality accommodation	Number of new homes delivered during the year via direct Council intervention	Tom Bell - Adult Social Care and Housing	High	Quarterly	109	✔	↻				112		19	44	30	19				Following the Councils direct intervention in the housing market 112 more new homes have been built in the borough during the last financial year. 11 fewer homes were built in quarter 4 than were built in quarter 3 . The figure for quarter 3 however has been revised down from 34 due to some duplication of information received at the end of quarter 3 .Despite the slight reduction in quarter 4 however the Council has exceeded its target to ensure a minimum of 109 new homes were built in the borough during the year as a direct result of its own actions. The overall status of the measure at year end is that the annual target has been met but performance in quarter 4 is slightly worse than in quarter 3 .
		4.B1(b)		Number of new homes delivered during the year	Tom Bell - Adult Social Care and Housing	High	Quarterly	641	✘	↻	663	593	479	427	142 (cumulative)	160	97	91	79				427 new homes have been built in the borough during the year against the annual target of 641. 79 new homes were built in quarter 4 which is 12 less than were built in quarter 3 (91) . Quarter 3's figure has however has been increased by 20 properties due to some late information being received from the National House-Building Council. Without the amendments quarter 4's performance would be higher than quarter 3's and some similar amendments have also had to be made to data previously reported for quarters 1 and 2 . The overall status of the measure at year end is the target to build 641 new homes in the borough has not been met and performance in quarter 4 due to the amendments that have been made , is worse than in quarter 3 .
		4.B2		% of stock that is non-decent	Tom Bell - Adult Social Care and Housing	Low	Quarterly	0.5%	✔	↻	0.00%	0.00%	0.00%	0.00%	0% (cumulative)	0.49%	0.41%	0.12%	0.00%				The Council has achieved its target of ensuring less than 0.5% of its stock is non decent by the end of the financial year (2018/19). At the start of the year 118 of the 20,393 properties the Council owns were due to become non decent and through effective planning of capital refurbishment works all properties have been restored to the minimum levels of decency by the end of March . DOT ratings confirms performance has improved between quarters 3 and quarter 4 and the annual target has been met
		4.B3	Private rented housing – improving standards through selective licensing	% of privately rented properties compliant with Selective Licensing conditions within designated areas	Tom Smith - Regeneration and Environment	High	Monthly	95%	✔	↻		85%	94.2%	95.7%	94.2%	97.0%	97.0%	98.0%	95.70%	97.1%	96.6%	95.7%	The target for this indicator is met although performance between quarters 4 and 3 is slightly worse . The deterioration in performance is caused by the Council undertaking more inspections and detecting issues around Energy Performance in properties .Landlords however are taking positive actions to improve EPR's (Energy Performance Ratings) in their properties which ultimately is improving standards in the private sector.



Priority 5: A modern, efficient council

Performance headlines

The diagrams below provide an overview of performance (status and direction of travel) in relation to the priority (where data is available or where targets have been set).



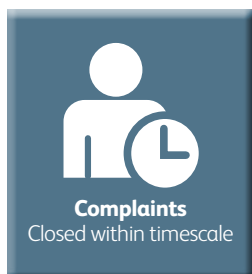
(Of the 3 worsening, 2 are off target and 1 is on target).

(Note - The overall totals in the diagrams may differ because some measures, which have a direction of travel, do not have a status rating (on target, satisfactory or off target) as this is not applicable). These are measures where no target has been set, however good performance (high or low) is still applicable).

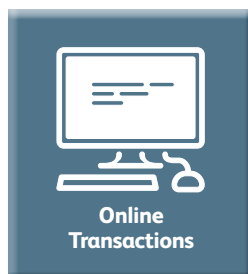
Areas performing well or improving



(measures which have achieved targets and direction of travel improved)



(5.C1) % of complaints closed and within timescale (cumulative)
87 % of complaints closed in time against a target of 85 % (higher is better)



(5.C3) % of transactions a) online
37 % of transactions were online on average throughout the year against a target of 28 % (higher is better)



(5.D1) % PDR completion
96 % of PDRs were completed against a target of 95 % (higher is better)



(5.D3) Reduction in Agency costs - Agency expenditure reached **£6.831m by the end of the year.**
This represents a reduction of £1.5m (18 %) (Lower is better)
(5.D4) Reduction in the proportion of the children's social care establishment who are agency staff
4.93 % of children's social care staff were from an agency compared to 10 % (Lower is better)



(5.D6) % of actions from the Equalities Peer review that have been implemented
71 % of recommendations had been completed by the end of the year against a target of 60 % (higher is better)

Areas for improvement



(measures which are off track and direction of travel is worsening)



(5.D2) **Days lost per FTE** 11.4 days were lost per member of staff against a target of 10.3 days (Lower is better).

A range of activities to address the increase will be put in place during Quarter 1 of 2019/20 including: an analysis of absence data to provide insight into high priority areas, best practice and areas for improvement; a range of interventions to upskill managers with a focus on their responsibilities, policy, processes and support available; additional control and challenge through Service Level case conferences and scrutiny at DLTs.

Outcome: A Maximised use of assets and resources and services demonstrate value for money

Lead accountability: Judith Badger, Strategic Director Finance and Customer Services

Where are we now?: With continued cuts to Local Government funding it is vital that the Council aims for excellence at collecting local revenues, in particular Council Tax and Non Domestic Rates, which currently fund around one-third of the Council's annual spend on providing services to citizens (excluding housing benefit payments, housing revenue account and schools grant funding).

As at the end of Quarter 4, Council Tax in-year collection performance is 96.8 % which is 0.2 % down on performance in 2017/18.

Non Domestic Rates in-year collection performance is 98.5 % which is equivalent to the performance in 2017/18.

Significant cost and demand pressures on Social Care Services remained in place throughout the year, requiring the Council to identify and implement a range of measures to mitigate the consequent impact on the budget. As a result of these measures, the Council achieved a financial outturn within budget for 2018/19 and used £3.2m less reserves than had been planned for within the budget contingency originally set for 2018/19.

Council approved a two-year balanced budget for 2019/20 and 2020/21 in February 2019, alongside an updated Medium Term Financial Strategy to 2021/22 and a refreshed Reserves Strategy. This provides a sustainable financial position for the Council across the medium term, but delivery of the savings included within the budgets and managing the continuing costs and demands on Social Care present a significant challenge for the Council.

Next steps:

- Continued monitoring of performance and redirection of resources within the Revenues and Benefits Service, where possible, to focus on maintaining levels of collection at those reflected within performance objectives and the Council's budget.

Risk/issue	Mitigation
Collection of Council Tax for 2018/19 is 96.8 % which is 0.2 % down on target and performance in 2017/18. Although the collection percentage is down the actual collection figure (including precepts) is £8.4million up on the amount collected in 2017/18.	The Revenues & Benefits team continues to focus resources on maintaining performance as close to the Council Tax target of 97 % and Top Quartile Met. Collection in 18/19 was impacted by a higher than inflation increase in Council Tax for 2018/19, including the Adult Social Care Precept, changes to the Council Tax Support Scheme.
The significant financial challenges for the Council in managing service demand and delivering approved budget savings.	Regular, robust financial monitoring of performance against budget and early actions to deal with/mitigate any overspends and shortfalls in delivering approved savings and to maintain a balanced budget.

Outcome: B Effective governance arrangements and decision making processes are in place

Lead accountability: Shokat Lal, Assistant Chief Executive

Where are we now?: The pre-decision scrutiny process has become embedded within the decision-making process and has been positive in ensuring the effectiveness of governance arrangements and decision making. Regular reports are submitted by Overview and Scrutiny Management Board to Cabinet meetings detailing the recommendations from non-executive Members. Performance continues to be good in this area with the majority of recommendations being accepted by the Cabinet. In the final quarter of the year, all recommendations from Overview and Scrutiny Management Board were either accepted or partially accepted by Cabinet. At year-end, performance has decreased slightly from the previous year by 1 %, but is still above target.

Next steps:

- Overview and Scrutiny Members to use the Forward Plan to identify potential items for pre-decision scrutiny at an earlier stage in the preparation of a report
- Consideration by Cabinet Members of inviting scrutiny involvement in the development of proposals for determination by Cabinet.

Risks and Issues

Risk/issue	Mitigation
Late involvement and scrutiny of proposals prior to determination does not allow sufficient time to analyse and significantly amend proposals.	Consideration of earlier involvement from scrutiny members in the development and review of draft proposals.

Outcome: C Staff listen and are responsive to customers to understand and relate to their needs

Lead accountability: **Shokat Lal**, Assistant Chief Executive and **Judith Badger**, Strategic Director Finance and Customer Services

Where are we now?: Complaints performance is reported to management teams and individual assistant directors on a monthly and quarterly basis. Performance has exceeded the target (85 %) at 87 %.

The Complaints Team has been working closely with Regeneration and Environment and Children and Young People's Services which has greatly improved performance, including the introduction of performance measures and improved tracking systems within Regeneration and Environment and working alongside key managers and assistant directors in Children and Young People's Services, where the majority of complaints are received.

A new website is currently under development which will be launched in Autumn 2019. This will allow customers to access more processes online, including booking and paying for services. It will also involve refreshing the information available on the site so that it is easy for customers to access the information that they require. The testing of the new website will be undertaken by the public, using feedback to clarify it delivers their needs. The new customer service system has now been installed and is currently being configured to go live at the same time as the new website, giving easy access to services regardless of the channel used.

Next steps:

Maintain complaints performance:

- Provide weekly performance dashboard for Adults and Children and Young People's Services and monthly and quarterly reports for senior management teams, directorate leadership teams and assistant directors
- Provide additional support to individual managers
- Improve the quality of the responses and learning from complaints
- Complete website configuration of the customer service system by Autumn 2019.

Risks and Issues

Risk/issue	Mitigation
If complaints are not completed on time they will escalate through the complaints procedure and to the Local Government and Social Care Ombudsman.	Work closely with management teams and individual managers to manage complaints and improve performance.

Outcome: D Effective members, workforce and organisational culture

Lead accountability: **Shokat Lal**, Assistant Chief Executive and **Jon Stonehouse**, Strategic Director Children and Young People's services

Where are we now?: The Council Workforce Plan has five key priorities: Develop Good Managers and Leaders; Value Driven and High Performing; Develop Workforce Capacity and Skills; Recruit and Retain a skilled and capable workforce and Maintain a sustainable workforce.

Performance is tracked via three key indicators: Performance Development Review (PDR) completion rate, Attendance (sick days lost per full time employee) and agency worker expenditure.

- Progress on PDR completion rates for the year has exceeded the 95 % annual completion target
- Absences continued an upward trend in the final quarter and has not met the annual target of 10.3 days at 11.40 days per full time equivalent employee (FTE)
- Agency expenditure reached £6.831m by the end of the year. This represents a reduction of £1.5m (18 %) from the previous year and exceeds the 10 % reduction target.

The percentage of agency staff within Children and Young People's Services is at a positive all-time low of 4.9 % which is significantly below the national average of 16 %. There has been a steady reduction in numbers over the last 12 months. All suitable agency workers within the service have been spoken to by their team manager, service manager or the resourcing team, or sometimes all 3, with a view to becoming a permanent member of staff. Following an assessment centre held in February, six Newly Qualified Social Workers (NQSWs) were recruited. These will fill established vacancies, helping to lower the number of agency workers even more. There were also eight Advanced Practitioners (APs) recruited in January 2019 as part of the current recruitment campaign.

No activity has taken place in respect of undertaking personal development plan interviews with Members during 2018-19. Having achieved 100 % during the 2017/18 municipal year, the Member and Democratic Support Panel have reviewed the approach for councillors and have proposed amendments to the way in which the development plan is structured. A revised personal development plan has been prepared having regard to the feedback from individual Members and Members are regularly invited to suggest areas for their personal development through the fortnightly Member update.

71 % of the actions from the Equalities Peer review have been implemented by March 2019, against a target of 60 %. Progress has included:

- A total of 2190 members of staff have completed the mandatory Equality and Diversity training. In addition, 70 people have attended the Equality and Diversity complaints and customer services training sessions in various council venues
- 13 Members attended Equality and Diversity training and 7 Members received training regarding Equality Impact Assessments
- The paperwork for the Equality Analysis process and guidance has been updated and work will continue to deliver Equality and Diversity training and training to support staff and managers with regards to the Equality Analysis process
- A Consultation and Engagement Policy and toolkit have been produced and published on the intranet and work continues to support directorates. A central consultation database has also been developed. The Corporate Consultation and Engagement Group provide oversight of consultation and engagement activity across the Council.

Next steps:

- The Rotherham Leader programme started in the final quarter of the year and a Workforce Strategy Board meets bi-monthly to maintain an oversight of workforce development activity and implementation of delivery plans.
- An in-depth review of attendance continues, including:
 - Analysis of absence data to provide insight into high priority areas, best practice and areas for improvement
 - A range of interventions to upskill managers with a focus on their responsibilities, policy, processes and support available
 - Additional control and challenge through service level case conferences and scrutiny at directorate leadership team meetings.
- Further scrutiny of agency usage and exit strategies continues to take place via the Council's Workforce Management Board.
- Continue discussions to permanently recruit any suitable Children and Young People's Services agency workers as well as continuing with recruitment campaigns.
- Planning for the induction of new councillors following the whole Council election in May 2020. Personal development plan interviews will be held with all councillors within four months of the election to inform the ongoing Member Development Programme.
- Embed the new Initial Equality Screening Assessment process across the Council and monitor via the Council Plan quarterly performance reports.

Risks and Issues

Risk/issue	Mitigation
Timely completion of effective Performance Development Reviews (PDRs) is essential in ensuring employees have an opportunity to reflect on their performance and how their future objectives contribute to the overall vision of the Council.	Regular reporting on completion rates to Chief Executive and the senior leadership team is taking place.
Levels of attendance impact on flexible workforce costs, overtime and temporary workers, which can lead to potential reductions in quality of service.	Targeted intervention of hotspot areas continues with in-depth reviews to ensure proactive action is being taken.
Recruiting large numbers of Newly Qualified Social Workers (NQSWs) within Children and Young People's Services leads to inexperienced teams with a large number of social workers who have less than 3 years' experience, which is not ideal.	Advanced Practitioners (APs) are to continue working closely with the NQSWs, offering support and guidance to help them progress.
Agency usage in Children and Young People's Services continues to account for more than half of all agency expenditure. Recruitment of permanent staff is set against a national shortage.	A reduction in costs is dependent on continued successful recruitment strategies.
Members not aware of or engaging with development and training opportunities.	Regular publication and awareness raising of development and training opportunities through the fortnightly Member update.

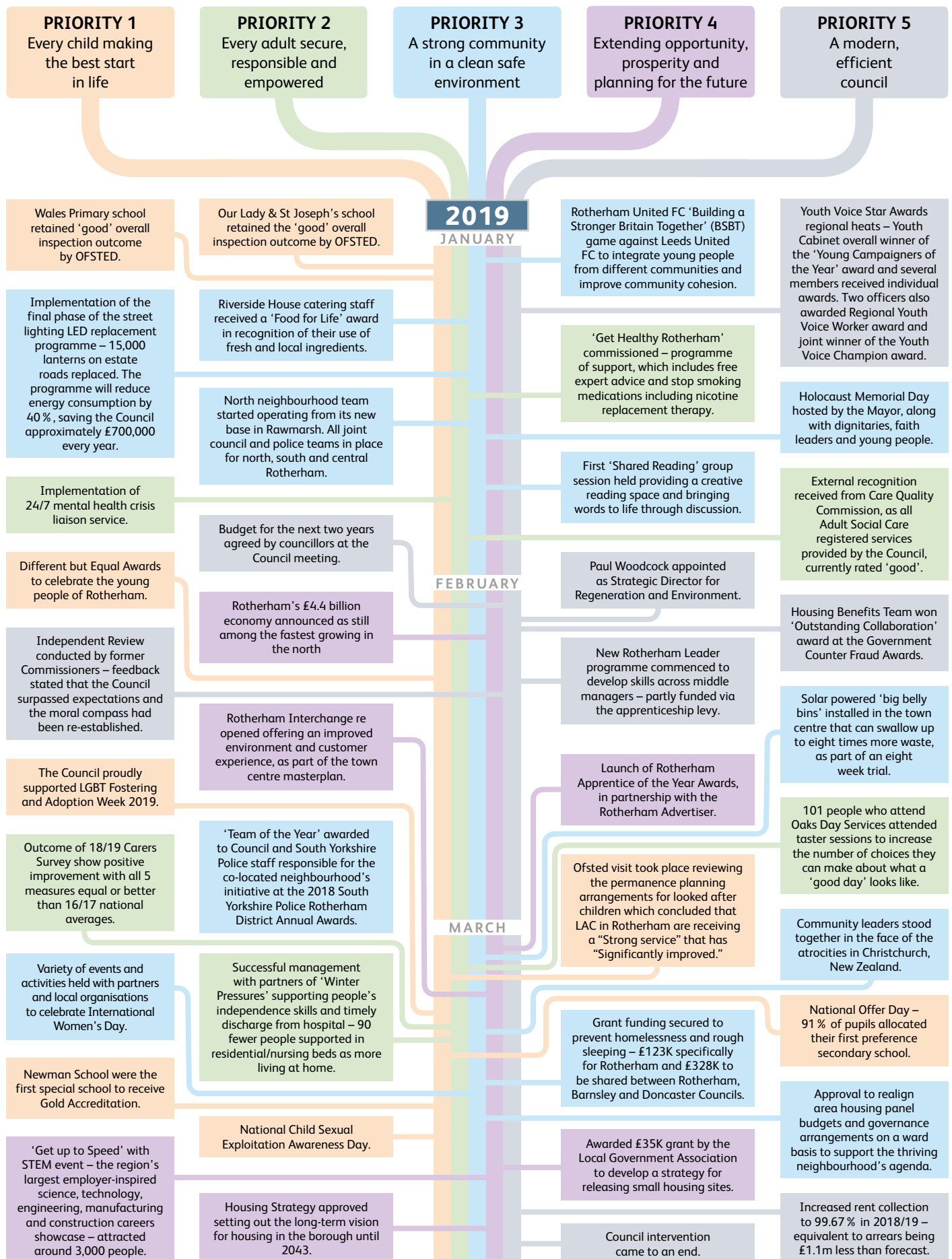
Corporate Priority 5 – A modern, efficient Council

Key	Overall status (relevant to target)			
		Measure progressing above or in line with target set		Measure under development (e.g. awaiting data collection or target-setting)
		Measure progress has been satisfactory but is not fully reaching target set		Measure not applicable for target (e.g. baseline year, or not appropriate to set a specific target)
		Measure has not progressed in accordance with target set		Measure information not yet available (e.g. due to infrequency or timing of information/data)

		Ref No.	Action	Measure	Lead officer	Good performance	Frequency of reporting	Target														Data notes (where measure has not progressed in accordance with the target set provide details of what is being done to improve performance)		
Outcome	Lead Accountability (Strategic Director)								Overall status	DOT	Annual				Quarterly					Monthly				
											Year end 2015/16	Year end 2016/17	Year end 2017/18	Year end 2018/19	Q4 Jan - Mar 2018	Q1 Apr - June 2018	Q2 Jul - Sep 2018	Q3 Oct - Dec 2018	Q4 Jan - Mar 2019	Jan-19	Feb-19		Mar-19	
A. Maximised use of assets and resources and services demonstrate value for money	Judith Badger, Strategic Director Finance and Customer Services	5.A1	Maximising the local revenues available to fund council services	% Council Tax collected in the current financial year	Graham Saxton - Finance and Customer Services	High	Monthly	97% (Top Quartile Met Authorities)			97.3%	97.3%	97.0%	96.8% (cumulative)	97% (cumulative)	27.4%	53.73% (cumulative)	79.99% (cumulative)	96.8% (cumulative)	89.0% (cumulative)	93% (cumulative)	96.8% (cumulative)	The final performance for 18/19 is 96.8% compared to the target of 97% and the 17/18 performance level of 97.0%. Although the collection percentage is down the actual collection figure (including precepts) is £8.4million up on the amount collected in 2017/18. Rotherham collection performance in 17/18 saw it retain its position as 4th highest performing Metropolitan Council (out of 36) with the Met Council average for the year being 95.4%. National performance for 18/19 will not be released until June 2019 and it is therefore not known yet if Rotherham will retain its top quartile position however based on 17/18 figures a position of 8th best Met and therefore still top quartile is likely. Impacts on the Collection Rate are likely to have been influenced by a higher than inflation increase in Council Tax for 2018/19, including the Adult Social Care Precept, changes to the Council Tax Support Scheme and the continued impact of welfare reforms.	
		5.A2		% non-domestic (business) rates collected in the current financial year	Graham Saxton - Finance and Customer Services	High	Monthly	98% (Top Quartile Metropolitan Authorities)			98.1%	98.3%	98.5%	98.5% (cumulative)	98.5% (cumulative)	28.9%	55.42% (cumulative)	80.78% (cumulative)	98.5% (cumulative)	89.7% (cumulative)	94.4% (cumulative)	98.5% (cumulative)	The final performance for 18/19 is 98.5% which is 0.5% up on target and the same performance as for 17/18. National performance for 18/19 will not be released until June 2019 and it is therefore not known yet if Rotherham will achieve a top quartile Met position. However based on 17/18 figures when 98.5% equated to 11th highest met performance it is likely that top quartile will not be achieved.	
B. Effective Governance Arrangements and decision making processes are in place	Shokat Lal, Assistant Chief Executive	5.B1	The Scrutiny function is effective; engages members and improve outcomes for Rotherham residents and communities	Number of pre-scrutiny recommendations adopted	James McLaughlin, Assistant Chief Executive's Directorate	High	Quarterly	90%			Not available - not previously been required	100%	96%	95%	96%	83%	100%	96%	100%				All recommendations from scrutiny were either accepted or partially accepted by Cabinet during period from January to March 2019. Overall performance has decreased slightly from 2018-19.	
C. Staff listen and are responsive to customers to understand and relate to their needs	Shokat Lal, Assistant Chief Executive	5.C1	Treating customer complaints with respect and dealing with them in an efficient and outcome-focussed way	% of complaints closed and within timescale (cumulative)	Jackie Mould Assistant Chief Executive's Directorate	High	Monthly	85%			80%	89%	79%	87%	83%	88%	79% (cumulative)	83% (cumulative)	87% (cumulative)	89%	88%	90%	Q4 performance improved and is over the target of 85%. As previously reported, performance measures in R+E have worked. The commitment in Safeguarding, Children and Families in CYPs has also drastically improved performance, 100% in March. (unprecedented). This has been matched in Housing and Finance. Adults and ACX performance is mixed based on low numbers received. The Q4/Annual Performance for 2018/19 performance is yet to be validated.	
		5.C2	Resident satisfaction - Assessing overall public opinion on the way the council is working and responding to customers	% of residents who feel that the Council keeps them informed	Christopher Burton, Assistant Chief Executive's Directorate	High - very or fairly satisfied	6 monthly	>53%			44% June 2015 49% December 2015	43% June 2016 48% December 2016	49% June 2017 53% February 2018 very or fairly well informed	53% (Wave 8 December 2018) very or fairly well informed		52% (Wave 7 June 2018) very or fairly well informed		53% (Wave 8 December 2018) very or fairly well informed				The latest score from the residents' survey is 53% which is in line with the target of being 53% or greater. The previous three survey results have been the three highest recorded for this question since the survey began, the previous results were all below 50%. The latest score is a full ten percentage points higher than in June 2016. The next survey will take place in June 2019.		
	Judith Badger, Strategic Director Finance and Customer Services	5.C3	Enable customers to be active and interact with the Council in an efficient way, accessing more services online	% of transactions a) online	Luke Sayers - Finance and Customer Services	High	6 monthly	28%			36%	21%	25% (average total for the year)	37% (average total for the year)	24%		50%		24%				The Annual performance figure is based on the average totals for quarter 2 and 4. New online customer processes are continually being added to the website and the general direction of online transactions continues to increase. Online transactions were particularly high in quarter 2 due to changes to the waste collection service. Moving forward in 2019/20 performance data will be provided quarterly.	
D. Effective members, workforce and organisational culture	Shokat Lal, Assistant Chief Executive	5.D1	Staff and managers have an opportunity to reflect on performance, agree future objectives and are aware of how they contribute to the overall vision	% PDR completion	Shokat Lal, Assistant Chief Executive	High	Quarterly	95%			96%	96%	93%	96% (cumulative)	93% (cumulative)	69%	94% (cumulative)	95% (cumulative)	96% (cumulative)				The annual target has been exceeded	
		5.D2	Sickness is managed and staff wellbeing supported	Days lost per FTE	Shokat Lal, Assistant Chief Executive	Low	Monthly	10.3			10.43 Days (excluding schools)	10.97 Days (excluding schools)	10.26	11.40 days (excluding schools)	10.26 days (excluding schools)	10.28 days (excluding schools)	10.64 days (excluding schools)	11.09 days (excluding schools)	11.40 days (excluding schools)	11.1	11.3	11.4	Sickness absence increased from Q2 to Q4 2018/19, with increases across all Directorates. A range of activities to address the increase will be put in place during Q1 2019/20 including: - Analysis of absence data to provide insight into high priority areas, best practice and areas for improvement - A range of interventions to upskill managers with a focus on their responsibilities, policy, processes and support available - Additional control and challenge through Service Level case conferences and scrutiny at DLTs	
		5.D3	Reduced use of interims, temporary and agency staff through effective and efficient recruitment	Reduction in Agency cost	Shokat Lal, Assistant Chief Executive	Low	Monthly	10% reduction			£6.8m	£10.2m	£8.33m	£6.831m	£8.331m (-18%) (cumulative)	£1.929m (-39% cumulative)	£4.091m (-22% cumulative)	£5.810m (-13% annual forecast)	£6.831m (-18%)				Annual reduction target has been achieved	
		5.D4		Reduction in the proportion of the children's social care establishment who are agency staff.	Jon Stonehouse, CPYS	Low	Monthly	10%				22.8% (67.5)	18.6% (60)	4.93% (14)	18.6% (60)	14.6% (46)	10.74% (31.1)	7.20% (21.5)	4.93% (14)	7.1% (21)	5.9% (17)	4.9% (14)	The percentage of agency staff within CYPs is at a positive all-time low of 4.9%. This is significantly below the national average of 16%.	
		5.D5	Members are able to fulfil their roles as effective community leaders	% members receive a personal development interview leading to a structured learning and development plan	James McLaughlin, Assistant Chief Executive's Directorate	High	Annual	95%			80%	87%	100%	None taken place - see data notes						None taken place - see data notes				No interviews took place in 2018-19 during which time the Member and Democratic Support Panel has reviewed the approach to personal development plans for Members. An agreed approach for personal development for Members will be implemented during 2019-20 and embedded for the induction process following the whole Council election in May 2020.
		5.D6	The Council complies with good practice in equalities	% of actions from the Equalities Peer review that have been implemented	Jackie Mould Assistant Chief Executive's Directorate	High	Quarterly	60%						71%				56.4% (cumulative)	71% (cumulative)					The % complete for this measure has been recalculated following changes to calculation methodology. Earlier measures have been removed as they are not comparable to the target or the Q3 and Q4 figures.

TIMELINE OF KEY ACHIEVEMENTS/ACTIVITIES

The timeline below shows some of the key achievements and activities which have taken place over quarter 4 (January – March 2019).



Priority 1: Every child making the best start in life

Ofsted 'Focussed Visit'

An Ofsted 'Focussed Visit' took place in March 2019, reviewing the permanence planning for looked after children. The visit concluded that looked after children in Rotherham are receiving a "strong service" that has "significantly improved". The report was published on 15th April 2019 and stated:



"Children looked after by Rotherham Borough Council who need permanence in their lives are receiving a strong service. Progress is evident since the last inspection in 2017, when services for children looked after were judged to require improvement. (nb whilst there is no grading received from a Focussed Visit this comment would indicate that the LAC Service was now providing a 'Good' service to looked after children).

Effective strategic planning by senior leaders has significantly improved permanence planning for children in care in a coherent and sustainable fashion. Senior leaders have successfully made use of the Council's existing strengths, such as performance reporting, together with increased management oversight of children's individual circumstances, to achieve sustained improvement.

All children in care whose cases were reviewed by inspectors had a plan for permanence firmly in place. This means that there is a real focus on securing their long-term future through both a wide range of different legal orders and finding a variety of places for them to live."

This follows the full Ofsted inspection which took place in November 2017 concluding that the service for children looked after and needing permanence 'requires improvement to be good'. Following the inspection a programme of work was planned and delivered. As a result of better permanence planning, 254 children were discharged from care over the course of 2018/19 - 60 more than the previous year and 31 % of those were discharged via a plan for permanence.

As a result of the 'Focused Visit', further actions to enhance the quality of service offered to looked after children have been identified. The Council has also been approached by three other local authorities, due to the positive outcomes of the visit, to see if there is any learning in order to improve their own permanence planning processes.

Priority 1: Every child making the best start in life

Rotherham Child and Adolescent Mental Health Service (CAMHS) Trailblazer

In December 2018 NHS England announced that Rotherham and Doncaster Clinical Commissioning Groups had been successful in their joint bid to pilot the national CAMHS Trailblazer to support around 16,000 children and young people within Rotherham. The Trailblazer is focused around low-moderate mental health needs including anxiety, low mood, managing ADHD, self-harm, bullying and peer support (including peer support for parents). It consists of two elements:

- Mental Health Support Teams in schools
- A four week waiting time pilot to access specialist CAMHS.

The Mental Health Support Teams (MHSTs) in schools will provide evidence-based early intervention and support for children and young people with mild to moderate mental health problems. The MHSTs will build upon a well-established locality model of systemic working where Children and Young People's Mental Health Practitioners are already working within educational settings. Two MHSTs will work alongside twenty Rotherham schools that represent a diverse range of needs, and varied levels of confidence and understanding around mental health and emotional wellbeing. Each MHST will work with a group of educational settings including primary, secondary, special schools, pupil referral units and post-16 provision and colleges.

There are three key roles of the MHSTs as defined by the Department for Education, these are:

- Deliver evidence-based interventions, 1:1 and to groups of children and young people, building on the support already in place not replacing it.
- Support the senior mental health lead to introduce or develop a whole school approach.
- Give timely advice to school staff, and liaise with external services to help children and young people get the right support and stay in education.

In total the MHSTs will consist of eight Education Mental Health Professionals (EMPHs), four Specialist Mental Health Practitioners, one Clinical Lead and one Temporary Project Lead. All posts are now fully recruited to; the EMPHs are in training at Manchester University and will be in placement with schools from June 2019, and the teams will be fully operational from December 2019.

Council officers are working closely with Rotherham NHS Clinical Commissioning Group and Rotherham Doncaster and South Humber NHS Foundation Trust (RDaSH) to help develop and implement the pilot in the participating Rotherham schools.

The four-week waiting time pilot aims to reduce the waiting time from referral to treatment down to four weeks. RDaSH are recruiting to a number of roles to help achieve this aim, including two Child Well-being practitioners, one Psychologist, one assistance psychologist and one systemic family practitioner.

Priority 2: Every adult secure, empowered and responsible

Transformation of Learning Disability Services - The 'My Front Door' Project

In May 2018, Cabinet approved the vision and strategy for people with a learning disability. Plans were laid out to show how the Council will improve people's lives, aspirations and opportunities. The 'My Front Door' Project was created to guarantee the transformation of learning disability services is person centred ensuring people with a learning disability get access to a wider range of support options which are part of their local community. It also addresses access to employment, leisure time, friendships and travelling as independently as possible around the borough. Ultimately the project will make sure all people with a learning disability have access to community-based services that promote independence, wellbeing and social inclusion.

Activity in relation to the 'My Front Door' Project, which has taken place between September 2018 to April 2019, has primarily focused on finding the individuals attending Oaks Day Centre new daytime solutions to ensure they had access to a wider range of support options. New daytime solutions include employment, work experience, volunteering, activities in the community and socialising with friends. It is anticipated that, by the end of May, all individuals who attended the Oaks Day centre will have had a reassessment/ review and new support plans in place.

Mr P is a gentleman who has attended Oaks Day Centre for over 50 years and understandably, along with his family, has been worried about the proposed changes for day services as his routine of many years has changed. With support from staff he now attends Social Eyes (a community based service) for three days a week where he has been able to continue with his friendship groups. He has also been accessing Shared Lives for two days, where he has developed a mutually positive relationship with his Shared Lives Carers and this has led to Mr P wanting to change his current respite arrangements to Shared Lives. It is clear that Mr P and his family have expressed that they are now very happy with this support.

S is a young woman who lives at home with her mum. She has a severe learning disability and very routine-based behaviours and had started to refuse to go on the bus to her day service and stopped attending. Through direct payments she was able to access Angel Nook, a local community based service, which her family were able to transport her to. Her family reported that she has settled in and it has made a difference to her mood and she looks forward to going. It has also impacted positively at home as she has become more cooperative with her personal care routines, whereas it was a struggle before.

Priority 3: A strong community in a clean, safe environment

‘Team of the Year’ awarded to Council and South Yorkshire Police staff responsible for the Co-located Neighbourhoods Initiative

On 11th March 2019, the South Yorkshire Police Rotherham District Annual Awards evening was held at the New York Stadium. The ‘Team of the Year’ was awarded to the project team, which consisted of Council and South Yorkshire Police staff, who had managed and delivered the Co-located Neighbourhood Teams Initiative.

The winning team, led by the Council’s Head of Housing and Estate Management and staff from Corporate Facilities Management and Digital Services from the Council and South Yorkshire Police, attended the ceremony to celebrate their hard work and collect their award.

The initiative brings together local policing teams and the Council’s Housing Management, Anti-Social Behaviour and Community Protection Service’s under one roof in hubs in Rawmarsh, Maltby and Central Rotherham. The teams have been working jointly since January 2019 to address a range of local areas issues including crime, anti-social behaviour and protecting vulnerable people and locations.

The teams are supported by the Central Neighbourhoods Service, which consists of the South Yorkshire Police Vulnerable Persons Team and a range of partners agencies, who provide support in dealing with complex cases.

The neighbourhood teams come together on a regular basis to hold tasking meetings and briefings, which enables activity to be fully coordinated across agencies; information to be appropriately shared and for joint problem solving to strengthen a flourish. This is providing greater efficiencies in the use of resources, greater use of criminal and civil powers and more timely interventions. Recent activity has included the identification of numerous properties being used for cannabis cultivation, apprehending persons wanted on warrants, closure orders on properties where anti-social behaviour or criminal activity is being perpetrated etc.

By being co-located, staff within the teams are building stronger operational relationships and understanding of how constituent organisations work and how team work can be strengthened. This approach is designed to significantly improve outcomes for both individuals and the wider community, by dealing effectively with those who seek to cause harm within our communities.

Now established, the next steps are for the team’s within the hubs to strengthen their connections with other services and agencies, including Children and Adults Social Care Teams, Health practitioners and Mental Health Services, to build on the multi-agency approach and joint problem solving.

The new hubs support the Council’s approach to neighbourhood working and ensure the Council meets its commitment to creating strong communities in clean and safe environments.



Priority 4: Extending opportunity, prosperity and planning for the future

Get up to speed event

Get up to Speed with STEM (Science, Technology, Engineering & Maths) is an annual showcase event held at Magna Science Adventure Centre in Rotherham. It offers the chance to see some of the UK's most exciting science, technology & engineering inventions and meet the people who design, build and operate them.

The event has grown over the past nine years, attended by over 13,000 people, around 80 % of whom were young people, families and teachers, interested in STEM.

The 2019 event, held on 27th March, has been hailed as the most successful to date, with the event now recognised as the largest of its



kind in the region. Ninety exhibitors from the Sheffield City Region and beyond helped inspire over 3,000 young people, sharing information on their businesses and career stories, alongside graduates from the two local Universities. This sat alongside a series of hands-on attractions, helping young people to engage with local and national engineering/manufacturing companies and technology.

With the Advanced Manufacturing Park and Advanced Manufacturing Innovation District going from strength to strength, events such as this are vital to inspire our next generation of young people to consider careers in technology, so we can continue to strengthen the skills of the local workforce and enable our young people to participate in a highly skilled economy.

The partnership event is not for profit (led by the work-wise Charitable Foundation) and requires ongoing support to be able to run each year. The Council supports the event through Officer time (not financially), in order to be able to retain and attract new businesses, engage Rotherham schools and



showcase the Borough in a positive light.

The 2020 event is to be run in the borough on the 25th March for its 10th Anniversary.

Please see www.getuptospeed.org.uk for further information.

Priority 4: Extending opportunity, prosperity and planning for the future

Rotherham Interchange to reopen after £12m refurbishment

Rotherham Interchange reopened on Sunday 3rd March, following a £12 million refurbishment scheme.

The new interchange is a key part of the Council's plans to regenerate Rotherham Town Centre through the town centre masterplan.

The ten-month closure incorporated 150,000 hours of construction work, using 10,000 litres of paint, and installing 11,000 floor tiles, 10km of electric cables, 3,800m² of cladding, 350 sheets of glass and twenty-one crates of solar panels to provide the building's energy needs.

Platform C of the Interchange and the car park above will reopen in Spring 2019, with new surfacing, larger parking bays and better pedestrian routes. 3,800m² of external cladding has been replaced and 10 electric vehicle charging points installed.

The Leader of Rotherham Council, Cllr Chris Read, said the newly-refurbished facility was a key part of the vision to regenerate Rotherham town centre: "This facility is one of the major gateways into the town. It will enhance where we live, and benefit people arriving into the town centre via public transport. The refurbishment of the interchange follows the opening of the University Centre last summer. Both projects are major components of the town centre Masterplan, along with promoting sustainable travel, so it's great to see the Masterplan progressing as planned."

SYPT Executive Director, Stephen Edwards, said the investment aims to encourage bus use, by providing a welcoming and accessible environment that supports the connection of people to places in the Borough and beyond: "The improved Rotherham Interchange will provide a better, brighter place



for public transport users as well as those visiting, living and working in the town. Seventeen million people travelling through the Interchange each year, using 1,299 buses a day, will now benefit from new seating, lighting, information displays, electrics and flooring, CCTV and free public wi-fi."

Dan Jarvis, Mayor of the Sheffield City Region, said: "I'm delighted to be opening the £12m refurbished Rotherham Interchange. Public transport provides vital access to employment, education, public services and social activities, so it's great to see these huge improvements that will make a real difference to people travelling around our region each and every day.

"A reliable, safe and efficient public transport system is also essential if we are to encourage people to make fewer journeys by car. That's why, this week, I've launched a Bus Review to examine how our bus network is run and identify the improvements that can be made to ensure services are fit for purpose. The outcome of this review should mean more environmentally friendly buses, more routes and more passengers."

Priority 5: A modern, efficient council

End of intervention at Rotherham Council

In September 2018 Ministers removed the three remaining Commissioners from the Council. As a condition of the return of powers, it was made a requirement that the Council commissioned an independent review of progress and performance before the end of the intervention period.

The three former Commissioners, senior advisor from the LGA and Director of Children's Services at Lincolnshire County Council undertook an independent review from 6th-8th February 2019. The outcome of the independent review was published on 27th March 2019 and the former Commissioners endorsed the ending of the intervention, saying "the pace of improvement across the Council has increased beyond [their] expectations, which bodes well for future prospects", adding that "the political and managerial leadership of the Council have re-established the Council's moral compass. There is clarity on the Council's values and ethos and a whole council commitment to safeguarding young people. This gives confidence that the council will be vigilant in protecting the vulnerable, will avoid back-sliding or failure to address adverse issues as they arise."

Rotherham Council's period of government intervention formally came to an end on 31st March 2019, as confirmed by the Secretaries of State for Housing, Communities and Local Government; Department for Education. In a joint letter to the Council Leader and Chief Executive released on the Government website the Secretary of State for Communities James Brokenshire and Children's Minister Nadhim Zahawi said: "We are writing to confirm that we are content to let the Directions in Rotherham Metropolitan Borough Council lapse on 31 March 2019. Further to the recent Independent Review, led by former Lead Commissioner Mary Ney we see no evidence that suggests that Government should seek to extend these Directions or put in place new ones.

"We would like to take this opportunity to thank you both for your leadership, helping to transform Rotherham from a failing authority to one that is reinvigorated and fully autonomous."

This is a significant step in the Rotherham Improvement Journey. Since February 2015, Rotherham Council has been operating under the intervention of Commissioners who were appointed by central government following the serious failings across the authority regarding child sexual exploitation.

Council Leader Cllr Chris Read said: "Over the last four years, Rotherham Council has undergone a transformation. The recent report reflects that huge progress that has been made over that period of time, not just in Children's Services, but also the way we approach the big challenges that we face. The end of the intervention is an important milestone, and one that should give residents confidence in the changes that we have made. But we take nothing for granted, and we continue to work hard to deliver the services that people rely on."

Chief Executive Sharon Kemp added: "The review highlighted that the progress made since September has surpassed the expectations of the former Commissioners and that the Council has regained its moral compass.

"We are obviously pleased that the review confirms the progress made and makes clear the Council remains committed to its continuous improvement."

The Council acknowledges the challenges identified with regard to the delivery of the medium term financial plan and performance targets and will continue to closely monitor these.

SUMMARY OF 2018/19 ACHIEVEMENTS AND ACTIVITIES AND ANNUAL TIMELINE

Priority 1: Every child making the best start in life



The overall **Children in Need (CiN)** population has reduced by 295 children since March 2018 (1678 and now stands at 1383 at the end of 2018/19.

Overall the number of children in need per 10k of population (DfE definition) has dropped to 331.7 bringing Rotherham below the national average per 10k of population.



Right Child Right Care has played a significant role in the reduction of children in care. As at the end of phase 1 (Dec

18), the project had succeeded in supporting 72 children in a work-stream to be discharged from care, a further 37 discharges via the 'ripple effect' with a further 13 plans remaining on track but not being completed in full until 2019.

Overall this indicates a success rate of 61 % or 78 % with the ripple effect taken into account.



The numbers of young people currently assessed as medium/high risk of **CSE** has stabilised through 2018/2019 with a slight increase in the last quarter reflecting activity in the borough.

Overall Quality Assurance through the pathway of involvement around CSE demonstrates that there is good understanding around CSE and that this model of intervention supports young people and works to mitigate risks around them.



The number of children with a **Child Protection Plan (CPP)** has continued to reduce over the last 12 months by 142 since March 2018, to 506 children at the end of March 2019.

The trend for the number of children per 10K population with a Child Protection Plan (CPP) remains significantly higher (88.9) than that of statistical neighbours (54.5) and the national average (45.3). However, the numbers of children becoming subject to a plan each month has reduced since June 2018 as expected.



An **Ofsted** Focussed Visit took place in March 19, reviewing the permanence planning arrangements for looked after children concluded that LAC in

Rotherham are receiving a "Strong service" that has "Significantly improved."

Priority 2: Every adult secure, empowered and responsible



Successful management with partners of 'Winter Pressures' supporting people's independence skills and timely discharge from hospital – 90 fewer people supported in **residential/nursing** care as more living at home.



18/19 biennial **Carer's Survey** results show positive improvement from 2 years ago, with all 5 measures equal or better than 16/17 national averages. In a time when many changes are being made to how Carer's are supported, the measure of "Overall satisfaction of Carers with social services" has improved to 72.2 % a rise of 3.7 % over the previous Carer's survey (conducted in 2016/2017) as well as above national (70.6 %) and regional (71.4 %) benchmark averages.



Improved safeguarding and alerting processes with **Safeguarding training** delivered to over 360 staff Adult Care, Housing, Contractors and Commissioners.



External recognition received from **Care Quality Commission**, as all Adult Social Care registered services provided by the Council, currently rated GOOD.



Strengthened **Single Point of Access** for Adult Social Care by providing a multi-disciplinary team in collaboration with partners in Health and the Voluntary Sector. Increasing the number of customers supported at the first point of contact.

Priority 3: A strong community in a clean, safe environment



The number of repeat victims of **Anti-Social Behaviour (ASB)** has been reduced from 309 in 2017/18 to 138 in 2018/19 and exceeded the target (80 or fewer repeat callers) by 57% over the year.

The perceptions of ASB have however continued to rise and targeted work is taking place to address the areas of most concern highlighted from the survey.



Implemented new **waste and recycling** services across Rotherham to time and budget, with the new waste and recycling services now being delivered to over 110,000 households.

Over 150,000 new wheeled bins have been delivered, including: 115,000 new pink lidded general waste bins, over 35,000 new brown garden waste bins, and around 2,000 replacement green bins for recycling to households who did not previously have them.

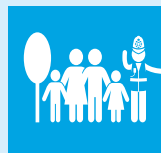


Repaired 201 roads totalling 44 miles and 526,893 m² - The Council is committed to improving roads across the borough as part of its 2020 Roads Programme through effective engagement with members and following good highway management principals, as set out in the Councils Highways Policy and Strategy.



Visitors enjoyed the Council's **culture and leisure facilities**:

- Engaged with 465,734 people at culture and leisure facilities to help adults and children in Rotherham learn, develop skills and get a job, against a target of 320,000
- Over 4,000,000 people visited the Council's Culture and Leisure facilities during 2018/19 in comparison to 3,216,546 in 2017/18 and against a cumulative target of 3,000,000
- The library service attracted 20,653 borrowers
- First time in 10 years that the previous year's target has been exceeded.



Adopted new **neighbourhood working** arrangements, including co-located Council staff and local policing teams, to tackle anti-social behaviour and community protection under one roof in Rawmarsh, Maltby and Central Rotherham.



Building Stronger Communities - 25 projects delivered through the Controlling Migration Fund programme, by a range of providers. The projects were aimed at tackling cohesion and meeting the changing needs of communities in light of migration.

Around 400 pupils and students also attended a special event in September 2018 to learn about the 'harms of hate'.

Priority 4: Extending opportunity, prosperity and planning for the future



112 **new homes** were built in the borough as a direct result of the Council's efforts, against a target of 109. Examples include Braithwell Road, Maltby where 21 houses were built for private sale through the "Rother Living" brand and Zamor Crescent, Thurgroft through strategic facilitation of a land deal for Together Housing delivering 39 affordable units.



Muse appointed as the Council's development partner for the leisure development on Forge Island and new University Centre Rotherham (UCR) opened to students offering degrees and higher-level qualifications, as part of the **Town Centre Masterplan**.



Construction of the **Gulliver's Valley theme park** and resort started and Sheffield City Region confirmed £1.5m funding, enabling a number of elements, including accommodation to be brought forward to phase 1 of the scheme.



Rotherham Council was recognised as the highest performing **planning** authority nationally in the Government's "Review of Local Planning Service" statistics. Our Planning Team also won the Royal Town Planning Institute 'Planning Team of the Year' Award in May 2018.



New McLaren Composites

Technology Centre officially opened. The £50m innovation and manufacturing facility aims to become a world-leader in lightweight carbon fibre and composites technology.

Once fully operational the centre will create more than 200 jobs and provide an estimated £100 million of GVA (gross value added) benefit to the local economy by 2028.



Narrowed the gap to the UK average on the rate of working age population **economically active** in the borough.

Priority 5: A modern, efficient council



Top quartile for Council **Tax collection** - fourth highest performing metropolitan council (out of 36).



Council **intervention** came to an end - period of government intervention formally came to an end in March 2019, as confirmed by the Secretaries of State for Housing, Communities and Local Government; Department for Education.



87 % of **customer complaints** closed and within timescale, against a target of 85 % following targeted work to improve performance within Regeneration and Environment and Children and Young People's Services.



Budget for the next two years agreed by councillors at the Council meeting to address the funding gap of £30m which it needs to address over the next two years. Included commitment to an additional £17 million over the next two years to meet the needs of looking after the borough's most vulnerable children and families.

By 2020 the Council will have had to cut more than £200 million from its budget.

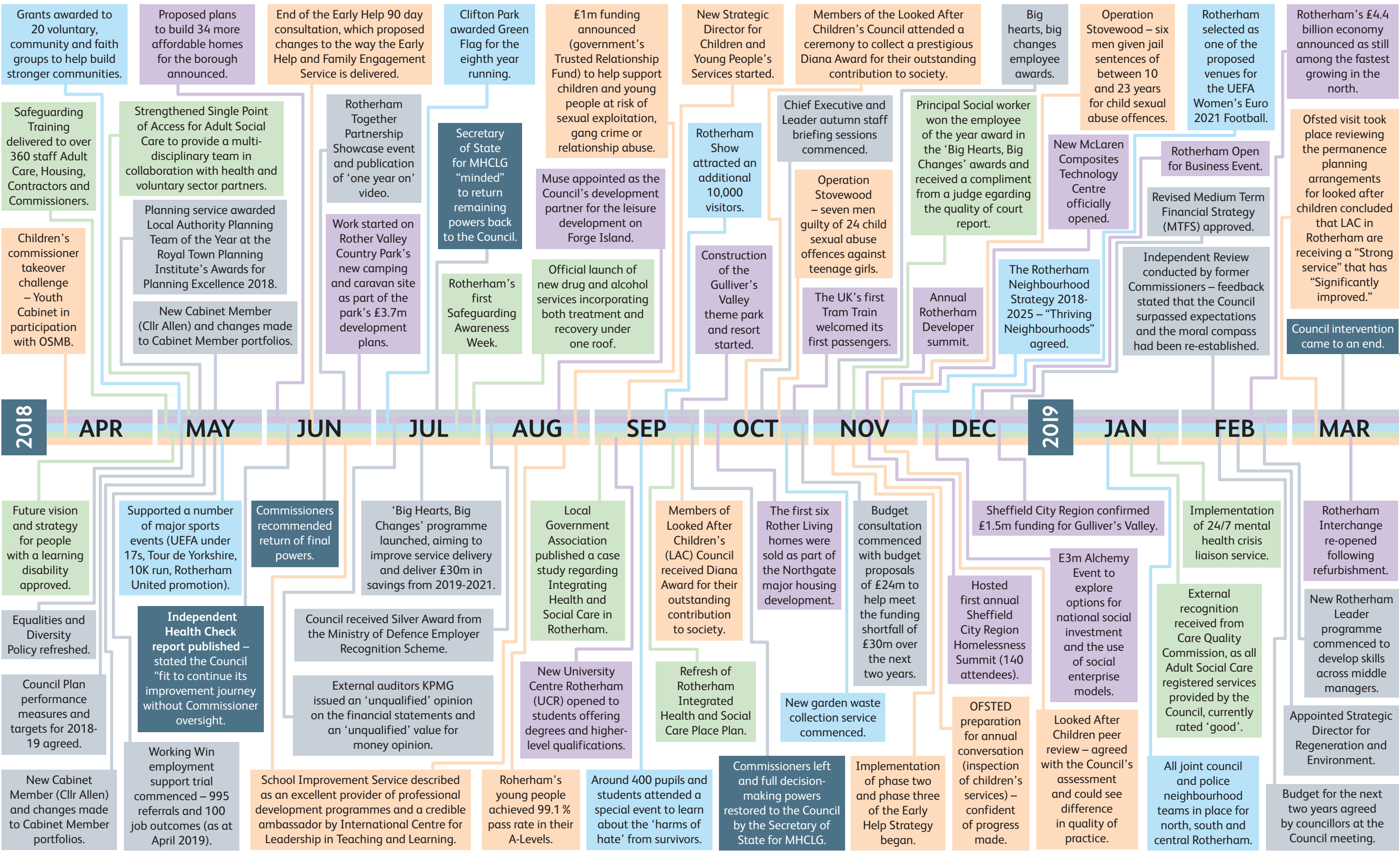


Reduction in **agency costs**:

- Agency expenditure reached £6.831m by the end of the year. This represents a reduction of £1.5m (18 %) from the previous year and exceeds the 10 % reduction target.
- The percentage of agency staff within CYPS is at a positive all-time low of 4.9 % which is significantly below the national average of 16 %. There has been a steady reduction in numbers over the last 12 months.

THE ROTHERHAM COUNCIL IMPROVEMENT JOURNEY APRIL 2018 – MARCH 2019

The following timeline shows the key achievements in the improvement journey of Rotherham Council since the health check which took place in February 2018.



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Initial Equality Screening Assessment

As a public authority we need to ensure that all our strategies, policies, service and functions, both current and proposed have given proper consideration to equality and diversity.

A **screening** process can help judge relevance and provide a record of both the process and decision. Screening should be a short, sharp exercise that determines relevance for all new and revised strategies, policies, services and functions.

Completed at the earliest opportunity it will help to determine:

- the relevance of proposals and decisions to equality and diversity
- whether or not equality and diversity is being/has already been considered, and
- whether or not it is necessary to carry out an equality analysis.

Directorate: Assistant Chief Executive's Directorate	Service area: Performance, Intelligence and Improvement
Lead person: Simon Dennis (Corporate Risk Manager) and Tanya Palmowski (Performance Officer)	Contact number: (ext 22764)

1. Title:

Is this a:



Strategy / Policy



Service / Function



Other

If other, please specify

2. Please provide a brief description of what you are screening

The 2017-2020 Council Plan is the core document that underpins the Council's overall vision, setting out headline priorities and measures that will demonstrate its delivery.

To ensure that the delivery of actions and their impact is assessed, formal quarterly performance reports are presented in public at Cabinet meetings, with an opportunity for pre-Scrutiny consideration if required. This report is the fourth and final report in the 2018-2019 reporting cycle covering quarter four (1st January 2019 to 31st March 2019). The report also includes a summary of key achievements and activities which

have taken place during the year 2018/19.

The quarterly reports provide an overview of progress and exceptions, highlighting good and improved performance as well as areas of concern. The report also includes wider information, key facts and intelligence such as customer feedback, quality assurance, external regulation and specific case study information to demonstrate what has been achieved to deliver the vision.

At the end of the fourth and final quarter (January to March 2019) 34 measures had either met or had exceeded the target set in the Council Plan. This represents 58% of the total number of measures where data is available or where targets have been set. This is the highest percentage of performance measures that the Council has hit for a number of years and represents a significant improvement in performance.

Ensuring that the Council meets its equalities and human rights duties and obligations is central to how it manages its performance, sets its priorities and delivers services across the board. The Council Plan includes a measure to ensure the Council complies with good practice in equalities - % of actions from the Equalities Peer review that have been implemented. Performance reported for quarter four indicates that 71% of actions have been implemented (against a target of 60%).

3. Relevance to equality and diversity

All the Council's strategies/policies, services/functions affect service users, employees or the wider community – borough wide or more local. These will also have a greater/lesser relevance to equality and diversity.

The following questions will help you to identify how relevant your proposals are.

When considering these questions think about age, carers, disability, gender reassignment, race, religion or belief, sex, sexual orientation and any other relevant characteristics (for example socio-economic status, social class, income, unemployment, residential location or family background and education or skills levels).

Questions	Yes	No
Could the proposal have implications regarding the accessibility of services to the whole or wider community? <i>(Be mindful that this is not just about numbers. A potential to affect a small number of people in a significant way is as important)</i>		x
Could the proposal affect service users? <i>(Be mindful that this is not just about numbers. A potential to affect a small number of people in a significant way is as important)</i>		x
Has there been or is there likely to be an impact on an individual or group with protected characteristics? <i>(Consider potential discrimination, harassment or victimisation of individuals with protected characteristics)</i>		x
Have there been or likely to be any public concerns regarding the proposal? <i>(It is important that the Council is transparent and consultation is carried out with members of the public to help mitigate future</i>		x

<i>challenge)</i>		
Could the proposal affect how the Council's services, commissioning or procurement activities are organised, provided, located and by whom? <i>(If the answer is yes you may wish to seek advice from commissioning or procurement)</i>		x
Could the proposal affect the Council's workforce or employment practices? <i>(If the answer is yes you may wish to seek advice from your HR business partner)</i>		x
If you have answered no to all the questions above, please explain the reason		
The quarterly report provides an overview of performance in relation to the Council Plan which is a strategic plan for the whole organisation. The actions and targets within the plan are delivered by council services through various strategies, policies and programmes of work. It is therefore the responsibility of the relevant directorate/service to complete an equality analysis where this is applicable.		

If you have answered **no** to all the questions above please complete **sections 5 and 6**.

If you have answered **yes** to any of the above please complete **section 4**.

4. Considering the impact on equality and diversity

If you have not already done so, the impact on equality and diversity should be considered within your proposals prior to carrying out an **Equality Analysis**.

Considering equality and diversity will help to eliminate unlawful discrimination, harassment and victimisation and take active steps to create a discrimination free society by meeting a group or individual's needs and encouraging participation.

Please provide specific details for all three areas below and use the prompts for guidance.

- **How have you considered equality and diversity?**

(think about the scope of the proposal, who is likely to be affected, equality related information, gaps in information and plans to address, consultation and engagement activities (taken place or planned) with those likely to be affected)

- **Key findings**

(think about any potential positive and negative impact on different equality characteristics, potential to promote strong and positive relationships between groups, potential to bring groups/communities into increased contact with each other, perception that the proposal could benefit one group at the expense of another)

- **Actions**

(think about how you will promote positive impact and remove/reduce negative impact)

Date to scope and plan your Equality Analysis:

Date to complete your Equality Analysis:	
Lead person for your Equality Analysis (Include name and job title):	

5. Governance, ownership and approval

Please state here who has approved the actions and outcomes of the screening:

Name	Job title	Date
Jackie Mould	Head of Performance, Intelligence and Improvement	7 th June 2019

6. Publishing

This screening document will act as evidence that due regard to equality and diversity has been given.

If this screening relates to a **Cabinet, key delegated officer decision, Council, other committee or a significant operational decision** a copy of the completed document should be attached as an appendix and published alongside the relevant report.

A copy of **all** screenings should also be sent to equality@rotherham.gov.uk For record keeping purposes it will be kept on file and also published on the Council's Equality and Diversity Internet page.

Date screening completed	7 th June 2019
If relates to a Cabinet, key delegated officer decision, Council, other committee or a significant operational decision – report date and date sent for publication	Cabinet
Date screening sent to Performance, Intelligence and Improvement equality@rotherham.gov.uk	18 th June 2019

Committee Name and Date of Committee Meeting

Cabinet – 08 July 2019

Report Title

Financial Outturn 2018/19

Is this a Key Decision and has it been included on the Forward Plan?

Yes

Strategic Director Approving Submission of the Report

Judith Badger, Strategic Director of Finance and Customer Services

Report Author(s)

Paul Stone, Head of Corporate Finance
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Rob Mahon, Finance Manager
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Graham Saxton, Assistant Director – Financial Services
01709 822034 or graham.saxton@rotherham.gov.uk

Ward(s) Affected

Borough-wide

Report Summary

The report outlines the final revenue and capital outturn position for 2018/19.

The Revenue Budget 2018/19 was approved by Council on 28th February 2018. A budget of £216.876m was set for General Fund services; this excludes schools budgets and Housing Revenue Account (HRA). The final outturn position was a balanced budget which required £3.2m less use of corporate reserves than planned for. The original budget proposed a planned use of corporate reserves of £5.2m as part of a budget contingency of £10.0m. Additional funding received in year, use of earmarked grants and balances and flexible use of capital receipts has resulted in a reduced call on the planned reserves leaving a balance of £3.2m available to support the budget in later years.

A summary of the outturn position for each Directorate is shown in the table in Section 2.1 below together with the actions and measures taken to deliver a balanced budget. The Council continues to face demand pressures, in particular in respect of social care. The Council has provided additional budget for social care over the next two financial years, but the outlook is still challenging.

The Council's General Fund minimum balance has been increased from £11.269 to £16.812m, as a result of the planned use and profiling of reserves balances as set out in the Council's Reserves Strategy reported in the Budget and Council Tax Report 2019/20. The reserve is held to protect the Council against unforeseen events and realisation of contingent liabilities.

The Housing Revenue Account had an underspend of £1.4m. This reduced the overall amount required from reserves to balance the budget. The final drawdown from the HRA reserve was just under £11m.

The schools outturn position which is funded by the ring-fenced Dedicated Schools Grant had an underspend of £1.968m therefore increasing schools balances at the end of 2018/19 for the Council's maintained schools and pupil referral units to £3.369m.

The capital outturn shows slippage and underspend of £8.1m against the estimated spend for 2018/19 included within the Capital Programme. Of this, £7.073m relates to slippage on projects which has been factored into the revised capital programme 2019/20 – 2022/23.

Recommendations

1. That the revenue outturn position for 2018/19 be noted.
2. That approval be given to the transfer of the £1.4m HRA underspend to the HRA reserve.
3. That the carry forward of the combined schools balance of £3.369m in accordance with the Department for Education regulations be noted.
4. That the reserves position set out in section 2.33 be noted.
5. That the capital outturn and funding position as set out in sections 2.41-2.69 be noted.
6. That Cabinet refer the report to Council to note the updated financial position as detailed in the report and for approval of the updated Capital Programme as set out in paragraphs 2.65 to 2.69 and Appendices A to D.

List of Appendices Included

Appendices A to D – Capital Programme 2019/20 to 2022/23.

Background Papers

Revenue Budget and Council Tax Setting Report for 2018/19 to Council on 28th February 2018.

May Financial Monitoring Report to Cabinet 9th July 2018

July Financial Monitoring Report to Cabinet 17th September 2018

September Financial Monitoring Report to Cabinet 19th November 2018

December Financial Monitoring Report to Cabinet 18th February 2019

February Financial Monitoring Report to Cabinet 15th April 2019

Consideration by any other Council Committee, Scrutiny or Advisory Panel
Overview and Scrutiny Management Board – 03 July 2018

Council Approval Required

Yes

Exempt from the Press and Public

No

Financial Outturn 2018/19

1. Background

- 1.1 This report sets out the Council revenue, capital, HRA and schools outturn position in 2018/19. It also describes the details of the Council's reserves balances as at the end of the financial year. The Council set a revenue budget for 2018/19 of £216.876m and a capital programme of £99.750m.
- 1.2 The report illustrates the Council's financial position on a management accounts basis which is used to monitor performance throughout the financial year. The Audit Committee considered the Council's draft unaudited Statement of Accounts 2018/19 on 18th June 2019 and will consider the final Statement of Accounts on 30th July 2019 following the audit of the accounts by the Council's external auditors Grant Thornton. The Statement of Accounts is prepared in accordance with the Code of Practice on Local Authority Accounting in the United Kingdom 2018/19 and appropriate accounting standards. As such, the reporting in the Statement of Accounts is different in format to the management reporting.
- 1.3 In understanding the outturn position it is helpful to set out the financial context of the Council.
- 1.4 The Council has made savings of £177m between the period 2011/12 to 2018/19 in response to the Government's austerity measures which has seen substantial cuts to local authority funding. The Council's Medium Term Financial Strategy identified a funding gap of £30m over the period 2019/20-2020/21. In setting its budget for 2019/20, the Council approved proposals for a balanced two-year budget over the aforementioned period. This requires the delivery of budget savings of £24m over the two years. Together with further efficiencies and additional funding announced by Government this meant that the Council could bridge the £30m funding gap.
- 1.5 However, the challenge is significant in light of increased demand for services and funding uncertainty for the local government sector. Notwithstanding, the Council will continue to examine service change and improvement work across the Council in meeting the challenges faced.
- 1.6 In common with other local authorities across the country, there continues to be increasing demand pressures on social care services. Throughout 2018/19 these continued to place additional pressures on the Council's financial position. This was closely monitored throughout the year and appropriate mitigations were implemented to ensure that total expenditure remained within the overall budget for 2018/19 set by Council in February 2018.
- 1.7 The Council has a programme to identify, track and deliver a savings programme over the medium term in order to maintain a balanced budget. The process draws on an approach that ensures that both investment and savings options are not considered in isolation and contribute to the principles and priorities as set out in the Council Plan and Medium Term Financial Strategy.

The Council's 'Big Hearts, Big Changes' programme will help the Council to address the financial challenges.

- 1.8 The Council's Medium Term Financial Strategy has been revised and updated and supports the Council's ambition of financial sustainability over the medium term.

2. Key Issues

- 2.1 The table below provides a summary of the Revenue Outturn for 2018/19. This is followed by a summary of the position by Directorate which includes an explanation of the key variances.

Table 1 Summary Outturn 2018/19 by Directorate

	Budget 2018/19	Outturn 2018/19	Variance (over (+)/under (-)
	£'000	£'000	£'000
Children and Young People's Services	58,591	74,237	+15,646
Adult Care, Housing and Public Health	74,139	78,800	+4,661
Regeneration and Environment	44,330	44,228	-102
Finance and Customer Services	15,571	15,415	-156
Assistant Chief Executive	6,965	6,150	-815
Central Services	12,479	7,647	-4,832
Budget Contingency	4,800	0	-4,800
TOTAL	216,875	226,477	+9,602
Actions to address budget overspend			
Use of Directorate balances	0	-3,916	-3,916
Flexible Use of Capital Receipts	0	-1,115	-1,115
Business Rates National Levy Account Surplus	0	-969	-969
Funding for EU Exit	0	-105	-105
Education PFI planned use of reserve	0	-1,480	-1,480
Use of Reserves – Budget Contingency	0	-2,017	-2,017
Total Actions to Address Budget Overpend	0	-9,602	-9,602
Net Financial Outturn	216,875	216,875	0

2.2 Children and Young People's Services

- 2.3 The Directorate (excluding schools) has an overspend of £15.646m. The Directorate has faced significant financial pressures on placement budgets and in the delivery of key social work services due to the number of children in the care system. The budget pressures are mainly in social care and are a combination of staffing and placements pressures and other associated costs.

- 2.4 The staffing pressures in respect of children's social care totalling £788k are due to the number of agency workers across the service during the financial

year. Business support costs of £852k are due to delays in implementing the new staffing structure. These are offset by staff savings in Early Help (£635k) and various other service areas (£55k) reducing the staffing overspend to £950k, when discounting DSG funded services.

- 2.5 The major pressure relates to placement costs which had a net overspend of £13.162m, with additional budget associated pressures on transport (car allowances, public transport and vehicles) £757k, section 17 & 23 payments £269k and interpretations £238k.

2.6 High Needs Block

- 2.7 The High Needs Block (HNB) allocation 2018/19 was £31.167m and has been under significant pressure for a number of years due to rising numbers of children supported in specialist provision and the rising costs of Education Health Care plans. Consequently, the central DSG reserve has changed from a £1m reserve deficit in 2015/16 to £9.7m deficit at March 2018. The outturn at the end of March 2019 is an in-year overspend of £5.4m of which £5.1m is the High Needs Block (see table below for details) with minor movements of £0.3m across the other blocks.

Table 2 High Needs Outturn 2018/19

	Budget	Outturn	Variance
Special Schools	13,008,458	13,191,042	182,584
Primary Schools	172,990	172,990	0
Secondary Schools	317,213	293,052	-24,161
Top Up Funding	3,134,231	3,825,295	691,064
Alternative Provision	4,380,556	4,532,930	152,374
Out of Authority Placements	6,614,024	10,834,217	4,220,193
Inclusion Services	2,128,650	2,000,465	-128,185
Other Education Services	337,605	341,080	3,475
CCP & FE Places	1,073,499	1,073,499	0
Total	31,167,226	36,264,570	5,097,344

2.8 **Adult Care, Public Health and Housing**

- 2.9 The final outturn position for the Directorate was an overspend of £4.661m. This was an improvement on the position which had been previously forecast, mainly driven through effective budget management, plus internal/external challenge to the necessity of spend and value for money tests against the Better Care Fund plan for 2018/19.

- 2.10 Whilst there were no new budget savings for Adult Care agreed as part of the 2018/19 budget setting process, there were £3.224m of savings agreed in previous years to take effect in 2018/19, in addition to the £5.422m agreed, but not delivered in 2017/18. This left £8.646m of savings to be delivered in 2018/19 of which £4.438m was delivered as planned.
- 2.11 There was also a net increase in the number of service users resulting in a budget pressure and cost to the service of circa £1.75m per annum.
- 2.12 The main reason for the delayed delivery of savings is the complexity of cases, with some service users having a significant change in need since their last assessment. Also, contributing to the delay is historical assessment practice across all cohorts; in terms of over reliance on residential care, poor application of self-directed support and over provision of care hours. In addition, Reviewing Team resources had to be diverted due to external market failure on several occasions.
- 2.13 The pressures incurred were partially offset by higher than expected staff turnover within Commissioning and Assessment & Care Management and also by maximisation of external funding.
- 2.14 There was additional income for the Housing General Fund relating to contractual gain share and adaptations on private sector housing. This assisted in reducing the potential Directorate overspend.
- 2.15 The Public Health ring fenced specific grant was reduced to £16.304m for 2018/19. Public Health budget savings of £653k were agreed as part of the budget setting process for 2018/19. These were fully achieved in year.
- 2.16 Within Public Health there were some budget pressures within Sexual Health and Tobacco Control but these were offset by underspends on Drugs & Alcohol and Children's Obesity contracts, together with staff vacancies across the service.
- 2.17 **Regeneration and Environment**
- 2.18 The Directorate had an underspend of £0.1m in line with forecasts of achieving a balanced budget. This included the one-off benefit of capitalising £340k of works, the underlying budget variance therefore was an overspend of £238k.
- 2.19 A summary of the main variances are:

- **Community Safety and Street Scene (CSS) - £0.356m overspend**

Streetscene Services were £1.1m overspent. This overspend, was offset by improved performance in Highway Network Management by £0.6m. The significant change was from the opportunity to complete Highway improvements, funded from capital grants, which helped bring about a positive contribution from Highway Network Management.

The majority of the overspend was incurred on the cost of the Corporate Transport Unit, principally Home to School transport. Spending on a

combination of elements including vehicle hire, plus day-to-day running costs of vehicles. Escalating referrals for transporting clients for CYPS and Adult Services explains the increases in costs, but also day-to-day charges from transport contractors have increased above inflation in-year.

- **Culture Sport and Tourism (CST) - £0.360m underspend** - CST ended the year favourably with a planned underspend of £0.36m in order to support the out-turn position. Activities that led to underspending against budget included a higher than expected gain share payment from the Leisure PFI contract which is expected to be a one-off. Customer Services underspent by £0.3m. Libraries and Leisure Facilities also underspent by £0.1m each although Green Spaces and CST Management overspends balanced off those latter underspends. Despite running a varied programme of activity, the theatre and some leisure activities have not been able to achieve budgeted income this year, where increases were set as part of the ASR process. Cost restraint on non-pay budgets helped to achieve a better financial outcome.

- **Planning Regeneration and Transport (PRT) - £0.260m overspend**- The budget under severest pressure was the facilities management (FM) budget that overspent by £0.8m. However, activity undertaken by the construction design service to maximise income and achieve benefits from the YORhub Framework led to an equally favourable outcome of £0.9m overachieved income. The reason for the overspend in FM is chiefly down to the timing in achieving required savings of £1m, albeit progress on this is making headway. Elsewhere in PRT, Facilities Services budgets were affected by the pressures within the School Meals Service, as a result the service underachieved against target by £0.3m. This, however, was offset by an over-recovery on planning fee income.

2.20 Finance and Customer Services

2.21 There was an underspend of £0.156m in the Directorate. This included the one-off benefit of capitalising £113k of equipment costs. The underlying budget variance was therefore an underspend of £43k. Details of the main variances are set out below.

- **Legal Services - £1.2m overspend** – Significant challenges continued during the year from the continued demand for legal support with child protection hearings and court cases relating to Looked After Children. Whilst the recruitment to vacancies has recently been productive, throughout the year there has been a reliance on locum solicitors alongside a temporary arrangement with Sheffield City Council and associated costs.

The budget set for 2019/20 was based on anticipated demands of social care proceedings on legal services so whilst it is envisaged that there will continue to be pressures on the service, the financial impact should be manageable. Work is ongoing to identify ways of reducing demand for legal advice, coupled with a management restructure within the department, with the aim of better controlled use of resources.

- **Customer Information and Digital Services - £0.479m underspend** – an underspend largely as a result of vacancies being held pending a complete

review of Digital Services management and staffing structures being implemented and to support the overall financial position of the Directorate.

- **Financial Services - £0.269m underspend** - within Finance, reduced volume and value of school staff absence claims from the Schools Traded Service led to a less than expected overspend on this scheme. The pressure in-year was offset by vacancy control across the wider finance function.
- **Revenues and Benefits - £0.677m underspend** – in the main this is due to vacancy control, and maximisation of grant funding.

2.22 Assistant Chief Executive

2.23 There was an underspend of £0.815m, in the main due to vacancy control and savings from the HR restructure. Further details are:

- **Human Resources - £0.704m underspend** – this is largely due to vacancy control and the ability to capitalise salary costs relating to the implementation of the new HR and Payroll system. There were pressures in the service due to loss of income, however, these were offset by income from salary sacrifice schemes and additional income from new payroll contracts.
- **Policy and Partnerships - £0.167m underspend** – there were cost pressures on the Complaints Team as a result of the use of external investigators, however, these were offset by vacancy control and additional income from Public Health for the Department for Education mapping exercise.
- The underspends were partly offset by the costs of additional support for the development of budget options for 2019/20 and 2020/21 and change management support to the Adult Care Directorate to deliver transformational change.

2.24 Central Services

2.25 There was an underspend of £4.832m, this was mainly as a result of further savings identified from treasury management activity, a review of PFI funding and payment profiles, additional business rates relief grant income and savings from early payment of pension costs to SYPA.

2.26 HOUSING REVENUE ACCOUNT (HRA) OUTTURN 2018/19

2.27 The Housing Revenue Account (HRA) is a statutory ring-fenced account that the Council must maintain in respect of the income and expenditure incurred in relation to council dwellings and associated assets. The original approved budget included a revenue contribution to capital expenditure of £9.97m and a contribution of £4.528m from reserves to provide an overall balanced budget.

- 2.28 There were some pressures encountered during the year, which were primarily:
- An increased cost of borrowing due to an increase in bank interest rates
 - Reduced fee income as a result of lower than anticipated Right to Buys

2.29 However, these pressures were more than offset by a number of favourable movements, which resulted in a final year end underspend of £1.4m against the approved revenue budget. The main areas of underspend are as follows:

- Additional rental income due to quicker turnaround of void properties
- Additional income on Furnished Homes
- Increased court costs income
- Reduced bad debt provision required as a result of improved collection rates
- Underspends on staffing

2.30 The final capital spend requiring revenue funding was £17.82m, £7.85m greater than anticipated in the original budget. This was largely due to 2 factors:

- New developments approved after the original budget had been set
- Re-profiled spend on approved schemes

2.31 The £1.4m revenue underspend reduced the overall amount required from reserves to balance the budget. The final drawdown from the HRA reserve was just under £11m.

2.32 **SCHOOLS OUTTURN 2018/19**

School balances at the end of 2018/19 for the Council's maintained schools and pupil referral units was £3.369m. This is a £1.968m increase compared to the previous year and takes account of schools that have converted to academies during 2018/19.

2.33 **RESERVES**

2.34 As mitigation of some in-year overspending, use of £3.9m of earmarked grants and balances was utilised to support the delivery of a balanced budget.

2.35 In addition to the use of earmarked balances referred to above, there was a planned use of reserves of £5.2m in the budget for 2018/19 approved by Council in February 2018. However, due to the identification of additional savings from Central Services budgets, additional government funding and use of capital receipts flexibility, only £2m was required, leaving a balance of £3.2m available as a budget contingency for use in 2019/20 or later years.

2.36 The Budget and Council Tax Report 2019/20 set out the Reserves Strategy, which includes a planned increase in the Council's General Fund Minimum Balance to £21m by 2020/21 and a move to four main Corporate Reserves. The end of year balances for Earmarked Reserves, as shown in Table 3 below, supports the Council's plan for robust, risk assessed balances as set out in the Reserves Strategy.

2.37 The Corporate Reserve balances are shown in the table below and make up the Council's total earmarked reserves. Total General Fund revenue reserves balance at 31 March 2019 are £38.124m compared to £46.508m in the previous year.

2.38 The Council's General Fund minimum balance has been increased to £16.812m as a result of planned use and profiling of reserves balances as set out in the Council's Reserves Strategy.

Table 3 Total Earmarked Reserves as at 31 March 2019

	Balance as at 1 April 2018 £m	Transfer to/(from) in the year £m	Balance as at 31 March 2019 £m
General Fund Reserves			
Transformation	2.733	0	2.733
Furnished Homes	3.050	(3.050)	0
Insurance Fund	0.350	0	0.350
Business Rates	4.000	0	4.000
Pensions	4.925	0	4.925
PFI – Waste	0.234	(0.234)	0
PFI – Leisure	2.393	(2.393)	0
PFI – Education (Schools)	8.161	(5.021)	3.140
Looked After Children	1.000	(1.000)	0
Corporate Revenue Grants Reserve	5.664	(3.463)	2.201
Budget Contingency	0	3.182	3.182
Balances Earmarked to Directorates	2.619	(2.619)	0
Housing Transformation Fund	0	0.702	0.702
Other Minor Earmarked Reserves	0.110	(0.031)	0.079
Total General Fund Reserves	35.239	(13.927)	21.312
General Fund Minimum Balance	11.269	5.543	16.812
Total General Fund	46.508	(8.384)	38.124
DSG Grant Reserve	(9.687)	(5.427)	(15.114)

2.39 Capital Reserves

2.40 These reserves are held to finance capital expenditure. Capital Receipts are proceeds from the sale of an asset which are used to finance capital expenditure. The Capital Grants Unapplied Reserve holds grants and contributions received towards capital projects until the expenditure funded by that grant has been incurred, whilst the Major Repairs Reserve holds the unspent amount of HRA balances for capital financing purposes.

Table 4 Capital Reserves as at 31 March 2019

	Balance as at 31 March 2019	Committed Resources	Un- earmarked as at 31 March 2019

	£m	£m	£m
Capital Receipts			
General Fund	11.875	11.875	0
HRA	13.472	6.076	7.396
Sub-Total	25.347	17.951	7.396
Capital Grants – Unapplied			
General Fund (not service specific)	0	0	0
General Fund (service specific)	17.922	17.922	0
HRA	1.017	1.017	0
Sub-Total	18.939	18.939	0
Major Repairs Reserve – HRA	10.596	10.596	0
Total	54.882	47.486	7.396

2.41 CAPITAL OUTTURN 2018/19

2.42 The Capital Programme for 2018/19 was £99.750m split between the general fund £57.862m and HRA £41.888m. As at the financial year end 31 March 2019, the capital programme had expenditure of £91.642m, with underspend and slippage of (£8.108m). The breakdown of the outturn position by Directorate is shown below, together with a summary of the key variances between budget and outturn, and some key achievements in the year.

Table 4 Capital Outturn 2018/19

Directorate	2018/19 Budget £m	2018/19 Outturn £m	2018/19 Variance £m
General Fund Capital			
Children and Young Peoples Services	9.822	7.427	-2.395
Assistant Chief Executive	1.338	0.921	-0.417

Adult Care & Housing	4.069	4.321	0.252
Finance & Customer Services	5.187	1.965	-3.222
Regeneration & Environment	35.446	32.074	-3.372
Capitalisation Direction	2.000	1.676	-0.324
Total General Fund Capital	57.862	48.384	-9.478
Total HRA Capital	41.888	43.258	1.370
Total RMBC Capital Programme	99.750	91.642	-8.108

2.43 Children and Young People's Services

2.44 The CYPS capital programme outturn had £2.395m of underspend and slippage against the approved budget of £9.822m.

There were a number of variances contributing to the outturn at the year end with a number of Schools schemes required slippage of budget into 2019/20 following delays starting on site, with finalising designs, and through the decision to amalgamate a number of contracts to create efficiency savings. In addition there was a saving made on some delivered works due to value engineering.

As part of the outturn position the following key outputs have been delivered:

- Primary Schools: one new school build commenced at Harthill Primary, additional classrooms commenced at Wath CofE and design works started at Rawmarsh and Sandhill Academy.
- Secondary Schools: a new classroom block was constructed at Wales Academy & Wath Comprehensive
- Special: Additional classrooms built at Abbey and Newman Schools and one new swimming pool at Newman School.
- Relocation of a childrens centre from Flanderwell Primary to Highgreave Primary.
- Investment in over twenty schools through the capitalised enhancement programme.
- Work commenced or completed across six careers properties, via Pathways to Care.

2.45 Adult Care & Housing

2.46 The Adult Care & Housing capital programme outturn was an additional spend of £0.252m against the approved budget of £4.069m. The main variance contributing to this position was:

- The Furnished Homes scheme had additional expenditure of £0.118m. This project is a home furnishing rental scheme, whereby the capital financing costs of borrowing to fund the scheme are met by the rental streams generated. The scheme is demand led and self-financing with the additional borrowing requirement picked up by the rental streams.

- The Private Sector Adaptations scheme spent a further £0.097m resulting from the late award to the Council of additional top up grant funding (Disabled Facilities Grant), that had to be utilised before the year end. This project provides adaptations to properties to assist in allowing vulnerable people to remain within their own home.

As part of the outturn position the following key outputs have been delivered:

- 7 Community centre conversions completed delivering 16 new units.
- 189 Major Adaptations completed (Fair Access) to properties, including, but not limited to, hoists, level access showers, extensions, ramps, and stairlifts.
- 2,425 Assistive Technology Equipment issued to vulnerable people to help them remain in their homes, including but not limited to, riser/recliner chairs, bed sensors, automatic door openers, and radio guardian monitors.

2.47 Assistant Chief Executive

2.48 The Assistant Chief Executive capital programme outturn had £0.417m of underspend and slippage against the approved budget of £1.338m. The main variance contributing to this position was:

- Ward budgets have been re-profiled by £0.153m to allow for the finalisation of projects not completed in 2018/19.
- Some works for the replacement HR system fell within 2019/20. As a result £0.264m has been re-profiled.

2.49 Finance & Customer Services

2.50 The Finance & Customer Services capital programme outturn had £3.222m of underspend and slippage against the approved budget of £5.187m. The main variance contributing to this position was:

- The ICT refresh programme has been delayed whilst the service undertake a review of the Council's requirements in respect of the new telephony and software platforms (Office 365). These new systems are now expected to be implemented during 2019/20.

2.51 Regeneration & Environment

2.52 The Regeneration & Environment capital programme outturn had £3.372m of underspend and slippage against the approved budget of £35.446m. The main variance contributing to this position was:

- **Corporate Property Unit:** Operational Buildings capital investment programme has slippage of £0.331m, In addition CYPS Corporate property has slippage of £0.267m.
- **Transport & Highways:** The main slippage in this area is on the Clean Air Zone Electric Charging Points, £0.650m. This slippage will be carried forward. The tender process was being finalised at the year-end with a view to spend completing in the early part of 2019/20.

In addition there is significant slippage on Crinoline Bridge, £0.222m, College Road NPIF, £0.319m, Traffic Signal Renewal, £0.193m, and Traffic Signal Red-light camera digital upgrade, £0.173m.

- **Cultural Heritage & Sports:** Brinsworth Library requires an additional £0.043m to complete the funding of the tendered cost of the scheme. The updated Capital Programme proposes that this is met by the transfer of £0.043m from the Libraries Strategic Review project.
- **Street Scene Services:** The major scheme in this area, Bin Wagons/Bins had a £0.351m slippage at the year end. This budget will be carried forward into the new year to meet the procurement of the 1,100 litre steel bins to be completed.

As part of the outturn position the following key outputs have been delivered:

- £9.881m invested into improving the Borough's Carriageways, unclassified roads, and pavements including utilising the £1.651m grant from the Department for Transport that was awarded in Autumn 2018.
- Procurement of bin wagons and almost all the required bins as part of the Council's approved Waste Management Strategy.
- Purchase of grounds maintenance assets to remove the need to lease, ensuring budgeted savings within revenue are delivered.
- Delivery of the Rother Valley Country Park Caravan Park.

2.53 Transformation Programme (Capitalisation Direction)

2.54 The expenditure that the Council can capitalise under this direction is limited to the level of new-year Capital Receipts. A budget of £2.000m is included in the Capital Programme as an estimate of the availability to fund revenue expenditure that meets the criteria of being transformational spend. The Council's policy is to use new-year capital receipts to fund transformational costs that would otherwise be held within revenue expenditure accounts, releasing pressure on the revenue budget. The total receipts for 2018/19 was £1.676m.

2.55 Housing Revenue Account (HRA)

2.56 The HRA capital programme outturn was a £1.370m overspend against the approved budget of £41.888m. The main variance contributing to this position was:

- Site Clusters - this scheme is to deliver 217 units of new build housing across 7 sites, with a mixed tenure, including new Council Housing and private for sale properties. Due to significant cost increases from sites and inflation on materials, there were additional costs of £2.869m in 2018/19. The revised cost and funding profile of the scheme was approved by Cabinet on 18th March 2019.

- Communal Areas - Efficiencies were gained within the tender process and during on-site delivery, therefore £0.964m less budget was required to complete the scheme of works.
- Rothwell Grange SOAHP - £0.213m underspent in year, due to site issues. However the scheme is now in contract and work will commence in summer 2019.
- Lapwater Drive Estate Communals – The pre-tender estimate of £1.000m was reduced as a number of efficiencies were gained both through tender process and during on-site delivery totalling £0.219m.
- Maltby all Hallows Communals – The pre-tender estimate of £1.000m was reduced as a number of efficiencies were gained both through tender process and during on-site delivery totalling £0.571m.

As part of the outturn position the following key outputs have been delivered:

- 7 Community centre conversions completed delivering 16 new units.
- 27 new units delivered for social housing, comprising of 4 houses from site clusters (Gaitskell), 6 Bungalows for the elderly (2 sites, 4 at Aston and 2 at Treeton), 17 new purchased units under the strategic acquisitions programme.
- 450 Major Adaptations completed (Fair Access)
- 18 live environmental schemes completed within the year.
- 4,290 properties received investment during the financial year.

2.57 Funding of the Capital Programme 2018/19

2.58 The £91.643m of capital expenditure was funded as shown in the table below:

Table 5 Funding of the Capital Programme 2018/19

Funding Stream	Outturn £m
Grants and Contributions	20.407
Unsupported Borrowing	20.701
Capital Receipts	5.574
Capital Receipts - Flexible Use	1.686
Revenue Contributions	0.016
Total Funding - General Fund	48.384
Grants and Contributions	2.886
Housing Major Repairs Allowance	19.665
Useable Capital Receipts	2.902
Revenue Contributions	17.805
Total Funding - HRA	43.258
Total	91.643

2.59 Capital Receipts

2.60 The Council is continuing to undertake a comprehensive review of its assets and buildings portfolio with the aim of rationalising both its operational and non-operational asset holdings. This may contribute future capital receipts which are earmarked to support the revenue budget, using the capital receipts

flexibilities in place until 2021/22 to fund expenditure relating to transforming Council services to generate future revenue efficiency savings.

2.61 In 2018/19 General Fund Capital receipts of £2.315m were generated as shown in the table below. Although, £0.629m of this was for loan repayments, these cannot be used to support the revenue budget as only those receipts by the disposal of property, plant and equipment can be used under the capital receipts flexibilities available until 2021/22.

Table 6 – General Fund Capital Receipts Received in 2018/19

Description	Total as at 31st March 2019 £m
Land at White Hill Lane, Brinsworth	0.025
Plot 2 Bighton Link Road	0.100
Land at Dalton Lane	0.156
Simmonite Road (International Centre, Kimberworth)	0.018
Doncaster Gate	0.151
20 Percy Street	0.051
2 Goodwin Crescent	0.081
92 Creswick Road	0.116
36 Nelson Street	0.117
Wood View Former Children's Home	0.160
21 Scholes Lane	0.181
Land at William Street	0.200
Greasborough Road	0.325
Other De-minimus	0.006
Sub total - Useable In-Year Capital Receipts	1.686
Repayment of Loans	0.629
Total Capital Receipts	2.315

2.62 The useable capital receipts available to support the capital programme outturn of £1.686m, were fully utilised to support transformational projects within the Council.

2.63 The Flexible Use of Capital Receipts Strategy for 2019/20, approved at Council in February 2019, sets out the proposed use of capital receipts which are generated in 2019/20. In line with the Council's overall budget and financial strategies, the priority use of capital receipts was agreed as funding the costs associated with staff leaving the Council through service reconfiguration and funding the one-off revenue costs being incurred to support the Council's service development and delivery of efficiencies and savings.

2.64 The Strategy further outlines this one-off support as the costs of remodelling the workforce particularly within Children's Services, Adult Social Care and Regeneration & Environment.

2.65 Updated Capital Programme 2019/20 to 2022/23

2.66 The Capital Programme 2019/20 totals £130.484m split between the general fund £79.762m and HRA £50.722m, this has increased overall by £15.653m from the position reported to Cabinet on 15 April 2019. The movement is based on the latest profiles of expenditure against schemes, following the 2018/19 outturn position, factoring in slippage from 2018/19 of £7.073m, and the addition of new inclusions to the capital programme, through grant approvals or as part of specific cabinet reports, totalling £8.580m.

2.67 Additional grant funded schemes are added to the Capital Programme on an ongoing basis in accordance with the Financial and Procurement Procedure Rules. In addition a number of new capital proposals, requiring additional HRA funding, have been added to the capital programme, following approval of project specific cabinet reports. Grant and HRA schemes added since the report to Cabinet in April are listed below.

2.68 The updated Capital Programme attached as Appendices A to D reflects the 2018/19 Outturn, these additions and current capital scheme expenditure profiles.

Table 7: New Grant and HRA Funded Schemes

Directorate/Scheme	2019/20 £M	Post 2019/20 £m
Children and Young Peoples Services		
Waverley New Primary School – This new primary school is to be funded by a significant S106 contribution from the new housing development at Waverley, supported by Basic Need Grant. Designs and site surveys have been undertaken for the new school but actual start on site is not expected to commence until June 2019. The current forecast scheme costs are £9.420m, in support of these costs, the S106 contribution has increased, as part of the development agreement, the S106 agreement is index linked to the All In Tender price. The S106 contribution has therefore increased by £2.544m. The S106 contributions to the scheme have been profiled to 2019/20, with the supporting contributions from the Councils existing Basic Need Grant used to fund the latter stages of the project.	3.309	(0.764)
LA Maintained Schools Grant has been increased following confirmation of the grant available for 2019/20.	0.331	0

Capitalised Enhancements Grant estimates have been entered into the programme for 2021/22 and 2022/23.	0	2.100
Regeneration and Environment		
Local Safety Scheme adjusted following confirmation of grant award for 2019/20	(0.059)	0
Housing Revenue Account		
Site Clusters New Build Programme has seen its contribution from the HRA increased following a Cabinet report in March 2019, the increase was required due to significant cost increases in materials and in relation to site issues.	2.546	1.119
Town Centre New Housing: the project is developing housing on Council-owned sites in the town centre, in order to stimulate wider private sector investment as well as creating employment and training opportunities and generating income to the Council through New Homes Bonus, council tax, rental income and sales receipts. To assist in getting the schemes fully designed, planning in place and the sites fully assessed for deliverability, £0.472m has been made available within the HRA Capital Programme.	0.472	0
A report was submitted to cabinet in 9 July 2018 to fund the delivery of 12 bungalows for older people with support needs, as part of a project to trial modern methods of construction. This total budget of £1.981m is to be funded by these new grants and additional HRA contribution. Sheffield City Region Housing Fund Homes England Grant HRA Contribution	0.442 0.468 1.071	0 0 0
Total Additions	8.580	2.455

Table 8: Updated Capital Programme 2019/20 to 2022/23

Directorate	2019/20 Budget £m	2020/21 Budget £m	2021/22 Budget £m	2022/23 Budget £m	Total Budget £m
General Fund Capital					

Children and Young People's Services	17.358	8.464	3.989	4.508	34.319
Assistant Chief Executive	0.625	0.210	0.210	0.210	1.255
Adult Care & Housing	4.764	5.993	11.976	4.005	26.737
Finance and Customer Services	7.042	4.828	1.775	1.775	15.420
Regeneration and Environment	47.973	43.288	21.790	6.355	119.405
Capitalisation Direction	2.000	2.000	2.000	0.000	6.000
Total General Fund Capital	79.762	64.783	41.739	16.853	203.137
Total HRA Capital	50.722	26.113	22.275	22.275	121.385
Total RMBC Capital Programme	130.484	90.896	64.014	39.128	324.522

Forecast funding position of Capital Programme 2019/20

2.69 The £130.484m of capital expenditure is funded as shown in the table below;

Table 9: Funding of the approved Capital Programme

Funding Stream	2019/20 Budget £m
Grants And Contributions	33.321
Unsupported Borrowing	38.217
Capital Receipts	6.224
Capital Receipts - Flexible Use	2.000
Total Funding - General Fund	79.762
Grants And Contributions	2.815
Housing Major Repairs Allowance	18.306
Capital Receipts	13.046
Revenue Contribution	16.555
Total Funding - HRA	50.722
Total	130.484

3. Options considered and recommended proposal

3.1 This detail is set out in Section 3 above.

4. Consultation on proposal

4.1 None identified

5. Timetable and Accountability for Implementing this Decision

- 5.1 The Strategic Director - Finance and Customer Services is responsible for implementing any actions arising from the supported recommendations in this report. These should be actioned at the earliest opportunity to aid the monitoring of the 2019/20 Revenue Budget and Capital Programme.

6. Financial and Procurement Advice and Implications

- 6.1 As set out in the sections above.

7. Legal Advice and Implications

- 7.1 None identified.

8. Human Resources Advice and Implications

- 8.1 None identified.

9. Implications for Children and Young People and Vulnerable Adults

- 9.1 None identified.

10. Equalities and Human Rights Advice and Implications

- 10.1 None identified.

11. Implications for Partners

- 11.1 None identified.

12. Risks and Mitigation

- 12.1 There are increasing cost pressures associated with the rising demand for social care services. The Council will invest £17.5m to support these pressures over the next two financial years. The Council will continue to closely monitor its financial position throughout the year and if required management will implement appropriate mitigations.

- 12.2 There is funding uncertainty for the local government sector beyond 2019/20 pending the outcomes of the Government Spending Review 2019 and the Fair Funding Review, which will implement changes to the way in which local government is currently funded. The Council's Medium Term Financial Strategy will be revised and updated later in the year to reflect the outcomes of these reviews.

13. Accountable Officer(s)

Judith Badger, Strategic Director of Finance and Customer Services
Graham Saxton, Assistant Director of Financial Services
Paul Stone, Head of Corporate Finance.

Approvals obtained on behalf of:-

	Named Officer	Date
Chief Executive	Sharon Kemp	24/06/19
Strategic Director of Finance & Customer Services (S.151 Officer)	Judith Badger	21/06/19
Assistant Director of Legal Services (Monitoring Officer)	Bal Nahal	21/06/19
Assistant Director of Human Resources (if appropriate)	Lee Mann	17/06/19
Head of Procurement (if appropriate)	Karen Middlebrook	17/06/19

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This report is published on the Council's [website](#).

Capital Programme HRA Fund 2019/20 to 2022/23

Directorate	Service Area	Service Area	Sub Service	Project Code	Project	Current Year	2020/21	2021/22	2022/23	Total Budget
						Budget £	Budget £	Budget £	Budget £	Budget £
HRA	Neighbourhood Capital Programm	Fair Access to All	Aids and Adaptations (Public S	CJF301	Adapts - WDP - Public Major	926,524	0	0	0	926,524
				CJF302	Adapts - MFS - Public Major	620,798	0	0	0	620,798
				CJF303	Adapts - OTHERS - Public Major	416,479	0	0	0	416,479
				CJF401	Adapts - WDP - Public Minor	172,558	0	0	0	172,558
				CJF402	Adapts - MFS - Public Minor	113,641	0	0	0	113,641
				CJFBUN	Public Adapts Bud Unall	0	2,000,000	2,000,000	2,000,000	6,000,000
			Aids and Adaptations (Public S			2,250,000	2,000,000	2,000,000	2,000,000	8,250,000
			Fair Access to All			2,250,000	2,000,000	2,000,000	2,000,000	8,250,000
		Improving Council Housing & Ho	Asbestos	CJQ101	Asbestos-Testing & Removal	530,000	400,000	400,000	400,000	1,730,000
			Asbestos			530,000	400,000	400,000	400,000	1,730,000
			Beeversleigh	CJS103	Beeversleigh Doors.Misc	0	0	0	0	0
			Beeversleigh			0	0	0	0	0
			District Heating	CJ0602	District Heating Conversions	100,000	0	0	0	100,000
				CJJ515	Vine Close-Pipework to dwell's	0	0	0	0	0
				CJJ519	Vale Road	30,000	0	0	0	30,000
				CJJ520	Hampstead Green DH	0	0	0	0	0
				CJJ522	Grange Estate Heat Meters	0	0	0	0	0
				CJJ525	Mansfield DH	120,000	0	0	0	120,000
				CJTBUN	District Heating Bud Unall	200,000	450,000	450,000	450,000	1,550,000
			District Heating			450,000	450,000	450,000	450,000	1,800,000
			Electrical Board & Bond	CJJ301	WDP - Board and Bond	0	0	0	0	0
			Electrical Board & Bond			0	0	0	0	0
			Environmental Programme	CJE220	Dawson Croft-Car Park Works	0	0	0	0	0
				CJE226	Woodlands Close	0	0	0	0	0
				CJE227	Wingfield Road Phase 2 Enviro	0	0	0	0	0
				CJE228	Markfield Drive Phase 2 Enviro	0	0	0	0	0
				CJE229	Albert Street Phase 2 Enviro	0	0	0	0	0
				CJE232	Badsley Moor Lane Boundary Imp	0	0	0	0	0
				CJE233	Warwick St Access.bin storage.	0	0	0	0	0
				CJE234	Fleming Way Park Impts	0	0	0	0	0
				CJE235	Wellfield Lodge bin storage im	0	0	0	0	0
				CJE236	Leverton Creation of parking b	0	0	0	0	0
				CJE237	Pike Rd Bin store imp	0	0	0	0	0
				CJE238	St Philips Access improvements	140,000	0	0	0	140,000
				CJE239	Staple Green pathway imp	0	0	0	0	0
				CJE240	Tristford parking imp	0	0	0	0	0
				CJE241	Christchurch Rd Parking prov'n	0	0	0	0	0
				CJE242	Library Close access-surfacing	0	0	0	0	0
				CJE243	High Nook Pk, Dinn'ton Impts	30,000	0	0	0	30,000
				CJE244	Lyme tree Play Area design	0	0	0	0	0
				CJE247	H'thorpeVily Rd hwy resurface	0	0	0	0	0
				CJE248	School Close Wales Enviro	0	0	0	0	0

Capital Programme HRA Fund 2019/20 to 2022/23

Directorate	Service Area	Service Area	Sub Service	Project Code	Project	Current Year	2020/21	2021/22	2022/23	Total Budget
						Budget £	Budget £	Budget £	Budget £	Budget £
				CJE249	Hawksworth Road Enviro	20,000	0	0	0	20,000
				CJE250	Church Close Enviro	0	0	0	0	0
				CJE251	St Johns Green Princint	100,000	0	0	0	100,000
				CJE252	Woodland Drive	42,000	0	0	0	42,000
				CJE253	Hayfield Walk	51,000	0	0	0	51,000
				CJE255	Cedar Drive	40,000	0	0	0	40,000
				CJE256	Plowmans Way	40,000	0	0	0	40,000
				CJE257	Hepworth Drive	30,000	0	0	0	30,000
				CJE258	Brameld Road	20,000	0	0	0	20,000
				CJE259	Robinets Road Fencing	5,000	0	0	0	5,000
				CJE260	Birdwell Road Parking	11,000	0	0	0	11,000
				CJEBUN	Environmental Bud Unall	271,000	800,000	800,000	800,000	2,671,000
				Environmental Programme		800,000	800,000	800,000	800,000	3,200,000
				External Insulation	CJN401	Thermal Improvments	500,000	500,000	500,000	2,000,000
					CJN402	Fitzwilliam - Swinton Thermal	0	0	0	0
				External Insulation		500,000	500,000	500,000	500,000	2,000,000
				Garage Site Investment	CJ0806	Unsustainable Garage Sites	250,000	250,000	250,000	1,000,000
				Garage Site Investment		250,000	250,000	250,000	250,000	1,000,000
				General Structures	CJM301	Capital Structural Work	975,000	0	0	975,000
				General Structures		975,000	0	0	0	975,000
				IHMS (IT System)	CJ1003	HIMS Computer System	700,000	500,000	0	1,200,000
				IHMS (IT System)		700,000	500,000	0	0	1,200,000
				Major Voids Capital Prog	CJC101	WDP - Major Voids	1,400,000	1,400,000	1,400,000	5,600,000
					CJC102	MFS - Major Voids	1,400,000	1,400,000	1,400,000	5,600,000
				Major Voids Capital Prog		2,800,000	2,800,000	2,800,000	2,800,000	11,200,000
				Refurbishments	CJA102	MFS - Site Prelims	450,000	0	0	450,000
					CJA113	Rawmarsh External	0	0	0	0
					CJA117	Kimberworth Roofing	808,336	0	0	808,336
					CJA118	Wharnccliffe Flats RoofRWG Balc	0	0	0	0
					CJA119	Aston/Org/Swall Extnals Ph 1	1,671,376	0	0	1,671,376
					CJA120	Thrybergh Externals Phase 1	1,978,868	0	0	1,978,868
					CJA121	Dawsons Croft Communals	0	0	0	0
					CJA122	Soil Stacks	150,000	0	0	150,000
					CJA123	Beeversleigh Concrete Repairs	200,000	0	0	200,000
					CJA401	MFS - Internal-MFS C5 1A	938,420	0	0	938,420
					CJA710	The Lanes Balconies	0	0	0	0
					CJA714	Vine Close Communals	0	0	0	0
					CJA715	Munsb'gh Roof,Chim,FSB,RWG	0	0	0	0
					CJA718	Rock'ghm&Wing'd Asb'tos Gutts	0	0	0	0
					CJA719	Durham Place Bungalows	0	0	0	0
					CJA720	Rockingham House Lift	0	0	0	0
					CJA721	Lapwater Drive Est Communals	0	0	0	0

Capital Programme HRA Fund 2019/20 to 2022/23

Directorate	Service Area	Service Area	Sub Service	Project Code	Project	Current Year	2020/21	2021/22	2022/23	Total Budget	
						Budget £	Budget £	Budget £	Budget £	Budget £	
				CJA722	Hampstead Green Communals	0	0	0	0	0	
				CJA723	Maltby all Hallows Communals	0	0	0	0	0	
				CJA725	Sprinkler Systems	287,000	0	0	0	287,000	
				CJA726	Communals	1,100,000	0	0	0	1,100,000	
				CJA727	Alderson Close Curtain Wall	100,000	0	0	0	100,000	
				CJA728	Blocks & Balconies	425,000	0	0	0	425,000	
				CJA729	Bin Stores	2,571,805	0	0	0	2,571,805	
				CJA801	Design & Appraisal	75,000	0	0	0	75,000	
				CJABUN	Refurb Bud Unall	1,010,120	13,500,000	13,575,000	13,575,000	41,660,120	
				CJB101	Replacement Windows	1,008,343	0	0	0	1,008,343	
				Refurbishments		12,774,268	13,500,000	13,575,000	13,575,000	53,424,268	
			Replacement of Central Heating	CJJ101	WDP - Ad Hoc Boiler Repl'ts	1,320,000	1,500,000	1,500,000	1,500,000	5,820,000	
				CJJ102	WDP-Fires(gas2elec/elec2elec)	45,000	0	0	0	45,000	
				CJJ103	WDP - Magna Cleans	25,000	0	0	0	25,000	
				CJJ104	WDP - Hard Wire Stats	35,000	0	0	0	35,000	
				CJJ105	WDP-Boilers Scheme 1	800,000	0	0	0	800,000	
				CJJ108	MFS - Boiler Swaps on Voids	75,000	0	0	0	75,000	
				Replacement of Central Heating		2,300,000	1,500,000	1,500,000	1,500,000	6,800,000	
			Replacement of Communal Doors	CJD101	Communal Doors (High Security)	50,000	0	0	0	50,000	
			Replacement of Communal Doors		50,000	0	0	0	50,000		
			Sheltered Housing Communal Are	CJR101	Community Centre Improvements	0	0	0	0	0	
				CJR103	Comm Centre Conv-Sorrel Sykes	0	0	0	0	0	
				CJR104	Comm Centre Conv-Arbour Drive	0	0	0	0	0	
				CJR107	Comm Centre Conv-Ash Grove	0	0	0	0	0	
				CJR108	Comm Centre Conv-Dorothy Tayl	0	0	0	0	0	
				CJR109	Comm Centre Conv-Caperns Rd	0	0	0	0	0	
				CJR110	Comm Centre Conv-Normanville	0	0	0	0	0	
				CJR111	Comm C'tre Conv-Victoria Court	0	0	0	0	0	
				CJR112	Robert Street, Com cent conv	0	0	0	0	0	
				CJR113	Station Rd, T'ton Comcent conv	0	0	0	0	0	
				CJR114	Ann Rhodes Com cent conv	0	0	0	0	0	
				CJRBUN	Community Centre Imps-Bud Unal	0	0	0	0	0	
				Sheltered Housing Communal Are		0	0	0	0	0	
			Improving Council Housing & Ho		22,129,268	20,700,000	20,275,000	20,275,000	83,379,268		
			New Housing Provision	Site Clusters	CJP001	Site Cluster Braithwell	5,237,046	602,931	0	0	5,839,977
					CJP002	Site Cluster Rotherview Road 1	1,415,723	342,470	0	0	1,758,193
					CJP003	Site Cluster Rotherview Road 2	3,772,442	912,573	0	0	4,685,015
					CJP004	Site Cluster Conway	980,011	0	0	0	980,011
					CJP005	Site Cluster Farnworth	886,907	0	0	0	886,907
					CJP006	Site Cluster Gaitskell	23,159	0	0	0	23,159
					CJP007	Site Cluster Shakespear	677,810	0	0	0	677,810
				Site Clusters		12,993,098	1,857,974	0	0	14,851,072	

Capital Programme HRA Fund 2019/20 to 2022/23

Directorate	Service Area	Service Area	Sub Service	Project Code	Project	Current Year	2020/21	2021/22	2022/23	Total Budget			
						Budget £	Budget £	Budget £	Budget £	Budget £			
			SOAHP delivery	CJP008	Bellows Road SOAHP	5,211,038	0	0	0	5,211,038			
				CJP009	Rothwell Grange SOAHP units	4,165,194	1,388,398	0	0	5,553,592			
				CJP010	Braithwell Rd SOAHP Bungalows	666,572	166,897	0	0	833,469			
				CJP011	Arundel Ave Bungws SOAHP	0	0	0	0	0			
				CJP012	SOAHP Bungalows - 4 units	0	0	0	0	0			
				CJP100	Millfold Site	139,354	0	0	0	139,354			
				CJP101	Sheffield Road Site	181,685	0	0	0	181,685			
				CJP102	Henleys Site	151,285	0	0	0	151,285			
				CJP200	MMC Bungalows	1,981,640	0	0	0	1,981,640			
				SOAHP delivery		12,496,768	1,555,295	0	0	14,052,063			
			Stock Conversions	CJH101	Grange Road Flats Conversion	0	0	0	0	0			
			Stock Conversions		0	0	0	0	0				
			Strategic Acquisitions	CJ0117	SA Waverley	0	0	0	0	0			
				CJ0120	Catherine Ave & St Marys Drive	0	0	0	0	0			
				CJ0122	Waverley HC5 Avant	0	0	0	0	0			
				CJ0123	Queens Ave KivetonPk 12 units	261,257	0	0	0	261,257			
				CJ0124	Pennypiece Lane	591,721	0	0	0	591,721			
				CJHBUN	LA Strat Acquisit'ns Bud Unall	0	0	0	0	0			
			Strategic Acquisitions		852,978	0	0	0	852,978				
			New Housing Provision		26,342,844	3,413,269	0	0	29,756,113				
			Neighbourhood Capital Programm						50,722,112	26,113,269	22,275,000	22,275,000	121,385,381
			HRA						50,722,112	26,113,269	22,275,000	22,275,000	121,385,381
			Summary						50,722,112	26,113,269	22,275,000	22,275,000	121,385,381

Initial Equality Screening Assessment (Part A)

As a public authority we need to ensure that all our strategies, policies, service and functions, both current and proposed have given proper consideration to equality and diversity.

A **screening** process can help judge relevance and provide a record of both the process and decision. Screening should be a short, sharp exercise that determines relevance for all new and revised strategies, policies, services and functions.

Completed at the earliest opportunity it will help to determine:

- the relevance of proposals and decisions to equality and diversity
- whether or not equality and diversity is being/has already been considered, and
- whether or not it is necessary to carry out an equality analysis.

Directorate: Finance and Customer Services	Service area: Finance
Lead person: Paul Stone	Contact number: 01709 822013

1. Title:

Is this a:

☐

Strategy / Policy

☒

Service / Function

☐

Other

If other, please specify

2. Please provide a brief description of what you are screening

The Council has a framework of budgetary monitoring and reporting that ensures budget management is exercised within annual cash limits.

The framework ensures that the forecast outturn position is reported regularly to Members during the year, including details of the final outturn position.

This Cabinet report outlines the final revenue and capital outturn position for 2018/19.

Given that the revenue and capital budgets have been approved by Council in February 2018, when equality assessments would have been considered at that time in respect of the budget proposals, there are no further issues in respect of equality and diversity.

3. Relevance to equality and diversity

All the Council's strategies/policies, services/functions affect service users, employees or the wider community – borough wide or more local. These will also have a greater/lesser relevance to equality and diversity.

The following questions will help you to identify how relevant your proposals are.

When considering these questions think about age, carers, disability, gender reassignment, race, religion or belief, sex, sexual orientation and any other relevant characteristics (for example socio-economic status, social class, income, unemployment, residential location or family background and education or skills levels).

Questions	Yes	No
Could the proposal have implications regarding the accessibility of services to the whole or wider community?		x
Could the proposal affect service users?		x
Has there been or is there likely to be an impact on an individual or group with protected characteristics?		x
Have there been or likely to be any public concerns regarding the proposal?		x
Could the proposal affect how the Council's services, commissioning or procurement activities are organised, provided, located and by whom?		x
Could the proposal affect the Council's workforce or employment practices?		x

If you have answered **no** to all the questions above please complete **sections 5 and 6**.

If you have answered **yes** to any of the above please complete **section 4**.

4. Considering the impact on equality and diversity

If you have not already done so, the impact on equality and diversity should be considered within your proposals prior to carrying out an **Equality Analysis**.

Considering equality and diversity will help to eliminate unlawful discrimination, harassment and victimisation and take active steps to create a discrimination free society by meeting a group or individual's needs and encouraging participation.

Please provide specific details for all three areas below and use the prompts for

guidance.	
• How have you considered equality and diversity? n/a	
• Key findings n/a	
• Actions n/a	
Date to scope and plan your Equality Analysis:	n/a
Date to complete your Equality Analysis:	n/a
Lead person for your Equality Analysis (Include name and job title):	n/a

5. Governance, ownership and approval

Please state here who has approved the actions and outcomes of the screening:

Name	Job title	Date
Graham Saxton	Assistant Director – Financial Services	18 June 2019

6. Publishing

This screening document will act as evidence that due regard to equality and diversity has been given.

If this screening relates to a **Cabinet, key delegated officer decision, Council, other committee or a significant operational decision** a copy of the completed document should be attached as an appendix and published alongside the relevant report.

A copy of **all** screenings should also be sent to equality@rotherham.gov.uk For record keeping purposes it will be kept on file and also published on the Council's Equality and Diversity Internet page.

Date screening completed	18 th June 2019
If relates to a Key Delegated Decision, Executive Board, Council or a Significant Operational Decision – report date and date sent for publication	
Date screening sent to Performance, Intelligence and Improvement equality@rotherham.gov.uk	

Committee Name and Date of Committee Meeting

Cabinet – 8 July 2019

Report Title

Financial Outturn 2018/19 - Treasury Management and Prudential Indicators

Is this a Key Decision and has it been included on the Forward Plan?

Yes

Strategic Director Approving Submission of the Report

Judith Badger, Strategic Director of Finance and Customer Services

Report Author(s)

Rob Mahon, Finance Manager – Financial Accounting
01709 254518 rob.mahon@rotherham.gov.uk

Ward(s) Affected

Borough-Wide

Report Summary

The Council received an annual treasury strategy report in advance of the 2018/19 financial year at its meeting on 28th February 2018 and Audit Committee received a mid-year report at its meeting on 27th November 2018, representing a mid-year review of treasury activity during 2018/19.

This report is the final treasury report for 2018/19. Its purpose is to review the treasury activity for 2018/19 against the strategy agreed at the start of the year. The report also covers the actual Prudential Indicators for 2018/19 in accordance with the requirements of the Prudential Code.

The report meets the requirements of both the CIPFA Code of Practice on Treasury Management and the CIPFA Prudential Code for Capital Finance in Local Authorities.

The Council is required to comply with both the aforementioned codes through regulations issued under the Local Government Act 2003.

Recommendations

1. That the Treasury Management Prudential Indicators outturn position as set out in Section 3 and Appendices A and B of the Annual Treasury Management Report for 2018/19 be noted.
2. That the report be forwarded to Audit Committee for information.

List of Appendices Included

Appendix A Summary Prudential Indicators for Rotherham MBC

Appendix B Summary Prudential Indicators for the Former South Yorkshire County Council

Background Papers

CIPFA – Code of Practice for Treasury Management in the Public Services Local Government Act 2003 (as updated)

CIPFA – Prudential Code for Capital Finance in Local Authorities(as updated)

Consideration by any other Council Committee, Scrutiny or Advisory Panel

Audit Committee

Council Approval Required

No

Exempt from the Press and Public

No

Financial Outturn 2018/19 - Treasury Management and Prudential Indicators

1. Background

1.1 The Council's treasury management activities are regulated by a variety of professional codes and statutes and guidance:

- The Local Government Act 2003 (the Act), which provides the powers to borrow and invest as well as providing controls and limits on this activity;
- The Act permits the Secretary of State to set limits either on the Council or nationally on all local authorities restricting the amount of borrowing which may be undertaken (although no restrictions were made in 2018/19);
- Statutory Instrument (SI) 3146 2003, as amended, develops the controls and powers within the Act;
- The SI requires the Council to undertake any borrowing activity with regard to the CIPFA Prudential Code for Capital Finance in Local Authorities;
- The SI also requires the Council to operate the overall treasury function with regard to the CIPFA Code of Practice for Treasury Management in the Public Services;
- Under the Act, the MHCLG has issued Investment Guidance to structure and regulate the Council's investment activities; and
- Under section 238(2) of the Local Government and Public Involvement in Health Act 2007 (revised) the Secretary of State has taken powers to issue guidance on accounting practices. Guidance on Minimum Revenue Provision was issued under this section on 8th November 2007.

1.2 The Council complied with all of the above relevant statutory and regulatory requirements which limit the levels of risk associated with its treasury management activities. In particular, the adoption and implementation of the Prudential Code and the Code of Practice for Treasury Management means that its capital expenditure is prudent, affordable and sustainable. Treasury investment practices are governed by the primary objectives of security ahead of liquidity and then yield.

2. Key Issues

2.1 Overview

2.2 Indicators are set prior to the start of the financial year and reflect the known position at that time. Approved changes to the capital programme and its funding throughout the financial year, together with variations in treasury management activity, mean that actual indicators for the year may vary from the projections made prior to the start of the financial year. However, by regular monitoring and reporting revised estimates of these indicators the Council is able to ensure the impact is known and managed through the Medium Term Financial Strategy.

The actual prudential indicators for 2018/19 for the Council, with comparators, are shown in the attached Appendix A. Background to these is provided in the following paragraphs.

2.3 Impact of the Council's Capital Expenditure and Financing 2018/19 – capital expenditure is Council spend on long term assets. This may either be:

- Financed immediately through capital receipts, capital grants etc.; or
- Financed over the life of the asset by use of Prudential Borrowing

Part of the Council's Treasury activities is to address this borrowing need, either through borrowing from external bodies, or utilising temporary cash resources within the Council. The wider treasury activities also include managing the Council's cash flows, its previous borrowing activities and the investment of surplus funds. These activities are structured to manage risk foremost, and then optimise performance. The primary objective is security ahead of liquidity and then yield or return.

2.4 The Council's underlying need to borrow is called the Capital Financing Requirement (CFR). This figure is a gauge for the Council's debt position. It represents 2018/19 and prior years' net capital expenditure which has not yet been paid for by revenue or other resources. The CFR also includes other long term liabilities which have been brought on balance sheet, for example, PFI schemes and finance lease assets.

2.5 The Non-HRA element of the CFR (excluding PFI schemes and finance lease assets) is reduced each year by a statutory revenue charge (the Minimum Revenue Provision - MRP). The CFR can also be reduced by:

- the application of additional capital resources (such as unapplied capital receipts); or
- charging more than the statutory revenue charge (MRP) each year through a Voluntary Revenue Provision (VRP).

2.6 At the end of the financial year 2018/19, the closing CFR was £8.642m less than that approved in the revised indicator, as set out in the Mid-Year Report 2018/19. The reduction is due to slippage on a number of capital schemes, that in turn reduces the Council's need to borrow at this point in time.

2.7 Treasury Position at 31 March 2019 - whilst the Council's gauge of its underlying need to borrow is the CFR, the Strategic Director - Finance and Customer Services and the Treasury function can manage the Council's actual borrowing position by either:

- borrowing to the CFR (excluding the impact of PFI and similar contracts); or
- choosing to utilise some temporary internal cash flow funds instead of borrowing (under-borrowing); or
- borrowing for future increases in the CFR (borrowing in advance of need).

- 2.8 For 2018/19 provision was made for the estimated borrowing need for the year to partly reduce the Council's 31 March 2018 under-borrowed position. However, the Council has continued to take advantage of the current availability of short-term cash loans at very favourable rates and did not take out any new long-term loans in 2018/19.
- 2.9 There is minimal risk to the Council in following this strategy, as long-term loans can be taken out immediately at any point in time that the financial markets start to change when long-term loans are the more cost effective option.
- 2.10 Thus at 31 March 2019, the Council's borrowing (excluding PFI and similar schemes) and investments were as follows:

Table 1 Council's Treasury Position 2018/2019

Net Borrowing	As At 31 March 2018 £m	As At 31 March 2019 £m
External Borrowing		
Public Works Loans Board (PWLB)	204.007	196.702
Market (e.g. Banks, Other Local Authorities)	243.000	223.000
Temporary Borrowing	118.000	167.000
	565.007	586.702
External Investments		
Debt Management Office	0.000	0.000
Money Market Funds	0.000	27.530
	0.000	27.530
Net Borrowing	565.007	559.172
Net Borrowing - Excluding Temporary Borrowing	447.007	392.172
Capital Financing Requirement	693.932	685.241
Net Under-Borrowed	246.925	293.069

- 2.11 Against the Council's Capital Financing Requirement of £685.241m (excluding PFI and similar arrangements totalling £129.626m), the Council's outstanding net borrowing of £392.172m (excluding temporary loans) is lower than this requirement by approximately £293.069m due to the Council's approach of utilising temporary cash flow funds and short term borrowing rather than taking out any new long term borrowing.
- 2.12 Total savings in the Treasury Management budget for 2018/19, arising from all treasury activity including cash-flow management, were £2.621m.

2.13 PRUDENTIAL INDICATORS AND COMPLIANCE ISSUES

Some of the prudential indicators provide either an overview or specific limits on Treasury activity:

- 2.14 **Net Borrowing and the CFR** - in order to ensure that borrowing levels are prudent over the medium term, the Council's external borrowing net of investments must only be used for a capital purpose. Net borrowing should not therefore, except in the short term, have exceeded the CFR for 2018/19 plus the expected changes to the CFR for 2018/19 and 2019/20. The Council complied with this prudential indicator throughout 2018/19.
- 2.15 **The Authorised Limit** - the Authorised Limit is the "Affordable Borrowing Limit" required by Section 3 of the Local Government Act 2003. The Council does not have the power to borrow above this level. The Council maintained gross borrowing within its Authorised Limit, both excluding and including the impact of including PFI and similar arrangements on to the Council's Balance Sheet.
- 2.16 **The Operational Boundary** – The Operational Boundary is the expected borrowing position of the Council during the year. Periods where the actual position is either below or over the Boundary is acceptable subject to the Authorised Limit not being breached. The Council maintained its borrowing position around its Operational Boundary.
- 2.17 **Actual financing costs as a proportion of net revenue stream** - This indicator identifies the trend in the cost of capital (borrowing and the cost of other long term obligations but net of investment income) against the Council's Budget Requirement (net revenue stream) for the General Fund and budgeted income for the HRA.

Both indicators show a reduction in the actual financing costs as a proportion of net revenue stream. The General Fund ratio reduced from 5.56 (original budget) to 5.26 (actual outturn). This was as a result of a combination of the actual net revenue stream for the year being higher than originally forecast and the actual MRP charge being lower than the original budget. The HRA ratio reduced from 15.95 (original budget) to 15.92 (actual outturn). This was due to increased notional interest achieved on HRA cash balances during the year.

- 2.18 **Incremental impact of Capital Investment Decisions** – these two indicators are used to highlight the trend in cost arising from changes to the Council's capital investment plans:
- the impact on Council Tax Band D levels of changes to the General Fund capital programme, and
 - the impact on weekly rent levels arising from changes in the housing capital programme

The incremental impact of capital investment decisions on the Band D Council Tax has reduced significantly from the original budget of £13.26 to £7.39. This is due to the actual borrowing required in the year (to fund capital expenditure) being substantially lower than anticipated. More capital grants have been available and these have been applied in funding the capital programme, thus

replacing the need to borrow. Strategic capital financing decisions made at the financial year end also reduced borrowing by replacing planned prudential borrowing on short-life assets with use of capital receipts. The equivalent amount of prudential borrowing will then be utilised in later years on longer life assets. None of the HRA capital investment was financed by borrowing in 2018/19 and therefore there was no incremental impact of capital investment on HRA rent levels.

2.19 TREASURY MANAGEMENT INDICATORS

2.20 Limits on Activity

Upper limits on fixed and variable interest rates as at 31 March 2019 – these indicators identify the maximum limits for fixed interest rate gross debt and for variable interest rates based upon the debt position, net of investments. The Council remained within the limits set throughout 2018/19.

Maturity structure of fixed rate borrowing during 2018/19 – These gross limits are set to reduce the Council's exposure to large fixed rate sums falling due for refinancing, and are required for upper and lower limits. The Council remained within the limits set throughout 2018/19.

Maximum funds invested for more than 364 days – This limit is set to reduce the need for early sale of an investment and is based on the availability of funds after each year end.

2.21 Borrowing

New and Replacement Borrowing – No new long term loans were taken up during the year as the Council continued with its strategy of utilising the temporary borrowing market to manage the cash flow position.

During the year, temporary borrowing was taken up on 35 occasions with a mix of terms (ranging from 3 months to 11 months) to manage the Council's cash flow position. Of these short-term loans, 10 have been fully repaid in the year; with 25 remaining outstanding at the year end. Included within these are two rolling deals, where the Council or lender can provide 1 months' notice or 14 days' notice to either change the rate or end the deal.

Table 2 Short Term Borrowing as at 31st March 2019

Start Date	Principal	Type	Term	Interest Rate %
29/09/2017	£20,000,000	Temp	1 Month notice	0.80
17/08/2018	£5,000,000	Temp	9 Months	0.65
01/08/2018	£3,000,000	Temp	9 Months	0.65
01/08/2018	£4,000,000	Temp	9 Months	0.65
01/08/2018	£2,000,000	Temp	9 Months	0.65
01/08/2018	£1,000,000	Temp	9 Months	0.65
16/08/2018	£10,000,000	Temp	7 Months	0.65
22/08/2018	£10,000,000	Temp	14 Day Notice	0.80
23/10/2018	£10,000,000	Temp	6 Months	0.85
15/10/2018	£5,000,000	Temp	6 Months	0.90
15/10/2018	£5,000,000	Temp	6 Months	0.90
15/10/2018	£5,000,000	Temp	6 Months	0.90
23/10/2018	£5,000,000	Temp	6 Months	0.90
05/11/2018	£3,000,000	Temp	4 Months	0.82
20/11/2018	£3,000,000	Temp	5 Months	0.80
03/12/2018	£2,000,000	Temp	6 Months	0.95
03/12/2018	£10,000,000	Temp	5 Months	0.95
07/12/2018	£5,000,000	Temp	6 Months	0.95
04/12/2018	£3,000,000	Temp	5 Months	0.80
13/12/2018	£6,000,000	Temp	7 Months	0.95
17/12/2018	£5,000,000	Temp	4 Months	0.85
18/01/2019	£10,000,000	Temp	14 Day Notice	0.80
15/03/2019	£15,000,000	Temp	3 Months	1.00
21/03/2019	£10,000,000	Temp	8 Months	1.05
25/03/2019	£10,000,000	Temp	6 Months	1.00

Rescheduling – No rescheduling took place in 2018/19 due to the continuing unfavourable market conditions.

Debt Repayment – Three loans totalling £25m matured during the year as shown in the table below. Part repayments of principal (£2.299m) continued on the Annuity and Equal Instalment of Principal (EIP) loans taken up in prior years.

Table 3 Debt Repayments 2018/19

Lender	Principal £m	Type	Interest Rate	Weighted Average rate of interest
Sheffield City Region	10.000	Fixed rate	1.25%	
Wandsworth Council	10.000	Fixed rate	0.70%	
PWLB	5.000	Fixed rate	2.82%	
PWLB EIP	2.000	Fixed rate	3.46%	
PWLB EIP	0.130	Fixed rate	1.89%	
PWLB Annuity	0.169	Annual repayments	Various	
Total:	£27.299	1.50%		

The overall debt activity resulted in a decrease in the average interest rate on the Council's debt portfolio of 0.08%, from 4.08% to 4.00%. This has arisen as loans have matured (shown in the table above) and have been replaced with temporary borrowing.

2.22 Investments

The Council's investment policy is governed by MHCLG Guidance, which was implemented in the annual investment strategy approved by Council on 28th February 2018. The investment activity during the year conformed to the approved strategy.

The Council maintained an average balance of £23.4m and received an average return of 0.70%. When compared to the local measure of performance, the average return was higher than the average 7 day London Interbank Bid Rate for 2018/19 of 0.51%. This is due to the Council's established use of Money Market Funds to utilise for short-term deposits. These Funds are AAA rated securities which pay a better rate of interest than the Government Debt Management Office.

2.23 FORMER SOUTH YORKSHIRE COUNTY COUNCIL

The Council became responsible for the administration of the former SYCC debt from 1st April 1986 on behalf of the four South Yorkshire Councils. No new borrowing or rescheduling took place during 2018/19, whilst one loan of £0.811m matured during the year. Thus at 31 March 2019, external debt, all with the PWLB, totalled £36.189m. The average interest rate on the debt is 5.10%.

The Former South Yorkshire County Council had no investments at 31 March 2019, the same as at 31 March 2018.

The actual prudential indicators for the Former South Yorkshire County Council are shown in the attached Appendix B.

3. Options considered and recommended proposal

- 3.1 No options considered as the report outlines actual Treasury Management activity during 2018/19.

4. Consultation

- 4.1 None required

5. Timetable and Accountability for Implementing this Decision

- 5.1 None

6. Financial and Procurement Implications

- 6.1 Treasury Management forms an integral part of the Council's overall financial arrangements.

- 6.2 There are no direct procurement implications arising from the report.

7. Legal Implications

- 7.1 None, other than ensuring compliance with the Code of Practice for Treasury Management in the Public Services Local Government Act 2003 (as updated) and the Prudential Code (as updated).

8. Human Resources Implications

- 8.1 There are no Human Resource implications arising from the report.

9. Implications for Children and Young People and Vulnerable Adults

- 9.1 There are no implications arising from the proposals to Children and Young People and Vulnerable Adults.

10. Equalities and Human Rights Implications

- 10.1 There are no implications arising from this report to Equalities and Human Rights.

11. Implications for Partners and Other Directorates

- 11.1 There are no implications arising from this report for Partners and other Directorates.

12. Risks and Mitigation

- 12.1 Regular monitoring of treasury management activity throughout the financial year ensures that risks and uncertainties are addressed at an early stage and hence kept to a minimum.

13. Accountable Officer

Judith Badger – Strategic Director - Finance & Customer Services

Approvals obtained on behalf of:-

	Named Officer	Date
Chief Executive	Sharon Kemp	24/06/19
Strategic Director of Finance & Customer Services (S.151 Officer)	Judith Badger	20/06/19
Assistant Director of Legal Services (Monitoring Officer)	Bal Nahal	20/06/19
Assistant Director of Human Resources (if appropriate)	N/A	N/A
Head of Procurement (if appropriate)	N/A	N/A

Report Author: *Rob Mahon, Finance Manager – Financial Accounting*
 01709 254518 or rob.mahon@rotherham.gov.uk

This report is published on the Council's [website](#).

APPENDIX A

Summary Prudential Indicators: Rotherham MBC

		Actual £m	Revised Estimate £m	Original Estimate £m
1	Capital Expenditure (excluding PFI & Finance lease liabilities)	91.643	107.054	113.911
2	Capital Financing Requirement (CFR) including PFI & similar liabilities:			
	General Fund	509.841	519.433	505.879
	HRA	305.075	304.125	304.125
	Total	814.916	823.558	810.004
3	Net Borrowing compared to CFR excluding PFI & similar liabilities:			
	Total Borrowing	419.702	425.855	558.953
	Total Investments	27.530	8.180	20.000
	Net Borrowing	392.172	417.675	538.953
	CFR	685.241	693.932	680.378
	Under-borrowing	293.069	276.257	141.425
4	Net Borrowing compared to CFR including PFI & similar liabilities:			
	Borrowing (from above)	419.702	425.855	558.953
	Borrowing (PFI etc.)	129.675	129.626	129.626
	Total Borrowing	549.377	555.481	688.579
	Total Investments	27.530	8.180	20.000
	Net Borrowing	521.847	547.301	668.579
	CFR	814.916	823.558	810.004
	Under-borrowing	293.069	276.257	141.425
5	Authorised Limit for external debt			
	Assumed Borrowing	714.397	714.397	714.397
	PFI & similar liabilities	132.219	132.219	132.219
	Authorised Limit	846.615	846.615	846.615
	Total Borrowing	549.377	555.481	688.579
	Borrowing Below Limit	297.238	291.134	158.036
6	Operational boundary for external debt			
	Assumed Borrowing	558.953	558.953	558.953
	PFI & similar liabilities	129.626	129.626	129.626
	Operational Boundary	688.579	688.579	688.579
	Total Borrowing	549.377	555.481	688.579
	Borrowing Below/(Above) Boundary	139.202	133.098	0.000
7	Maximum Funds invested > 364 days	0.000	10.000	10.000

12	Maturity Structure of Fixed Rate Borrowing	Actual %	Revised Upper Limit %	Original Upper Limit %
	Under 12 Months	2.93	35	35
	12 months to 2 years	2.94	35	35
	2 years to 5 years	11.82	45	45
	5 years to 10 years	2.67	45	45
	10 years to 20 years	12.13	45	45
	20 years to 30 years	1.19	50	50
	30 years to 40 years	25.34	50	50
	40 years to 50 years	17.16	55	55
	50 years and above	23.83	60	60

13	Upper Limit on fixed interest rates based on fixed net debt	Actual %	Revised Upper Limit %	Original Upper Limit %
		78.32	100	100

14	Upper Limit on variable rates based on fixed net debt	Actual %	Revised Upper Limit %	Original Upper Limit %
		21.68	30	30

APPENDIX B

Summary Prudential Indicators: Former South Yorkshire County Council

		Actual	Revised Estimate	Original Estimate
		£m	£m	£m
1	Authorised Limit for external debt			
	Authorised Limit	37.000	37.000	37.000
	Total Borrowing	37.000	37.000	37.000
	Borrowing Below Limit	0.000	0.000	0.000
2	Operational boundary for external debt			
	Operational Boundary	37.000	37.000	37.000
	Total Borrowing	37.000	37.000	37.000
	Borrowing Below Boundary	0.000	0.000	0.000

3	Maturity Structure of Fixed Rate Borrowing	Actual	Revised Upper Limit	Original Upper Limit
		%	%	%
	Under 12 Months	45.594	25	25
	12 months to 2 years	54.406	50	50
	2 years to 5 years	0	100	100

4	Upper Limit on fixed interest rates based on fixed net debt	Actual	Revised Upper Limit	Original Upper Limit
		%	%	%
		100	100	100

5	Upper Limit on variable rates based on fixed net debt	Actual	Revised Upper Limit	Original Upper Limit
		%	%	%
		0	30	30

Initial Equality Screening Assessment (Part A)

As a public authority we need to ensure that all our strategies, policies, service and functions, both current and proposed have given proper consideration to equality and diversity.

A **screening** process can help judge relevance and provide a record of both the process and decision. Screening should be a short, sharp exercise that determines relevance for all new and revised strategies, policies, services and functions.

Completed at the earliest opportunity it will help to determine:

- the relevance of proposals and decisions to equality and diversity
- whether or not equality and diversity is being/has already been considered, and
- whether or not it is necessary to carry out an equality analysis.

Directorate: Finance and Customer Services	Service area: Finance
Lead person: Paul Stone	Contact number: 01709 822013

1. Title:

Is this a:

☐

Strategy / Policy

☒

Service / Function

☐

Other

If other, please specify

2. Please provide a brief description of what you are screening

Treasury management is the management of the Council's investments and cash flows which includes its banking, money markets and capital market transactions. It is the effective control of the risks associated with these activities and the overall performance which are consistent with these risks.

The treasury management activities are regulated by statutory requirements and guidance.

This Cabinet report is the final treasury report for 2018/19. Its purpose is to review the treasury activity for 2018/19 against the strategy agreed at the start of the year.

3. Relevance to equality and diversity

All the Council's strategies/policies, services/functions affect service users, employees or the wider community – borough wide or more local. These will also have a greater/lesser relevance to equality and diversity.

The following questions will help you to identify how relevant your proposals are.

When considering these questions think about age, carers, disability, gender reassignment, race, religion or belief, sex, sexual orientation and any other relevant characteristics (for example socio-economic status, social class, income, unemployment, residential location or family background and education or skills levels).

Questions	Yes	No
Could the proposal have implications regarding the accessibility of services to the whole or wider community?		x
Could the proposal affect service users?		x
Has there been or is there likely to be an impact on an individual or group with protected characteristics?		x
Have there been or likely to be any public concerns regarding the proposal?		x
Could the proposal affect how the Council's services, commissioning or procurement activities are organised, provided, located and by whom?		x
Could the proposal affect the Council's workforce or employment practices?		x

If you have answered **no** to all the questions above please complete **sections 5 and 6**.

If you have answered **yes** to any of the above please complete **section 4**.

4. Considering the impact on equality and diversity

If you have not already done so, the impact on equality and diversity should be considered within your proposals prior to carrying out an **Equality Analysis**.

Considering equality and diversity will help to eliminate unlawful discrimination, harassment and victimisation and take active steps to create a discrimination free society by meeting a group or individual's needs and encouraging participation.

Please provide specific details for all three areas below and use the prompts for guidance.

How have you considered equality and diversity? n/a	
Key findings n/a	
Actions n/a	
Date to scope and plan your Equality Analysis:	n/a
Date to complete your Equality Analysis:	n/a
Lead person for your Equality Analysis (Include name and job title):	n/a

5. Governance, ownership and approval

Please state here who has approved the actions and outcomes of the screening:

Name	Job title	Date
Graham Saxton	Assistant Director – Financial Services	4 June 2019

6. Publishing

This screening document will act as evidence that due regard to equality and diversity has been given.

If this screening relates to a **Cabinet, key delegated officer decision, Council, other committee or a significant operational decision** a copy of the completed document should be attached as an appendix and published alongside the relevant report.

A copy of **all** screenings should also be sent to equality@rotherham.gov.uk For record keeping purposes it will be kept on file and also published on the Council's Equality and Diversity Internet page.

Date screening completed	18 th June 2019
If relates to a Key Delegated Decision, Executive Board, Council or a Significant Operational Decision – report date and date sent for publication	
Date screening sent to Performance, Intelligence and Improvement equality@rotherham.gov.uk	

Committee Name and Date of Committee Meeting

Cabinet – 08 July 2019

Report Title

May Financial Monitoring Report 2019/20

Is this a Key Decision and has it been included on the Forward Plan?

Yes

Strategic Director Approving Submission of the Report

Judith Badger, Strategic Director of Finance and Customer Services

Report Author(s)

Paul Stone, Head of Corporate Finance
01709 822013 or paul.stone@rotherham.gov.uk

Ward(s) Affected

Borough-Wide

Report Summary

The report sets out the financial position as at the end of May 2019 and is based on actual costs and income for the first two months of 2019/20 and forecast for the remainder of the financial year. Financial performance is a key element within the assessment of the Council's overall performance framework and is essential to achievement of the objectives within the Council's Policy Agenda. To that end, this is the first in a series of monitoring reports for the new financial year which will continue to be brought forward to Cabinet on a regular basis. The next report will also include an update on the Capital Programme.

As at May 2019, the Council has a forecast year-end overspend of £4.5m on the General Fund.

Recommendations

That Cabinet:

1. That the current General Fund Revenue Budget forecast of £4.5m overspend be noted.
2. That it be noted that actions will continue to be taken to mitigate the forecast overspend.

List of Appendices Included

None.

Background Papers

Revenue Budget and Council Tax Setting Report 2019/20 to Council on 27th February 2019.

Consideration by any other Council Committee, Scrutiny or Advisory Panel

Overview and Scrutiny Management Board

Council Approval Required

No

Exempt from the Press and Public

No

May Financial Monitoring Report 2019/20

1. Background

- 1.1 As part of its performance and control framework the Council is required to produce regular and timely reports for the Strategic Leadership Team and Cabinet to keep them informed of financial performance so that, where necessary, actions can be agreed and implemented to bring expenditure in line with the approved budget for the financial year.
- 1.2 Delivery of the Council's Revenue Budget, Medium Term Financial Strategy (MTFS) and Capital Programme within the parameters agreed by Council is essential if the Council's objectives are to be achieved. Financial performance is a key element within the assessment of the Council's overall performance framework.
- 1.3 This report is the first in a series of financial monitoring reports to Cabinet for 2019/20, setting out the projected year-end revenue budget financial position in light of actual costs and income for the first two months of the financial year.

2. Key Issues

- 2.1 Table 1 below shows, by Directorate, the summary forecast revenue outturn position.

Table 1: Forecast Revenue Outturn 2019/20 as at May 2019

Directorate	Budget 2018/19 £m	Forecast Outturn 2019/20 £m	Forecast Variance over/under (-) £m
Children and Young People's Services	65.4	69.7	4.3
Adult Care, Housing & Public Health	74.7	76.4	1.7
Regeneration & Environment Services	42.9	42.9	0.0
Finance and Customer Services	15.9	15.9	0.0
Assistant Chief Executive	6.9	6.9	0.0
Central Services	15.3	13.8	-1.5
TOTAL	221.1	225.6	4.5
Dedicated Schools Grant			2.3
Housing Revenue Account (HRA)	82.0	81.9	-0.1

- 2.2 The following sections provide further information regarding the key reasons for forecast under or overspends within Directorates, and the progress of savings delivery.
- 2.3 **Children and Young People Services Directorate (£4.3m forecast overspend)**

- 2.3.1 Children and Young People Services are implementing a two-year budget recovery plan to reduce the budget pressures from previous years (£15.6m in 2018/19) and deliver budget savings.
- 2.3.2 The budget pressure at the end of May is £4.3m, and whilst the Looked After Children number of 650 is just below the budget profile for this period, the placement mix of having too many placements in residential care is leading to budget pressures.
- 2.3.3 The direct employees budgets stands at £39.2m and is a combination of core and grant funded services. The projected underspend at the end of May is £245k of which £44k is a general fund underspend, with underspends of £109k on DSG related services and £92k on traded service. There are eight agency workers in children's social care at the end of May, which is on target with the agency reduction plan.
- 2.3.4 The staffing budget reflects the work undertaken to date on delivery of the Early Help & Social Care Pathways savings proposal and other staff savings across the CYPS directorate. Further proposals are currently being developed to deliver the 2020/21 budget savings and contribute towards mitigating the current 2019/20 budget pressures.
- 2.3.5 A significant element of the CYPS non-pay budgets relates to placements which has a net budget of £30.4m with a current projected spend of £34.2m. The financial pressure of £3.8m is due to pressures on residential placements (£3.2m) and a reduction in the estimated income from the Clinical Commissioning Group (£600k). The placement forecast assumes that from June 2019 to March 2020, the placement budget assumptions (placement reductions and transitions to lower cost placement types) will be achieved for the rest of the financial year. There are several risks linked to achievement of this placement budget profile which are:
- Impact of delays in implementing the fostering strategy which is a key aspect of the financial recovery plan.
 - Estimated admissions and discharges from care being in line with expectations.
 - Costs of placements increasing above inflationary expectations.
- 2.3.6 The £3.2m residential pressures are due to being 11 placements above profile (£2.2m) and an increase in the average net cost of residential placements (£1m) which is due to the increase in complexity of children in residential care.
- 2.3.7 The other major budget pressures have also been incurred linked to the current number of Looked After Children this financial year. Transport has a forecast overspend of £530k whilst section 17 & 23 payments are projected to overspend by £65k. Detailed spend analysis is being undertaken to monitor section 17 and 23 payments with a task and finish group in place and undertaking work to support a reduction in spend.

2.4 Dedicated Schools Grant

- 2.4.1 The High Needs Block (HNB) is £34.3m (including the £2.8m transfer from the schools block) and remains under significant pressure due to rising numbers of children supported in specialist provision and the rising costs of Education Health Care (EHC) plans. Consequently, the central DSG reserve has changed over a three-year period from a £1m reserve deficit at the end of 2015/16 to £15.1m deficit at the end of the 2018/19 financial year (£5.1m overspend in 2018/19).
- 2.4.2 The forecast at the end of May 2019 shows an in-year pressure of £2.3m based on minimal anticipated growth and therefore if the pressures on EHCs continue to grow at current rates this will incur further financial pressures.
- 2.4.3 Both the Early Years and the Schools' block are expected to be broadly in line with allocations in 2019/20.
- 2.4.4 The key areas of focus to reduce the current High Needs Block pressures are:
- A revised Special School funding model;
 - A review of high cost, external education provision to reduce cost and move children back into Rotherham educational provision;
 - Develop additional SEN provision in Rotherham linked to mainstream schools and academies;
 - Work with schools and academies to maintain pupils in mainstream settings wherever possible;
 - A review of inclusion services provided by the Council

2.5 Regeneration and Environment Directorate (Forecast balanced budget)

- 2.5.1 The Regeneration and Environment Directorate is forecasting a balanced budget position.
- 2.5.2 The Regeneration and Environment Directorate has approved savings of £1.7m to deliver in 2019-20, however when taking into account savings brought forward from previous years, the total is £4.2m to deliver in 2019/20. This is a challenging position for the Directorate, and in order to meet this challenge, the Directorate will continue the tight financial discipline that enabled it to outturn with an underspend of £0.1m in 2018/19.
- 2.5.3 The first budget monitoring cycle has highlighted some uncertainties and pressures. At this point in the financial cycle it is anticipated that mitigating actions within the Department will ensure that the budget remains in balance overall.
- 2.5.4 A number of understood and previously reported pressures are included within the forecast. These are delays in the delivery of savings from previous years including Corporate Property (£0.7m) and Corporate Transport Unit (£0.3m), but it also includes demand led cost pressures in Corporate Transport (£0.8m), Development and Building Control (£0.1m) and in Markets (£0.3m). In addition, within RIDO, the timing in the commissioning of investment property will result in an in-year pressure of £0.3m (this is the

Beighton Link development). For 2019-20, the Rother Valley Country Park Caravan Park is now operational. Achieving the budgeted income carries some risk because the facility is a new operation in the market.

2.6 Adult Care and Housing (£1.7m forecast overspend)

- 2.6.1 The overall general fund Directorate forecast is an overspend of £1.7m for the first budget monitoring report of 2019-20. This assumes full delivery of savings identified by implementing the new target operating model, part year savings from re-assessments/right size care packages and some savings from the review of Learning Disability services.
- 2.6.2 Adult Care Services are forecast to overspend by £1.7m, largely as a result of demand for services. In 2018/19 there were c.640 people who required a new service and c.800 ended service. This reduction was less than expected with around 250 more people in receipt of services than anticipated. People are presenting with increasingly complex needs; and the average number of hours for a domiciliary care package is increasing.
- 2.6.3 The budget includes savings of £5.668m, of which £3.127m is estimated to be delivered giving a shortfall of £2.541m in 2019/20. A number of mitigating actions have been identified, including maximising the use of one-off resources, which are expected to bridge the gap this financial year.
- 2.6.4 Some of the forecast savings are lower than originally planned and will take longer to deliver. The reassessment savings have been re-profiled based on the reassessments delivered in 2018/19, and the forecast activity over the next three financial years (2019/20 to 2021/22). My Front Door has been re-profiled for the amended In-House Services timeline. Other savings are on track to be delivered as planned.
- 2.6.5** Neighbourhood Services' (Housing) latest forecast is an overall balanced position. There is a small anticipated overspend within Strategic Housing and Development staffing budget due to lower than anticipated staff turnover, however, this is offset by a forecast underspend within the Adaptations team due to a staff vacancy.

2.7 Public Health (Forecast balanced budget)

- 2.7.1 The Public Health ring fenced specific grant was reduced by a further £0.4m to £15.9m for 2019/20.
- 2.7.2 The latest forecast is a balanced position. This includes a budgeted transfer from the Public Health Grant reserve of £0.2m in order to set a balanced budget.
- 2.7.3 A budget savings agreed as part of the budget setting process totalling £0.056m in respect Sexual Health contract is forecast to be fully achieved in year.

2.8 Finance and Customer Services (Forecast balanced budget)

2.8.1 The Finance and Customer Services Directorate is forecasting a balanced outturn position. Savings are being accrued within the Revenues and Benefits service but these will, in the first instance, contribute to the delivery of the 2019/20 saving for the Customer and Digital Programme across FCS and ACX Directorates.

2.8.2 Within Customer Information and Digital Services, there are pressures on Schools Connect Trading to reflect the continued loss of schools/academies subscribing to services, which is resulting in a forecast loss of £0.084m. The service will mitigate the pressures through vacancy control.

2.8.3 Whilst Legal Services faces continued demand for legal support with child protection hearings and court case costs relating to Looked After Children, legal disbursements are currently forecasting a balanced position. The number of cases during the year remains volatile and will continue to be monitored closely.

2.9 Assistant Chief Executive (Forecast balanced budget)

2.9.1 The Assistant Chief Executive's Directorate are forecasting a balanced outturn position. Savings are being accrued within the HR service but these will in the first instance contribute to the delivery of the 2019/20 saving for the Customer and Digital Programme across FCS & ACX Directorates.

2.9.2 There are pressures on the software licences budget but these are offset by vacancy control. Further, there are income pressures due to loss of consultancy business from schools and academies, however the over-achievement of income from salary sacrifice schemes has delivered a budget benefit.

2.10 Central Services (£1.5. forecast underspend)

2.10.1 A forecast underspend of £1.5m is projected, largely as a result of further savings from treasury management activity.

2.11 Housing Revenue Account (HRA) (forecast £0.1m underspend)

2.11.1 The Housing Revenue Account is a statutory ring-fenced account that the Council has to maintain in respect of the income and expenditure incurred in relation to its council dwellings and associated assets. The overall HRA forecast is an underspend of £0.1m which will reduce the transfer from the HRA reserve from a budgeted £12.1m to £12.0m.

2.11.2 The forecast underspend relates to higher than anticipated staff turnover within Supervision and Management.

2.11.3 The HRA budget includes a revenue contribution to capital expenditure of £14.7m which is forecast to budget at this stage.

3. Options considered and recommended proposal

- 3.3 With regard to the current forecast overspend of £4.5m at this early stage of the new financial year, further management actions are being identified with the clear aim of bringing expenditure into line with budgets and the impact of these actions will be included in future financial monitoring reports to Cabinet.

4. Consultation on proposal

- 4.3 The Council consulted extensively on budget proposals for 2019/20. Details of the consultation are set out in the Budget and Council Tax 2019/20 report approved by Council on 27th February 2019.

5. Timetable and Accountability for Implementing this Decision

- 5.3 Strategic Directors, Managers and Budget Holders will ensure ongoing tight management and close scrutiny of spend this financial year.
- 5.4 Financial Monitoring reports are taken to Cabinet meetings during the year. The next Financial Monitoring Report with the position at the end of July 2019 will be considered by Cabinet in September 2019.

6. Financial and Procurement Advice and Implications

- 6.3 There is currently a projected overspend of £4.5m as set out in Section 2 of this report.
- 6.4 If budget and planned savings and spend reductions are not delivered as intended, there will be an impact on the Council's reserves, as any expenditure in excess of budget impacts reserves levels. Control over spending is therefore critical to both maintaining the robust Reserves Strategy and Medium Term Financial Strategy. All savings are being closely monitored and tracked, with all areas at risk of shortfall subject to identify alternative options.
- 6.5 Failure to achieve planned savings and to contain revenue expenditure within the agreed budget for this financial year will have further implications for financial years 2019/20 and 2020/21, in the context of the proposals set out in the Budget and Council Tax Report 2019/20.
- 6.6 There are no direct procurement implications arising from the report.

7. Legal Advice and Implications

- 7.1 No direct legal implications.

8 Human Resources Advice and Implications

- 8.1 No direct implications.

9 Implications for Children and Young People and Vulnerable Adults

- 9.1 The report includes reference to the cost pressures on both Children's and Adult Social care budget.

10 Equalities and Human Rights Advice and Implications

- 10.1 No direct implications.

11 Implications for Partners

- 11.1 No direct implications.

12 Risks and Mitigation

- 12.1 At a time of economic difficulty and tight financial constraints, managing spend in line with the Council's budget is paramount. Careful scrutiny of expenditure and income across all services and close budget monitoring therefore remain a top priority if the Council is to deliver both its annual and medium term financial plans while sustaining its overall financial resilience.
- 12.2 The Budget and Council Tax 2019/20 report approved by Council in February 2019, set out proposals for a balanced budget over the next two financial years. However, details of the Government's Spending Review and Fair Funding Reviews are still awaited. The outcomes will need to be reflected in a revised Medium Term Financial Strategy and where appropriate, a revised budget strategy will be developed.

13 Accountable Officer(s)

Graham Saxton, Assistant Director – Financial Services
Paul Stone, Head of Corporate Finance

Approvals obtained on behalf of:-

	Named Officer	Date
Chief Executive	Sharon Kemp	24/06/19
Strategic Director of Finance & Customer Services (S.151 Officer)	Judith Badger	21/06/19
Assistant Director of Legal Services (Monitoring Officer)	Bal Nahal	20/06/19
Assistant Director of Human Resources (if appropriate)	N/A	N/A
Head of Procurement (if appropriate)	N/A	N/A

Report Author: Paul Stone, Head of Corporate Finance
01709 822013 or paul.stone@rotherham.gov.uk

This report is published on the Council's [website](#).

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Initial Equality Screening Assessment (Part A)

As a public authority we need to ensure that all our strategies, policies, service and functions, both current and proposed have given proper consideration to equality and diversity.

A **screening** process can help judge relevance and provide a record of both the process and decision. Screening should be a short, sharp exercise that determines relevance for all new and revised strategies, policies, services and functions.

Completed at the earliest opportunity it will help to determine:

- the relevance of proposals and decisions to equality and diversity
- whether or not equality and diversity is being/has already been considered, and
- whether or not it is necessary to carry out an equality analysis.

Directorate: Finance and Customer Services	Service area: Finance
Lead person: Paul Stone	Contact number: 01709 822013

1. Title:

Is this a:

☐

Strategy / Policy

☒

Service / Function

☐

Other

If other, please specify

2. Please provide a brief description of what you are screening

The Council has a framework of budgetary monitoring and reporting that ensures budget management is exercised within annual cash limits.

Each month the Budget Manager is receives timely information on income and expenditure to enable them to fulfil their budgetary responsibilities. Following the review of the budget information, each budget manager provides a forecast of their projected outturn position on each service. The Strategic Director subsequently provides a consolidated forecast for their directorate to the Chief Finance Officer and relevant Cabinet Member.

A budget monitoring report, which includes an up-to-date outturn forecast, information about significant variances from approved budgets and proposals for dealing with them, is submitted to Cabinet at least 6 times a year.

Whilst the framework described above relates to revenue budgets, the capital programme is also similarly monitored and reported alongside the Council's revenue position.

The financial monitoring position report summarises the key variances for each directorate and considers the key financial pressures and risks.

Given that the revenue and capital budgets have been approved by Council in February 2019, when equality assessments would have been considered at that time in respect of the budget proposals, there are no further issues in respect of equality and diversity.

3. Relevance to equality and diversity

All the Council's strategies/policies, services/functions affect service users, employees or the wider community – borough wide or more local. These will also have a greater/lesser relevance to equality and diversity.

The following questions will help you to identify how relevant your proposals are.

When considering these questions think about age, carers, disability, gender reassignment, race, religion or belief, sex, sexual orientation and any other relevant characteristics (for example socio-economic status, social class, income, unemployment, residential location or family background and education or skills levels).

Questions	Yes	No
Could the proposal have implications regarding the accessibility of services to the whole or wider community?		x
Could the proposal affect service users?		x
Has there been or is there likely to be an impact on an individual or group with protected characteristics?		x
Have there been or likely to be any public concerns regarding the proposal?		x
Could the proposal affect how the Council's services, commissioning or procurement activities are organised, provided, located and by whom?		x
Could the proposal affect the Council's workforce or employment practices?		x

If you have answered **no** to all the questions above please complete **sections 5 and 6**.

If you have answered **yes** to any of the above please complete **section 4**.

4. Considering the impact on equality and diversity

If you have not already done so, the impact on equality and diversity should be considered within your proposals prior to carrying out an **Equality Analysis**.

Considering equality and diversity will help to eliminate unlawful discrimination, harassment and victimisation and take active steps to create a discrimination free society by meeting a group or individual's needs and encouraging participation.

Please provide specific details for all three areas below and use the prompts for guidance.

- **How have you considered equality and diversity?**

n/a

- **Key findings**

n/a

- **Actions**

n/a

Date to scope and plan your Equality Analysis:	n/a
Date to complete your Equality Analysis:	n/a
Lead person for your Equality Analysis (Include name and job title):	n/a

5. Governance, ownership and approval

Please state here who has approved the actions and outcomes of the screening:

Name	Job title	Date
Graham Saxton	Assistant Director – Financial Services	14 June 2019

6. Publishing

This screening document will act as evidence that due regard to equality and diversity has been given.

If this screening relates to a **Cabinet, key delegated officer decision, Council, other committee or a significant operational decision** a copy of the completed document should be attached as an appendix and published alongside the relevant report.

A copy of **all** screenings should also be sent to equality@rotherham.gov.uk For record keeping purposes it will be kept on file and also published on the Council's Equality and Diversity Internet page.

Date screening completed	28 th May 2019
If relates to a Key Delegated Decision, Executive Board, Council or a Significant Operational Decision – report date and date sent for publication	
Date screening sent to Performance, Intelligence and Improvement equality@rotherham.gov.uk	

Committee Name and Date of Committee Meeting

Cabinet – 08 July 2019

Report Title

New Application for Business Rates Discretionary Relief for Rain Rescue

Is this a Key Decision and has it been included on the Forward Plan?

No, but it has been included on the Forward Plan

Strategic Director Approving Submission of the Report

Judith Badger, Strategic Director of Finance and Customer Services

Report Author(s)

Diane Woolley – Team Leader, Local Taxation
01709 255158 or diane.woolley@rotherham.gov.uk

Ward(s) Affected

Borough-Wide

Report Summary

To consider the application for the award of a business rate discretionary relief for the organisation listed in Section 1. This is in accordance with the Council's Discretionary Business Rates Relief Policy (approved by Cabinet on 12th December 2016).

Recommendations

1. That 20% top up discretionary relief be awarded to Rain Rescue for the animal welfare centre at Summerfield Lodge, Moat Lane, Wickersley, Rotherham S66 1DZ for the period 1st April 2018 to 31st March 2020.
2. That 20% top up discretionary relief be refused to Rain Rescue for the shop at 8 Woodhouse Green, Thurcroft, Rotherham S66 9AQ for the period 6th August 2018 to 31st March 2020.

List of Appendices Included

Appendix Initial Equality Screening Document

Background Papers

Discretionary Rate Relief Policy - Approved by Cabinet on 12th December 2016

Consideration by any other Council Committee, Scrutiny or Advisory Panel

No

Council Approval Required

No

Exempt from the Press and Public

No

New Application for Business Rates Discretionary Relief for Rain Rescue

1. Background

1.1 Section 47 of the Local Government Finance Act (LGFA) 1988 conveys power on local authorities to allow discretionary relief that would be additional to the mandatory relief. This is given when the property is used wholly or mainly for charitable purposes by a charity or other non-profit body whose main objects are charitable or benevolent, or concerned with education, social welfare, science, literature or the arts.

1.2 The Council can grant discretionary relief to:-

- Registered Charitable Organisations, including Community Amateur Sports Clubs. The relief granted is up to 20% of the rate liability as these organisations are eligible for 80% mandatory rate relief.
- Other organisations or institutions that are not established or conducted for profit and whose aims are charitable or otherwise, philanthropic, religious, concerned with education, social welfare, science, literature or fine arts. Relief can be granted up to 100% of the business rates liability.
- Properties occupied by not for profit sports or social clubs, societies or other organisations for the purposes of recreation. Relief can be granted up to 100% of the business rates liability.
- Rate relief to ratepayers – Section 47 of the LGFA 1988 was amended by Section 69 of the Localism Act 2011. This amendment gives the Council the discretion to grant relief to any other body, organisation or ratepayer, having due regard to its Council Tax payers.

1.2.1 The Council has operated a system of awarding relief through the application of a policy that was approved by Cabinet on 12th December 2016.

1.2.2 Central Government and councils share every £1 of rates due as follows:

Central Government	50%
South Yorkshire Fire and Rescue Authority	1%
Rotherham MBC	49%

1.3 **Application : Rain Rescue, Summerfield Lodge, Moat Lane, Wickersley Road, Rotherham S66 1DZ and 8 Woodhouse Green, Thurcroft, Rotherham S66 9AQ**

1.3.1 The charity provides assistance to the people of Rotherham and South Yorkshire with their pets when in crisis situations. They also rescue animals in need with a view to re-homing them.

The animal welfare centre cares for around 400 dogs and cats each year.

The shop sells donated goods to raise funds to assist with the costs of running the animal welfare centre.

The organisation aims to support people in crisis situations and pays for neutering of cats for those who cannot afford it.

- 1.3.2 Rain Rescue's application for the award of discretionary relief on the animal welfare centre is considered to be in line with the Council's qualifying criteria as set out in its policy.

The organisation makes no charge for its services and offers volunteering and training opportunities to the people of Rotherham.

Rain Rescue's application for the award of discretionary relief on the shop is not considered to be in line with the Council's qualifying criteria as set out in its policy as the shop will be operating in competition with other similar shops within the Rotherham area which do not benefit from additional relief. The Council does not provide discretionary relief to any charity shops.

The shop will be operating in competition with other similar shops within the Rotherham area which do not benefit from additional relief.

- 1.3.3 The organisation is applying for discretionary relief with regard to their 2018/19 and 2019/20 rates liability. The financial implication of awarding the relief is set out in section 6 of this report.

2. Key Issues

- 2.1 To consider the application requesting the award of discretionary relief to the organisation listed in Section 1.

3. Options considered and recommended proposal

- 3.1 Given the discretionary nature of the relief requested, the Council has the discretion to either award or not award a discretionary relief.
- 3.2 In helping Members make such a decision, the Council has put in place a specific Policy framework to consider individual applications. In accordance with that Policy, applications (including supporting documentation) for relief have been considered in line with the qualifying criteria and other considerations set out in that Policy.
- 3.3 In line with the Council's Business Rates Discretionary Relief Policy, having regard to the financial cost of the proposed relief, the charitable use of the premises and the contribution that this business makes to the local community, it is recommended that an award for discretionary relief be granted to the organisation listed in Section 1 in respect of the animal welfare centre and refused in respect of the shop.

4. Consultation on proposal

- 4.1 The recommendations in the report are based on the application of an existing policy. There has been no specific consultation carried out in relation to any individual organisations referred to within this report.

5. Timetable and Accountability for Implementing this Decision

- 5.1 The applicant will be advised by letter of the outcome of their application for relief within 10 working days of the Cabinet decision.

6. Financial and Procurement Advice and Implications

- 6.1 The applicant has provided financial information in support of their application.
- 6.2 The total cost of granting the relief for the financial years 2018/19 and 2019/20 is set out below in paragraph 6.3 alongside the specific cost to the Council. Costs of relief are shown separately for the Animal Rescue Centre, which is recommended to be approved, and for the Charity Shop, which is recommended to be refused.

6.3 Year	Total Amount of Relief	Cost to RMBC
Animal Rescue Centre		
2018/19	£336.20	£164.74
2019/20	£381.44	£186.91
Charity Shop		
2018/19	£189.66	£92.93
2019/20	£198.24	£97.14

7. Legal Advice and Implications

- 7.1 The statutory framework for discretionary relief is set out in the body of the report.

8. Human Resources Advice and Implications

- 8.1 No direct implications from this report.

9. Implications for Children and Young People and Vulnerable Adults

- 9.1 No direct implications from this report.

10. Equalities and Human Rights Advice and Implications

- 10.1 No direct implications from this report.

11. Implications for Partners

11.1 No direct implications from this report.

12. Risks and Mitigation

12.1 The Government has issued guidance notes to advise authorities what criteria should be used in considering applications for Discretionary Rate Relief. Authorities have been strongly advised to treat each individual case on its own merits and to not adopt a policy or rule which allows them to not consider each case without proper consideration. In cognisance of these guidance notes, the Council has formally adopted a Policy framework for considering individual discretionary business rates relief applications with the decision to award reserved for Cabinet.

13. Accountable Officer(s)

Graham Saxton, Assistant Director, Financial Services.

Approvals obtained on behalf of:-

	Named Officer	Date
Chief Executive	Sharon Kemp	24/06/19
Strategic Director of Finance & Customer Services (S.151 Officer)	Judith Badger	19/06/19
Head of Legal Services (Monitoring Officer)	Bal Nahal	18/06/19
Assistant Director of Human Resources (if appropriate)	N/A	N/A
Head of Human Resources (if appropriate)	N/A	N/A

Report Author: Diane Woolley – Team Leader, Local Taxation
01709 255158 or diane.woolley@rotherham.gov.uk

This report is published on the Council's [website](#).

PART A - Initial Equality Screening Assessment

As a public authority we need to ensure that all our strategies, policies, service and functions, both current and proposed have given proper consideration to equality and diversity.

A **screening** process can help judge relevance and provide a record of both the process and decision. Screening should be a short, sharp exercise that determines relevance for all new and revised strategies, policies, services and functions.

Completed at the earliest opportunity it will help to determine:

- the relevance of proposals and decisions to equality and diversity
- whether or not equality and diversity is being/has already been considered, and
- whether or not it is necessary to carry out an Equality Analysis (Part B).

Further information is available in the Equality Screening and Analysis Guidance – see page 9.

1. Title

Title: New Application for Business Rates Discretionary Relief for Rain Rescue

Directorate: Finance and Customer Services

Service area: Financial Services

Lead person: Graham Saxton

Contact number: 01709 822034

Is this a:

☐

Strategy / Policy

☐

Service / Function

☒

Other

If other, please specify

Cabinet Report to consider an application for the award of a Business Rates Discretionary Relief

2. Please provide a brief description of what you are screening

The report presents an application for the award of a Business Rates Discretionary Relief which has been considered in line with the policy agreed by Cabinet on 12 December 2016.

--

3. Relevance to equality and diversity

All the Council's strategies/policies, services/functions affect service users, employees or the wider community – borough wide or more local. These will also have a greater/lesser relevance to equality and diversity.

The following questions will help you to identify how relevant your proposals are.

When considering these questions think about age, disability, sex, gender reassignment, race, religion or belief, sexual orientation, civil partnerships and marriage, pregnancy and maternity and other socio-economic groups e.g. parents, single parents and guardians, carers, looked after children, unemployed and people on low incomes, ex-offenders, victims of domestic violence, homeless people etc.

Questions	Yes	No
Could the proposal have implications regarding the accessibility of services to the whole or wider community? <i>(Be mindful that this is not just about numbers. A potential to affect a small number of people in a significant way is as important)</i>		✓
Could the proposal affect service users? <i>(Be mindful that this is not just about numbers. A potential to affect a small number of people in a significant way is as important)</i>		✓
Has there been or is there likely to be an impact on an individual or group with protected characteristics? <i>(Consider potential discrimination, harassment or victimisation of individuals with protected characteristics)</i>		✓
Have there been or likely to be any public concerns regarding the proposal? <i>(It is important that the Council is transparent and consultation is carried out with members of the public to help mitigate future challenge)</i>		✓
Could the proposal affect how the Council's services, commissioning or procurement activities are organised, provided, located and by whom? <i>(If the answer is yes you may wish to seek advice from commissioning or procurement)</i>		✓
Could the proposal affect the Council's workforce or employment practices? <i>(If the answer is yes you may wish to seek advice from your HR business partner)</i>		✓
If you have answered no to all the questions above, please explain the reason		

If you have answered **no** to all the questions above please complete **sections 5 and 6**.

If you have answered **yes** to any of the above please complete **section 4**.

4. Considering the impact on equality and diversity

If you have not already done so, the impact on equality and diversity should be considered within your proposals before decisions are made.

Considering equality and diversity will help to eliminate unlawful discrimination, harassment and victimisation and take active steps to create a discrimination free society by meeting a group or individual's needs and encouraging participation.

Please provide specific details for all three areas below using the prompts for guidance and complete an Equality Analysis (Part B).

- **How have you considered equality and diversity?**

(think about the scope of the proposal, who is likely to be affected, equality related information, gaps in information and plans to address, consultation and engagement activities (taken place or planned) with those likely to be affected)

- **Key findings**

(think about any potential positive and negative impact on different equality characteristics, potential to promote strong and positive relationships between groups, potential to bring groups/communities into increased contact with each other, perception that the proposal could benefit one group at the expense of another)

- **Actions**

(think about how you will promote positive impact and remove/reduce negative impact)

Date to scope and plan your Equality Analysis:	
Date to complete your Equality Analysis:	
Lead person for your Equality Analysis (Include name and job title):	

5. Governance, ownership and approval

Please state here who has approved the actions and outcomes of the screening:

Name	Job title	Date
Graham Saxton	Assistant Director	18/6/19

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6. Publishing

This screening document will act as evidence that due regard to equality and diversity has been given.

If this screening relates to a **Cabinet, key delegated officer decision, Council, other committee or a significant operational decision** a copy of the completed document should be attached as an appendix and published alongside the relevant report.

A copy of **all** screenings should also be sent to equality@rotherham.gov.uk For record keeping purposes it will be kept on file and also published on the Council's Equality and Diversity Internet page. – email to be established

Date screening completed	28/5/19
Report title and date	
If relates to a Cabinet, key delegated officer decision, Council, other committee or a significant operational decision – report date and date sent for publication	
Date screening sent to Performance, Intelligence and Improvement equality@rotherham.gov.uk – email to be established	

Committee Name and Date of Committee Meeting

Cabinet – 08 July 2019

Report Title

Response to Recommendations from Scrutiny review – Modern Methods of Construction

Is this a Key Decision and has it been included on the Forward Plan?

No, but it has been included on the Forward Plan

Strategic Director Approving Submission of the Report

Anne Marie Lubanski, Strategic Director of Adult Care, Housing and Public Health

Report Author(s)

Jane Davies, Head of Strategic Housing and Development
01709 334970 or jane.davies@rotherham.gov.uk

Ward(s) Affected

Boroughwide.

Report Summary

The Improving Places Select Commission conducted a review of modern methods of construction (MMC), and reported to Overview and Scrutiny Management Board (OSMB) on 12th December 2018.

The Housing Service is undertaking a pilot to deliver homes built using MMC and has participated in the IPSC review.

Under the Overview and Scrutiny procedure rules, the Cabinet is required to respond to any recommendations made by Scrutiny and this report is submitted to meet that requirement, and to set out the next steps in terms of implementation.

Recommendations

1. That the officer response to the recommendations of the Scrutiny Review of Modern Methods of Construction as set out in Appendix A be approved.
2. That a further recommendation be added to receive a formal 'lessons learned' report post completion of the current MMC pilot.

List of Appendices Included

Appendix A: Response to individual Scrutiny recommendations

Background Papers

Cabinet report 9th July 2018: Modern methods of construction pilot to build affordable homes

Improving Places Select Commission's report to Overview and Scrutiny Management Board, 12th December 2018

Cabinet report 4th February 2019: Delivery of 12 bungalows using modern methods of construction

Consideration by any other Council Committee, Scrutiny or Advisory Panel

Overview and Scrutiny Management Board – 12 December 2018

Council Approval Required

No

Exempt from the Press and Public

No

Response to Recommendations from Scrutiny review - Modern Methods of Construction

1. Background

1.1 The Improving Places Select Commission conducted a review of MMC, and reported to Overview and Scrutiny Management Board on 12th December 2018. The Housing service is undertaking a pilot to deliver homes built using MMC and has participated in the IPSC review.

1.2 The main objectives of the review were to;

- Establish baseline data around house need to help determine suitable locations
- Identify suitable land opportunities
- Identify suitable suppliers of modular housing and costs
- Explore heat sources and solar options
- Visit Sheffield University, Manchester University, and similar schemes, to fact find
- Identify sponsorship or other available grant funding
- Identify success criteria used to measure success and consider expanding the scheme

1.3 The Council's Strategic Housing and Development Service is exploring a range of ways to accelerate the delivery of new housing in the borough, including the use of modern methods of construction, and a response to each of the five recommendations is set out in Appendix A.

2. Key Issues

2.1 The Council has ambitious housing growth targets and a range of different delivery methods and approaches will be required to achieve the required scale of housing growth in the borough. MMC could contribute for the following reasons:

- Speed of construction.
- MMC homes typically achieve high levels of quality and energy efficiency (industry expert's state that due to the superior airtightness achieved by precision engineering and factory conditions the homes cost 20% less to heat than conventional properties, which will help to reduce fuel poverty).
- Potential for reduced labour and construction costs depending on the construction method and number of units.
- Reduced waste generation (the precise nature of manufacturing means less waste during the process).
- Health and safety – risks associated with working at heights are reduced.

- Consistency in construction – due to the precision manufacturing, use of high performance materials and inspection at every stage of the build process to ensure that the highest standards are maintained, the risk of imperfections is significantly reduced.
- Minimal impact and disruption on construction site and the surrounding area – typically 80% of the construction work is off-site, which keeps disruptive noise/activity/dust/ movements and deliveries to site to a minimum.
- Opportunities for small and medium specialist companies to work in partnership with the Council, which will help to diversify the local construction industry.

2.2 However, the following points must also be taken into consideration:

- MMC is not necessarily cheaper than traditional construction and it is often only the case that significant savings can be made with the economies of scale offered by a high volume programme.
- By definition, there is limited evidence regarding the long term condition and appearance of homes built through modern construction methods.
- Customer experience of living in MMC properties and customer demand needs evidencing.
- Separate provisions will need to be identified within the Council's repairs and maintenance contractual arrangements.
- As the manufacturing is largely carried out offsite and there are currently no factories in Rotherham, the opportunities for local employment will be lower than for traditional construction.

2.3 The current pilot project will be evaluated as to its effectiveness in addressing the issues set out above and due consideration to further usage and roll out.

3. Options considered and recommended proposal

3.1 The recommendations and corresponding actions are designed to ensure that homes delivered via MMC are closely monitored in terms of effectiveness, value for money and quality, with a view to applying lessons learnt to any future proposals of housing delivery using MMC.

4. Consultation on proposal

4.1 Consultation in respect of the MMC pilot has taken place with local Ward Members.

4.2 The Council's intention to explore the delivery of homes using MMC is set out in the new Housing Strategy, which was approved by Cabinet on 18th March 2019.

5. Timetable and Accountability for Implementing this Decision

- 5.1 The Assistant Director of Housing has overall accountability for delivering the outcomes set out in this report, and progress is reported to the Housing and Regeneration Programme Delivery Board.
- 5.2 The timetable for implementing the recommended actions is set out in the attached schedule (Appendix A).

6. Financial and Procurement Advice and Implications

- 6.1 These are fully addressed in Cabinet reports for specific schemes.
- 6.2 Finance officers will be involved in the analysis and comparison of maintenance costs against traditionally built properties.
- 6.3 There are no additional anticipated costs or incomes to the Housing Revenue Account or General Fund relating to this report. All activity to address the OSMB recommendations will be undertaken utilising existing staff resources.

7. Legal Advice and Implications

- 7.1 These are fully addressed in Cabinet reports for specific schemes.

8. Human Resources Advice and Implications

- 8.1 There are no Human Resources implications arising from this report.

9. Implications for Children and Young People and Vulnerable Adults

- 9.1 The MMC bungalows pilot will deliver accessible homes that will enable older people and people with support needs to continue to live independently.

10. Equalities and Human Rights Advice and Implications

- 10.1 Implementation of OSMB's recommendations, and delivery of the Council's MMC pilot, will help to meet the housing needs of older people and people with support needs. The higher energy efficiency ratings anticipated for new MMC properties will help to alleviate fuel poverty.
- 10.2 New MMC Council Homes will be allocated in accordance with the Council's Housing Allocation Policy.

11. Implications for Partners

- 11.1 Delivery of the OSMB's recommendations will allow the Council to engage in new partnership working and could extend opportunity to SME companies.

12. Risks and Mitigation

- 12.1 These are fully addressed in Cabinet reports for specific schemes.

13. Accountable Officer(s)

	Named Officer	Date
Chief Executive	Sharon Kemp	24/06/19
Strategic Director of Finance & Customer Services (S.151 Officer)	Judith Badger	19/06/19
Assistant Director of Legal Services (Monitoring Officer)	Bal Nahal	19/06/19

Report Author: Jane Davies, Head of Strategic Housing and Development

01709 334970 or jane.davies@rotherham.gov.uk

This report is published on the Council's [website](#).

Response to individual Scrutiny recommendations (Modern Methods of Construction)

Recommendation	Officer Recommendation (Accepted/ Rejected/ Deferred)	Rationale for Officer Recommendation (detailing proposed action if accepted, rationale for rejection, and why and when issue will be reconsidered if deferred)	Officer Responsible	Action by (Date)
1. That consideration of any learning points identified as part of the Council's MMC pilot schemes be used to inform any future MMC housing projects.	Accepted	Cabinet approved a pilot project to deliver 12 bungalows using MMC, on 4th February 2019. The Strategic Housing and Development Service is committed to ensuring learning points are captured in a comprehensive report following completion of the units, and the lessons learned will be used to decide whether to deliver further MMC projects. The Council has also committed to share learning from this pilot with other housing providers in the Sheffield City Region (SCR), as part of the conditions of the grant contribution from the SCR Housing Fund The post-completion 'lessons learned' report will focus on the following key areas; • Benchmarking MMC against traditional build in terms of build cost, speed of construction, quality, energy efficiency and ongoing maintenance costs • Evaluation of the most appropriate construction methods and quality assurance scheme(s) for different types of sites • Customer experience of living in the property and customer demand for the product	Jane Davies, Head of Strategic Housing and Development Service	Quarterly monitoring from Dec 19 Lessons learned report 2020

Recommendation	Officer Recommendation (Accepted/ Rejected/ Deferred)	Rationale for Officer Recommendation (detailing proposed action if accepted, rationale for rejection, and why and when issue will be reconsidered if deferred)	Officer Responsible	Action by (Date)
2. That the Housing Service develops a pilot project of up to ten pods at various locations throughout the borough.	Deferred	Further work is required to understand how pods could support housing needs, the most appropriate locations and how this investment would fit within the overall Housing Strategy and investment plan before commencing a pilot. The Council are currently considering options around a pilot of single persons accommodation. If this is viable it is likely that a further Cabinet decision would be required in order to progress development.	Jane Davies, Head of Strategic Housing and Development Service	N/A
3. A pilot project of five MMC homes for families to be developed.	Deferred	If the current MMC pilot is successful, based on the criteria set out in recommendation 1 rationale, consideration will be given to whether MMC can play a role in other housing development projects. The Council are currently considering options around a pilot of single persons accommodation. If this is viable it is likely that a further Cabinet decision would be required in order to progress development.	Jane Davies, Head of Strategic Housing and Development Service	2021/22
4. Develop the option of various energy packages in the MMC properties e.g. solar power and high energy efficiency measures for residents of the borough whether in social or private rented accommodation.	Rejected	For the MMC bungalows pilot, the specification to be adhered to ensures high levels of insulation and airtightness through a 'fabric first' approach. As energy efficiency is embedded in the design, separate energy efficiency options were not specified. However, a review of the energy costs will be undertaken by liaising with the tenants of the MMC	Jane Davies, Head of Strategic Housing and Development Service	Ongoing

Recommendation	Officer Recommendation <i>(Accepted/ Rejected/ Deferred)</i>	Rationale for Officer Recommendation <i>(detailing proposed action if accepted, rationale for rejection, and why and when issue will be reconsidered if deferred)</i>	Officer Responsible	Action by (Date)
		bungalows from the point of sign up, and comparing with traditionally built properties. These findings will be included in the lessons learned report. The Strategic Housing and Development Service and Contracts, Investment and Compliance Service will continue to identify opportunities to implement energy efficiency measures in existing stock.		
5. That each individual MMC property is monitored to demonstrate capital cost and repairs/maintenance costs over a set period of time to allow practical comparison with traditional built homes.	Accepted	This will be analysed on an ongoing basis.	Jane Davies, Head of Strategic Housing and Development Service	From Dec 2019

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PART A - Initial Equality Screening Assessment

As a public authority we need to ensure that all our strategies, policies, service and functions, both current and proposed have given proper consideration to equality and diversity.

A **screening** process can help judge relevance and provide a record of both the process and decision. Screening should be a short, sharp exercise that determines relevance for all new and revised strategies, policies, services and functions.

Completed at the earliest opportunity it will help to determine:

- the relevance of proposals and decisions to equality and diversity
- whether or not equality and diversity is being/has already been considered, and
- whether or not it is necessary to carry out an Equality Analysis (Part B).

Further information is available in the Equality Screening and Analysis Guidance – see page 9.

1. Title

Title:

Response to Recommendations from Scrutiny review - Modern Methods of Construction

Directorate:

Adult Care, Housing and Public Health

Service area:

Housing Service

Lead person:

Jane Davies, Head of Strategic Housing and Development

Contact number:

(01709 334970)

Is this a:

☐

Strategy/Policy

☐

Service/Function

☒

Other

If other, please specify

Officer response to the Scrutiny Review of Modern Methods of Construction.

2. Please provide a brief description of what you are screening

The Improving Places Select Commission conducted a review of MMC, and reported to Overview and Scrutiny Management Board on 12 December 2018.

The Council's Strategic Housing and Development Service is exploring a range of ways to accelerate the delivery of new housing in the borough, including the use of

modern methods of construction.

3. Relevance to equality and diversity

All the Council's strategies/policies, services/functions affect service users, employees or the wider community – borough wide or more local. These will also have a greater/lesser relevance to equality and diversity.

The following questions will help you to identify how relevant your proposals are.

When considering these questions think about age, disability, sex, gender reassignment, race, religion or belief, sexual orientation, civil partnerships and marriage, pregnancy and maternity and other socio-economic groups e.g. parents, single parents and guardians, carers, looked after children, unemployed and people on low incomes, ex-offenders, victims of domestic violence, homeless people etc.

Questions	Yes	No
Could the proposal have implications regarding the accessibility of services to the whole or wider community? <i>(Be mindful that this is not just about numbers. A potential to affect a small number of people in a significant way is as important)</i>		✓
Could the proposal affect service users? <i>(Be mindful that this is not just about numbers. A potential to affect a small number of people in a significant way is as important)</i>		✓
Has there been or is there likely to be an impact on an individual or group with protected characteristics? <i>(Consider potential discrimination, harassment or victimisation of individuals with protected characteristics)</i>		✓
Have there been or likely to be any public concerns regarding the proposal? <i>(It is important that the Council is transparent and consultation is carried out with members of the public to help mitigate future challenge)</i>		✓
Could the proposal affect how the Council's services, commissioning or procurement activities are organised, provided, located and by whom? <i>(If the answer is yes you may wish to seek advice from commissioning or procurement)</i>		✓
Could the proposal affect the Council's workforce or employment practices? <i>(If the answer is yes you may wish to seek advice from your HR business partner)</i>		✓

If you have answered no to all the questions above, please explain the reason

Modern Methods of Construction (MMC) is a different means of property construction. As with traditionally built homes, the end product will be additional units; size, property type and tenure of those units will, regardless of construction method, be driven by an assessment of housing need. Therefore, it is understood that the use of MMC will not have a detrimental impact on an individual or group with protected characteristics.

If you have answered **no** to all the questions above please complete **sections 5 and 6**.

If you have answered **yes** to any of the above please complete **section 4**.

4. Considering the impact on equality and diversity

If you have not already done so, the impact on equality and diversity should be considered within your proposals before decisions are made.

Considering equality and diversity will help to eliminate unlawful discrimination, harassment and victimisation and take active steps to create a discrimination free society by meeting a group or individual's needs and encouraging participation.

Please provide specific details for all three areas below using the prompts for guidance and complete an Equality Analysis (Part B).

- **How have you considered equality and diversity?**

(think about the scope of the proposal, who is likely to be affected, equality related information, gaps in information and plans to address, consultation and engagement activities (taken place or planned) with those likely to be affected)

- **Key findings**

(think about any potential positive and negative impact on different equality characteristics, potential to promote strong and positive relationships between groups, potential to bring groups/communities into increased contact with each other, perception that the proposal could benefit one group at the expense of another)

- **Actions**

(think about how you will promote positive impact and remove/reduce negative impact)

Date to scope and plan your Equality Analysis:	
Date to complete your Equality Analysis:	
Lead person for your Equality Analysis (Include name and job title):	

5. Governance, ownership and approval

Please state here who has approved the actions and outcomes of the screening:

Name	Job title	Date
Anne Marie Lubanski	Strategic Director of Adult Care, Housing and Public Health	21.06.19
Jane Davies	Head of Strategic Housing and Development	20.06.19

6. Publishing

This screening document will act as evidence that due regard to equality and diversity has been given.

If this screening relates to a **Cabinet, key delegated officer decision, Council, other committee or a significant operational decision** a copy of the completed document should be attached as an appendix and published alongside the relevant report.

A copy of **all** screenings should also be sent to equality@rotherham.gov.uk For record keeping purposes it will be kept on file and also published on the Council's Equality and Diversity Internet page.

Date screening completed	21.06.19
Report title and date	Response to Recommendations from Scrutiny review - Modern Methods of Construction
If relates to a Cabinet, key delegated officer decision, Council, other committee or a significant operational decision – report date and date sent for publication	Cabinet report 9th July 2018: Modern methods of construction pilot to build affordable homes Improving Places Select Commission's report to Overview and Scrutiny Management Board, 12th December 2018 Cabinet report 4th February 2019: Delivery of 12 bungalows using modern methods of construction
Date screening sent to Performance, Intelligence and Improvement equality@rotherham.gov.uk	21.06.19

Committee Name and Date of Committee Meeting

Cabinet – 08 July 2019

Report Title

Acquisition of land at Fenton Road

Is this a Key Decision and has it been included on the Forward Plan?

Yes

Strategic Director Approving Submission of the Report

Paul Woodcock, Strategic Director of Regeneration and Environment

Report Author(s)

Lucy Mitchell, Major Projects Officer
01709254736 or lucy.mitchell@rotherham.gov.uk

Ward(s) Affected

Rotherham West

Report Summary

The purpose of this report is to seek Cabinet approval to acquire 1.29 hectares of land at Fenton Road from the Watson Estate. The Council already owns part of this Fenton Road site and the acquisition of the remainder will give the Council full control of this site and enable it to be marketed for housing development. The whole site can accommodate approximately 90 new homes which will make a positive contribution to the Council's Housing Delivery Target. The sale of the site to a housebuilder will also secure a Capital Receipt for the Council. The land sale will be the subject of a further report to Cabinet.

Recommendations

1. That approval be given to the acquisition of land at Fenton Road as detailed in Appendix 3, subject to the land being acquired within the allocated budget.
2. That the Assistant Director of Planning, Regeneration and Transport negotiates and agrees the terms and conditions of the proposed acquisition, in consultation with the Assistant Director of Financial Services and the Assistant Director of Legal Services.
3. That the Assistant Director of Legal Services be authorised to negotiate and complete the necessary legal agreements required for the acquisition of land at Fenton Road.

List of Appendices Included

Appendix 1: Equality Analysis Initial Screening

Appendix 2 EXEMPT: Financial implications

Appendix 3: Land at Fenton Road

Background Papers

None

Consideration by any other Council Committee, Scrutiny or Advisory Panel

Council Approval Required

No

Exempt from the Press and Public

Yes If yes, use text below.

*An exemption is sought for **Appendix 2** under paragraph 3 (Information relating to the financial or business affairs of any particular person (including the authority holding that information)) of Part I of Schedule 12A of the Local Government Act 1972 is requested, as this report contains sensitive commercial information with regards to commercial agreements which could disadvantage the Council in any negotiations if the information were to be made public*

It is considered that the public interest in maintaining the exemption would outweigh the public interest in disclosing the information, as the parties' commercial interests could be prejudiced by disclosure of commercial information.

Acquisition of land at Fenton Road

1. Background

- 1.1 An opportunity has arisen to acquire land off Fenton Road from the Watson Estate. The Council already own part of the site and this acquisition will complete the whole Fenton Road site, bringing 2.67Ha of land entirely into Council ownership. This will enable the Council to sell on the whole site to secure delivery of approximately 90 new houses that will make a positive contribution to the Borough's housing delivery target. The sale of this land will be the subject of a further report to Cabinet.

2. Key Issues

- 2.1 The Council owns approximately 1.38Ha of land adjacent to Fenton Road. Part of the site, approximately 1.29Ha is in the ownership of a third party, the Watson Estate. The site is also detailed in Appendix 3. The whole site can accommodate approximately 90 new houses and has previously benefitted from a planning consent on this basis.
- 2.2 The Fenton Road site has been the subject of joint marketing activity by both parties (Rotherham Council and the Watson Estate) for a number of years. There has been some interest in the site, none of which has translated into offers acceptable to the landowners, and the planning consent for the site has now lapsed. However, the Asset Management Service has reported some renewed interest in the site from the private sector, subject to a Phase 2 intrusive ground survey being made available, which has recently been completed.
- 2.3 Colleagues in Asset Management advise that the Phase 2 intrusive ground survey indicates that the ground conditions identified in the report will not result in any unreasonable additional build costs which would adversely affect the value of the site. Given the renewed interest in the site from the private sector, it is timely to re-market the site and secure its development.
- 2.4 However, given the prominent location of the site on Fenton Road, it is advantageous for the Council to have complete control of the site to ensure that a quality residential development can be achieved.
- 2.5 Negotiations with the agent of the Watson Estate have indicated a willingness to sell. An independent external red book valuation of the land will be undertaken to inform any financial offer the Council make to the Watson Estate for the land in question.

3. Options considered and recommended proposal

- 3.1 A number of options to progress the delivery of this site have been considered and are set out in the following table:

	Option	Pros	Cons	
1.	RMBC acquire Watson Estate land interest	Secures quality delivery of 90 new market homes which contributes to Housing Delivery Target Establishes new housing market in this location. Generates Council capital receipt	RMBC incur costs to acquire Watson land	Recommended
2.	Watson Estate acquire RMBC land interest	Generates early Council capital receipt Negates need for Council capital to acquire land from Watson Estate	Site not in Council control at all. May not be brought forward for housing.	Not recommended
3	RMBC and Watson Estate continue to joint market the site	Negates need for Council capital to acquire land from Watson Estate	Site not in full Council control and could undermine ability to secure quality development in prominent location.	Not recommended
4	RMBC sell their existing land interest for development without the remainder of the site (Watson interest)	Negates need for Council capital to acquire land from Watson Estate	Inefficient land use Will result in piecemeal development Watson Estate land not accessible without ransom from Council owned land.	Not recommended

3.2 The Council has significant drivers to accelerate the delivery of new homes on the Council owned land at Fenton Road. This will make a meaningful contribution to the Borough's Local Plan housing target, help towards meeting the Housing Delivery Test, generate New Homes Bonus and Council Tax receipts.

3.3 The delivery of houses on this land will make a contribution to the delivery of the five year land supply in the Borough. The delivery at Fenton Road will demonstrate the Council's ability and commitment to bringing land forward to deliver new homes for the Borough.

3.4 Pursuing this option as the recommended proposal enables a number of opportunities to be achieved in the short term:

- Establishes a new housing market in this location and generates developer interest in Rotherham;
- Secures early delivery of 90 new homes and 25% affordable housing provision for local people;
- Opportunity to generate a capital receipt for the Council.

4. Consultation on proposal

4.1 Further consultation with Ward Members and local people will be undertaken as development proposals for Fenton Road are progressed and local people will have the opportunity to comment formally on the proposals through the planning process.

5. Timetable and Accountability for Implementing this Decision

5.1 Indicative milestones and timescales associated are set out below:

Milestone	Start	Conclude
Cabinet approval to acquire Watson Estate interest in Fenton Road site	July 2019	July 2019
Completion of land acquisition	July 2019	September 2019
Marketing of site via informal tender	October 2019	December 2019
Receipt and consideration of offers	December 2019	January 2020
Preferred housing developer selected	January 2020	January 2020
Land sale to preferred housing developer completed	February 2020	March 2020

6. Financial and Procurement Advice and Implications

6.1 The Council's agreed capital programme includes the provision of Council funding to acquire land at Fenton Road. The estimated value of the land and related financial implications are identified in the exempt Appendix 2.

6.2 The proposal is to acquire this land from the Watson Estate and market the whole site, having the benefit of the full ownership and control over the type of residential property development to be delivered. The site will be marketed via informal tender with the benefit of the findings of the Phase 2 intrusive ground survey which will be supplied to all interested parties. As the ground survey report carries collateral warranty then offerees will be able to rely on this information and firm up the value of any offers received. The marketing and sale of the site to a private sector housebuilder will secure the delivery of approximately 90 new homes.

- 6.3 Acquisition of the Fenton Road site coupled with the land already owned by the Council will enable the delivery of 90 new homes which will contribute to the Borough's housing growth target, deliver additional council tax revenue and generate a capital receipt.
- 6.4 The valuation report will be funded by the Estates Team in advance of the acquisition in order to establish the market value of the land currently in the ownership of the Watson Estate.
- 6.5 There are no procurement implications in this report.

7. Legal Advice and Implications

- 7.1 As presently structured, the Council's acquisition from the Watson Estate is not expressed to be conditional upon any onward sale to a housebuilder. Accordingly, in the event that it does not prove possible to sell the whole site on, the Council will retain the whole site having incurred the costs of acquisition. Delivery of housing will then be considered by an alternative route.

8. Human Resources Advice and Implications

- 8.1 There are no direct HR implications arising from this report.

9. Implications for Children and Young People and Vulnerable Adults

- 9.1 There are no implications for Children and young people and vulnerable adults arising directly from the land acquisition. However, the previous planning consent for this site did require the developer to make a financial contribution to education provision in the area. This issue will be considered as part of any new planning application for the site.

10. Equalities and Human Rights Advice and Implications

- 10.1 Any new development taking place on this site will comply with all planning and building regulations with regard to meeting disabled access requirements. The addition of approximately 90 new dwellings and including affordable housing provision will make a positive contribution to people's chances of securing housing to meet their individual/family needs.

11. Implications for Partners

- 11.1 This land acquisition and the subsequent development offers the potential to secure 90 new homes in this sustainable and accessible location close to the town centre. The development will offer much needed housing in the Borough and make a positive contribution to the Council's Housing Delivery Target.
- 11.2 The implications of the development itself will be considered as part of the planning application process.

12. Risks and Mitigation

- 12.1 Some of the key risks are set out in the following table:

	Risk	Mitigation
1	Failure to acquire land from Watson Estate	Ongoing dialogue and joint working with the Estate's agent has established a positive relationship. However, failure to agree land value remains a risk.
2	Failure to secure market interest in developing the site	Maintain dialogue with parties already expressing interest in the site.
3	Failure to secure quality development from the market	Council don't proceed with sale to private sector and procure delivery partner.
4	Failure to recover full cost of land acquisition upon sale to the private sector	Independent red book valuation will inform value to be paid to Watson Estate for land acquisition. Acquisition not completed if value required by Watson Estate higher than recommended market value.

13. Accountable Officer(s)

Paul Woodcock, Strategic Director of Regeneration and Environment

Approvals obtained on behalf of:-

	Named Officer	Date
Chief Executive	Sharon Kemp	21/06/19
Strategic Director of Finance & Customer Services (S.151 Officer)	Judith Badger	19/06/19
Head of Legal Services (Monitoring Officer)	Bal Nahal	18/06/19
Assistant Director of Human Resources (if appropriate)	John Crutchley	21/05/19
Head of Procurement (if appropriate)	Joanne Kirk	20/05/19

Report Author: Lucy Mitchell, Major Projects Officer
01709254736 or lucy.mitchell@rotherham.gov.uk

This report is published on the Council's [website](#).

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Initial Equality Screening Assessment

As a public authority we need to ensure that all our strategies, policies, service and functions, both current and proposed have given proper consideration to equality and diversity.

A **screening** process can help judge relevance and provide a record of both the process and decision. Screening should be a short, sharp exercise that determines relevance for all new and revised strategies, policies, services and functions.

Completed at the earliest opportunity it will help to determine:

- the relevance of proposals and decisions to equality and diversity.
- whether or not equality and diversity is being/has already been considered, and
- whether or not it is necessary to carry out an equality analysis.

Directorate: RES	Service area: RiDO
Lead person: Lucy Mitchell	Contact number: 54736

1. Title: Acquisition of land at Fenton Road

Is this a:

☐

Strategy / Policy

☐

Service / Function

☒

Other

If other, please specify

Land acquisition

2. Please provide a brief description of what you are screening

The Council is seeking to acquire land from a private landowner (the Watson Estate). The land in question makes up approximately 40% of a site allocated for future residential development. The Council already owns the remaining 60% of the site. The acquisition will enable the Council to have full control of the site and secure its future development for approximately 90 new homes.

3. Relevance to equality and diversity

Questions	Yes	No
Does the activity have implications regarding the accessibility of services to the whole community?		X

Is there an impact for an individual or group with protected characteristics? (Discrimination, harassment or victimisation of individuals with protected characteristics)		X
Have there been or likely to be any public concerns about the policy or proposal?		X
Could the proposal affect how the Council's services, commissioning or procurement activities are organised, provided, located and by whom?		X
Could the proposal affect the Council's workforce or employment practices?		X

If you have answered **no** to all the questions above please complete **sections 5 and 6**.

If you have answered **yes** to any of the above please complete **section 4**.

4. Considering the impact on equality and diversity

If you have not already done so, the impact on equality and diversity should be considered within your proposals prior to carrying out an **Equality Analysis**.

Considering equalities and diversity will help to eliminate unlawful discrimination, harassment and victimisation and take active steps to create a discrimination free society by meeting a group or individual's needs and encouraging participation.

Please provide specific details for all three areas below and use the prompts for guidance.

- **How have you considered equality and diversity?**

(**think about** the scope of the proposal, who is likely to be affected, equality related information, gaps in information and plans to address, consultation and engagement activities (taken place or planned) with those likely to be affected)

- **Key findings**

(**think about** any potential positive and negative impact on different equality characteristics, potential to promote strong and positive relationships between groups, potential to bring groups/communities into increased contact with each other, perception that the proposal could benefit one group at the expense of another)

• Actions (think about how you will promote positive impact and remove/reduce negative impact)	
Date to scope and plan your Equality Analysis:	
Date to complete your Equality Analysis:	
Lead person for your Equality Analysis (Include name and job title):	

5. Governance, ownership and approval

Please state here who has approved the actions and outcomes of the screening:

Name	Job title	Date
Lucy Mitchell	Major Project Officer	20/05/2019

6. Publishing

This screening document will act as evidence that due regard to equality and diversity has been given. If an Equality Analysis is not required the screening document will need to be published.

If this screening relates to a **Key Delegated Decision**, **Executive Board**, **full Council** or a **Significant Operational Decision** a copy should be emailed to Corporate Governance (to include contact) and will be published along with the relevant report.

A copy of **all** screenings should also be sent to Zaidah.ahmed@rotherham.gov.uk For record keeping purposes it will be kept on file (but not published).

Date screening completed	20.05.2019
If relates to a Key Decision - date sent to Cabinet	
Date screening sent to Equalities Officer Zaidah.ahmed@rotherham.gov.uk	

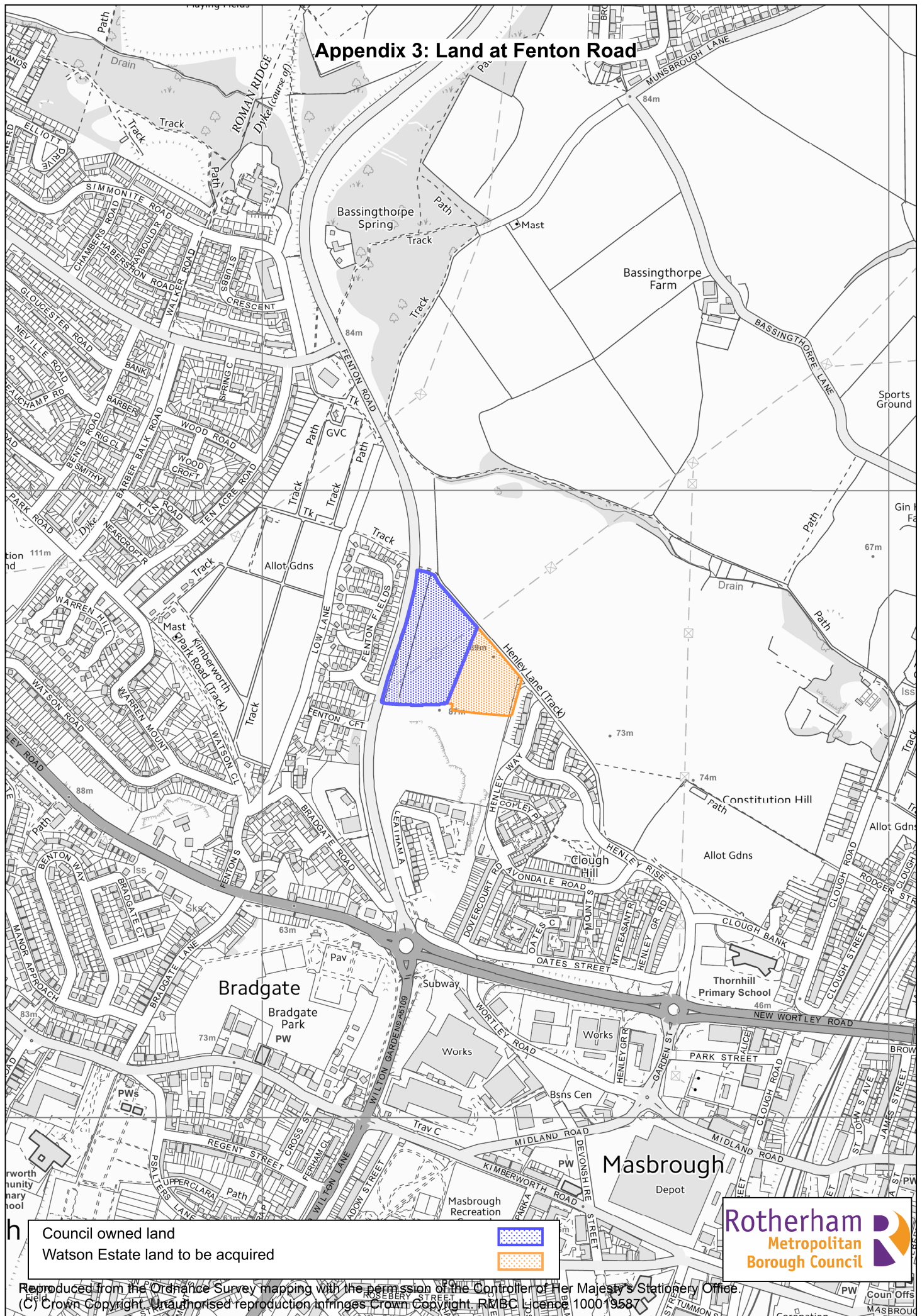
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By virtue of paragraph(s) 3 of Part 1 of Schedule 12A
of the Local Government Act 1972.

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Appendix 3: Land at Fenton Road



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Committee Name and Date of Committee Meeting

Cabinet Cabinet – 08 July 2019

Report Title

Local Plan Core Strategy: Five Year Review

Is this a Key Decision and has it been included on the Forward Plan?

Yes

Strategic Director Approving Submission of the Report

Paul Woodcock, Strategic Director of Regeneration and Environment

Report Author(s)

Ryan Shepherd, Senior Planning Officer
01709 823888 or ryan.shepherd@rotherham.gov.uk

Ward(s) Affected

Borough-Wide

Report Summary

In line with legislative requirements, a desk based review of the Local Plan Core Strategy has been undertaken to assess whether some or all of it may need updating. The review indicates that, although the Core Strategy continues to be broadly up to date and complies with requirements set out in national planning policy, a number of areas require an update. Approval is sought to commence a partial update of the Core Strategy to update policies relating to housing, flood risk and water management, climate change and carbon reduction, and the presumption in favour of sustainable development, and to update infrastructure requirements to support new growth.

Recommendations

1. That the findings of the Local Plan Core Strategy Five Year Review be noted.
2. That approval be given to the commencement of a partial update of the Local Plan Core Strategy (adopted 10 September 2014).
3. That a further report be brought to Cabinet to consider a revised Local Development Scheme setting out the timescale for, and broad scope of, the partial update of the Core Strategy.

List of Appendices Included

Appendix 1 Core Strategy Five Year Review
Appendix 2 Equality Analysis

Background Papers

Rotherham Local Plan Core Strategy (adopted September 2014):

http://rotherham.limehouse.co.uk/portal/planning/cs/adopted_cs/adopted_cs

National Planning Policy Framework:

<https://www.gov.uk/guidance/national-planning-policy-framework>

Planning Practice Guidance:

<https://www.gov.uk/government/collections/planning-practice-guidance>

Consideration by any other Council Committee, Scrutiny or Advisory Panel

Overview and Scrutiny Management Board – 3 July 2019

Council Approval Required

No

Exempt from the Press and Public

No

Local Plan Core Strategy: Five Year Review

1. Background

- 1.1 The Council's Local Plan provides a long-term development strategy, setting out policies and proposals for new development. It consists of several documents, including the Core Strategy 2013 – 2028, and is used to make planning decisions and decide planning applications.
- 1.2 The Core Strategy (adopted 10 September 2014) sets out the spatial strategy for the whole Borough and identifies the broad locations for delivering new housing, employment and other development. It sets out how much new development is needed, where it should go and when it should happen. It also sets out the strategic policies and the required new infrastructure to make this happen. The detailed policies and sites for new development are set out in the separate Local Plan Sites and Policies document (adopted 11 June 2018).
- 1.3 Local Planning Authorities are required by legislation to review Local Plan documents at least every five years from the date of adoption, and to decide either that their policies do not need updating, or that one or more policies do need updating.
- 1.4 In line with Government guidance a desk based review of the Core Strategy has been undertaken which has considered:
 - Changes to national planning policy, including the introduction of a standard methodology for calculating local housing requirements.
 - A review of housing need and delivery.
 - An assessment of planning appeals performance.
 - The results of Local Plan monitoring.
 - Duty to co-operate findings.
 - Other changes in circumstances.
- 1.5 Appendix 1 provides a summary of the Core Strategy Five Year Review.

2. Key Issues

- 2.1 The review confirms that the Core Strategy continues to be broadly up to date and complies with the requirements set out in national planning policy, although there are several areas where an update to policies is considered appropriate.
- 2.2 Housing and employment policies
An update to housing policies is required to take account of Central Government changes to national planning policy which introduced a housing delivery test, a standardised method for calculating local housing need, and changes relating to neighbourhood plans.
- 2.3 There is also a need to consider the latest local evidence regarding affordable housing delivery, an updated Strategic Housing Market Assessment, and Gypsy and Traveller housing requirements. Consideration should also be given to opting into the Government's housing standards (which include minimum internal space requirements) to ensure that new homes provide appropriate space for residents.

- 2.4 In conjunction with this it will be important to consider the employment policies to ensure that future housing and economic growth requirements remain aligned.

2.5 Flood Risk and water management

An update to flood risk and water management policies is required to improve consistency of policies with national planning practice guidance, and to reflect the most recent evidence regarding surface water flooding and flood defences (including allowances in design for climate change).

2.6 Climate change and carbon reduction

It is appropriate to consider whether relevant policies (in particular those related to low carbon, renewable energy and minerals) could be updated to move towards a net zero climate change or net zero carbon approach, recognising the continuing challenges of climate change, the importance of reducing carbon emissions and reducing reliance on fossil fuels.

2.7 Presumption in favour of sustainable development

To comply with national planning policy the Core Strategy promotes sustainable development. The policy sets out how the Council will seek to meet the needs of the borough, grant planning permission for proposals wherever possible and specifies how proposals will be considered where there are no policies relevant to an application or relevant policies are out of date. It will be important to update this policy to reflect changes to national policy regarding this 'presumption in favour of sustainable development'.

2.8 Infrastructure

The Core Strategy is supported by the 2012 Infrastructure Delivery Study which sets out the infrastructure requirements to support growth derived from the Local Plan. These requirements were partially updated as part of implementing the Community Infrastructure Levy (CIL) in Rotherham. Further work to update infrastructure requirements will be required to support an update to the Core Strategy and inform spending decisions for CIL income.

3. Options considered and recommended proposal

3.1 Option 1: Full review and update of Core Strategy

The five year review does not indicate that a full update of the Core Strategy is required. A full update would have significant time, resource and cost implications.

3.2 Option 2: Partial update of Core Strategy

The findings of the five year review indicate that a partial update of the Core Strategy focused only on those limited areas requiring amendment would be appropriate. This targeted approach will ensure that any update is undertaken in a timely and efficient manner.

3.3 Option 3: Do not progress with an update of the Core Strategy

Where Local Plan policies are out of date this would harm the Council's ability to deliver on its strategic objectives. It would mean the Council may be susceptible to decisions being challenged by planning appeals, and reduce the ability to provide certainty for residents, developers and investors.

3.4 Option 2 is recommended, with options 1 and 3 not considered appropriate. The five year review does not indicate a need for a full update of the Core Strategy; however a partial update addressing the key areas of concern would be appropriate and could be undertaken in a timely and efficient manner, minimising the resource and cost implications. Failure to update the Core Strategy could leave the Council at risk as set out above.

3.5 The full extent of costs can only be established following agreement of the scope of the Core Strategy update. However, key resource implications are identified in the table below. Consultation and evidence base costs would be met from the approved Planning Policy revenue budget. In addition, there is an approved 'statutory' costs budget. . More detail on the funding of the resource requirements is provided in Section 6 below.

Resource	Implication
Officer time	Primarily within the Planning Service, but also including other service areas depending upon the scope of the update.
Evidence base documents	Any Core Strategy update must be evidence-based and there will be a requirement to undertake or commission a number of technical supporting documents.
Consultation	The Council will be required to undertake public consultation as part of updating the Core Strategy. As well as web-based consultation this could include a range of other methods.
Consultation and document production software	The Council utilises web-based document production and consultation software tailored to the requirements of preparing planning documents, including maintaining and managing a database of consultees. Continuity of use of this software will be essential during the Core Strategy update programme.
Examination costs	Prior to adopting the updated Core Strategy the Council will be required to submit it for independent examination by a Government-appointed Planning Inspector. The Council will be responsible for meeting the costs of this examination, including the appointment of a Programme Officer to act as liaison between the Inspector examining the plan and other parties (including the Council).

- 3.6 As only a partial update of the Core Strategy is proposed, costs will be lower than compared to a full review of the Core Strategy; however they will still be significant. Every effort will be made to minimise costs wherever possible.
- 3.7 For clarity, the recommendations in this report do not commit the Council to a specific scope or timescale for the Core Strategy update. Should Cabinet approve the recommendations then a further report seeking approval of a revised Local Development Scheme will be brought back to Cabinet in December 2019 setting out a timetable for updating the Core Strategy, together with the resource requirements and financial implications arising from this. Further Cabinet approval would also be required prior to undertaking public consultation on any Core Strategy update, although this would not be until Autumn 2020 at the earliest.

4. Consultation on proposal

- 4.1 The desk based review of the Core Strategy has been undertaken in consultation with relevant services, including Transportation, Housing, Ecology, RiDO, and Drainage.
- 4.2 There is no requirement to undertake wider consultation at this stage; however any update of the Core Strategy would be subject to public consultation in line with relevant legislation and the Council's Statement of Community Involvement.

5 Timetable and Accountability for Implementing this Decision

- 5.1 There are a number of statutory stages in producing an updated Core Strategy. These will need to be set out in an update to the Council's Local Development Scheme, which will be subject of a future report to Cabinet. In summary the update process will involve the following stages:

Stage	Purpose
Establish scope of update and timescale	To inform an update of the Local Development scheme (to be approved by Cabinet).
Evidence gathering	Forms the basis of information for the update.
Development of options	Preparation of the draft updated Core Strategy, including public consultation (subject to approval by Cabinet).
Core Strategy Partial Update	Published for consultation (subject to approval by Cabinet and Council).
Submission Core Strategy	Updated Core Strategy is submitted to the Secretary of State (subject to approval by Cabinet and Council).
Independent Examination	Held by a Planning Inspector into any objections.

Inspectors Report	Setting out any recommended changes that the Council must make if it wishes to adopt the updated Core Strategy.
Adoption of updated Core Strategy	Adoption following Council resolution. The updated Core Strategy would form part of Rotherham's Local Plan.

6 Financial and Procurement Advice and Implications

- 6.1 The full extent of costs can only be established following agreement of the scope of the Core Strategy update. Consultation and evidence base costs would be met from a combination of the approved Planning Policy revenue budget and the statutory costs revenue budget. The current estimate for the cost of the Government appointed Planning Inspector is £30,000 - £40,000. In addition, Programme Officer costs are currently estimated to be £10,000 to £15,000. These will not be incurred until 2020/21 at the earliest, and depending upon the agreed timetable may fall in 2021/22. If the costs are spread over two financial years, this will be more manageable from a revenue budget perspective. More detail on the budgetary implications, including any shortfall, if identified and how they will be managed will be provided in the further report. Funding options can include exploring internal and external funding and grant options.

7 Legal Advice and Implications

- 7.1 Under [regulation 10A of The Town and Country Planning \(Local Planning\) \(England\) Regulations 2012 \(as amended\)](#) local planning authorities must review local plans at least once every five years from their adoption date to ensure that policies remain relevant and effectively address the needs of the local community. The desk based review, which has been undertaken, is in accordance with these Regulations.
- 7.2 Should amendments to the Local Plan Core Strategy be required following this review, the statutory process required to be followed is set out within the body of the report.

8 Human Resources Advice and Implications

- 8.1 There are no Human Resources implications associated with the recommendations of this report.

9 Implications for Children and Young People and Vulnerable Adults

- 9.1 An updated Core Strategy will assist in delivering the Local Plan's objectives and strategy, include promoting and delivering sustainable patterns of development and sustainable communities, meeting the housing and economic needs of Rotherham's communities and enhancing the quality of the built and natural environment.

10. Equalities and Human Rights Advice and Implications

- 9.1 There are no direct equalities or human rights implications arising from the recommendations of this report; however these will be taken into account in both in the updating of policies, and in undertaking any public consultation.

10 Implications for Partners

- 10.1 The implications for partners or other directorates are mainly associated with the preparation of any required evidence base documents and updates to Core Strategy policies and to ensure partners and directorates are fully engaged in the process.

11 Risks and Mitigation

- 11.1 Where relevant Local Plan policies are out of date the national presumption in favour of sustainable development will apply, and the Council's ability to deliver on its strategic objectives would be harmed. It would mean the Council may be susceptible to decisions being challenged by planning appeals, and reduce the ability to provide certainty for residents, developers and investors.

12 Accountable Officer(s)

Bronwen Knight, Acting Assistant Director, Regeneration & Environment

Approvals obtained on behalf of:-

	Named Officer	Date
Chief Executive	Sharon Kemp	24/06/19
Strategic Director of Finance & Customer Services (S.151 Officer)	Judith Badger	19/06/19
Assistant Director of Legal Services (Monitoring Officer)	Bal Nahal	18/09/19
Assistant Director of Human Resources (if appropriate)	John Crutchley	24/04/19
Head of Procurement (if appropriate)	Joanne Kirk	25/04/19

Report Author: Ryan Shepherd, Senior Planning Officer
01709 823888 or ryan.shepherd@rotherham.gov.uk

This report is published on the Council's [website](#).

Rotherham Local Plan:
Core Strategy 2013 – 2028

Five Year Review

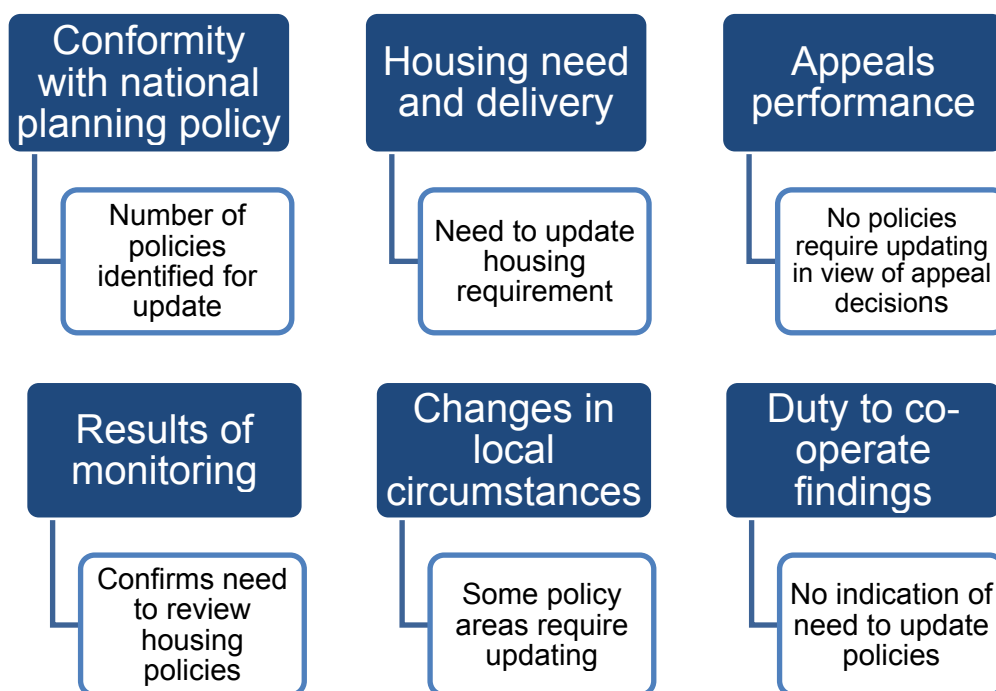
July 2019

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Background

1. Rotherham Council adopted its Local Plan Core Strategy 2013 – 2028 on 10 September 2014¹. Local Planning Authorities (LPAs) are required by Government to review their Local Plans and policies at least every 5 years from the date of adoption, and decide either:
 - that their policies do not need updating and publish their reasons for this decision; and/ or
 - that one or more policies do need updating, and update their Local Development Scheme to set out the timetable for this revision.
2. In line with Government guidance a review has been undertaken which has considered a number of factors. These, along with a high level indication of the outcomes, are set out below:



Conformity with national planning policy

3. In assessing the Core Strategy against national planning policy a two-fold approach has been taken: a consideration of the Core Strategy against the requirements of each chapter of National Planning Policy Framework (NPPF)² and other relevant national policy³, and an assessment of each Core Strategy policy against national policy. The review of policies has also taken into account any specific changes in local circumstances identified during assessment and identified where revisions may be helpful but which do not in themselves require an update. The tables in the appendix summarise this assessment.
4. As a result the following areas are identified as requiring updates:

¹ https://www.rotherham.gov.uk/info/200074/planning_and_regeneration/617/a_guide_to_the_local_plan/2

² <https://www.gov.uk/guidance/national-planning-policy-framework>

³ <https://www.gov.uk/government/collections/planning-practice-guidance>

Housing policies	• To reflect the introduction of the housing delivery test and standard method for calculating housing need. • To take account of the conclusions of the revised Strategic Housing Market Assessment. • To update the evidence regarding provision for Gypsy and travellers. • To take account of the latest evidence and ensure a robust affordable housing policy is in place. • To consider opting in to the Government's technical housing standards. • To provide a housing requirement for designated Neighbourhood Plan areas (with consideration also to be given to identifying figures for all parished areas).
Employment policies	• To update economic policies if necessary to ensure alignment of housing and employment land requirements.
Flood risk and water management	• To improve consistency of policies with national planning practice guidance, and reflect up to date evidence regarding climate change and surface water flooding.
Low Carbon & Renewable Energy Generation, and Minerals	• To consider how policies could be updated to move towards a net zero climate change / net zero carbon approach. This recognises the continuing challenges of climate change, the importance of reducing carbon emissions and reducing reliance on fossil fuels.
Presumption in favour of sustainable development	• To reflect revised national planning policy.
Infrastructure	• To update the Infrastructure Delivery Schedule, which supports delivery of growth, set out in the Core Strategy.

Housing need and delivery

Housing need

5. The Core Strategy makes provision to meet an objectively assessed housing requirement of 850 net additional dwellings per annum over the plan period of 2013 to 2028 (totalling 12,750 dwellings), plus backlog against that requirement from April 2008 to March 2013 (1,621 dwellings). This results in an overall total requirement of 14,371 homes (equivalent to 958 dwellings per annum over the plan period). This figure reflected the position at the time and was determined the Government-appointed Inspector who carried out the independent examination of the Core Strategy.
6. Central Government revisions to the NPPF in 2018 have introduced a requirement to determine the minimum number of homes needed for a local authority using a standard method set out in national planning guidance (unless exceptional circumstances justify an alternative approach).

7. In addition to the local housing need figure, national planning policy sets out that any needs that cannot be met within neighbouring areas should also be taken into account in establishing the amount of housing to be planned for, where this has been agreed between the authorities concerned.
8. The Council has been approached by four local authorities to consider whether Rotherham could accommodate some housing growth. However the Council has identified that it is unable to offer any capacity to meet additional housing needs from elsewhere (see Duty to co-operate findings).

Housing delivery

9. The Government has also introduced an annual Housing Delivery Test which measures net additional dwellings against the number of homes required over the previous three years. The first Housing Delivery Test results were published in February 2019⁴. Rotherham's results show that 92% of the target was delivered, requiring the Council to prepare a housing delivery action plan.
10. It is recognised that the Sites and Policies document⁵ has only recently been adopted (June 2018) and new housing development sites allocated. Annual net additions of dwellings are expected to improve given the release of new sites, having regard to the time required to bring these forward and the proactive work being undertaken by the Council.
11. The NPPF states that local planning authorities must identify a deliverable five year supply of housing sites. The Inspector examining the Sites and Policies document was satisfied that the Council is committed to ensuring that sufficient housing land is provided at the appropriate time to meet anticipated needs, to help to maintain a rolling five year supply of housing land in the Borough.
12. Given the difference between the new local housing need figure and the existing Core Strategy requirement, consideration should be given to whether an update to the Core Strategy housing requirement is required.

Appeals performance

13. Between adoption of the Core Strategy in 2014 and 1 February 2019 the Council received 163 planning appeal decisions. 69% of these decisions to refuse permission were upheld by Inspectors at appeal, and 31% were allowed (or allowed in part). However many of these cases did not include reasons for refusal based on Core Strategy policies. Detailed analysis of those appeals where specific Core Strategy policies are referred to in the reasons for refusal reveals no major areas of concern or indications that Core Strategy policies require an update. It does identify one area in relation to Policy CS19 where consideration could be given to updating the policy to provide greater clarity regarding the achievement of a 'net gain' in green infrastructure. However it is considered that further clarity could be delivered through other means, such as additional guidance, rather than requiring an update of the policy.

⁴ <https://www.gov.uk/government/publications/housing-delivery-test-2018-measurement>

⁵ https://www.rotherham.gov.uk/info/200074/planning_and_regeneration/617/a_guide_to_the_local_plan/3

Results of monitoring

14. The Council publishes an Annual Monitoring Report (AMR) which assesses performance of Core Strategy policies using a range of indicators⁶. Considering the monitoring data from 2014 onwards (following adoption of the Core Strategy) shows that:
 - Indicators for policies in the **‘delivering development in sustainable locations’** and **‘movement and accessibility’** chapters are broadly similar, with half of indicators consistently improving or on target.
 - More indicators for policies in the **‘supporting a dynamic economy’** chapter have been fairly consistently improving or on target than declining or with no change or update.
 - Indicators for policies in the **‘managing the natural and historic environment’** chapter have mainly been improving / on target or with no significant change or update.
 - Since 2014 indicators for policies in the **‘creating safe and sustainable communities’** chapter show consistent improvement / on target (63% or above) and low levels of indicators declining or not on target.
 - There has been **consistent improvement or good performance** in relation to development on previously development land, industrial vacancy rates, new business registrations, employment rate and the amount of landfill / recycling rates.
 - There has been **consistent decline or poor performance** in relation to residential development rates being below the housing requirement, including lower delivery in Rotherham Urban Area, vacancies in primary shopping areas, and reductions in public transport useage.
15. The above analysis confirms concerns around meeting the housing requirement, although performance is expected to improve as Local Plan sites come through the planning process. Concerns around retail vacancies and public transport usage are noted; however it is considered that relevant Core Strategy policies remain appropriate, with more detailed policies now implemented following adoption of the Sites and Policies document.

Changes in local circumstances

16. A review of the Core Strategy issues and objectives show that these remain appropriate and in line with national planning policy, and do not, in themselves, indicate a need to update the Core Strategy.
17. The Core Strategy covers a 15 year period and changes to local strategies and evidence base will inevitably take place during this time. A review of key strategies and evidence base indicates that the majority have not been subject to such significant change as to indicate that the Core Strategy requires updating; however the following is highlighted:
 - An updated Strategic Housing Market Assessment has been commissioned jointly with Sheffield City Council.
 - Up to date evidence and data to support affordable housing and viability Supplementary Planning Documents has been commissioned.

⁶ https://www.rotherham.gov.uk/info/200074/planning_and_regeneration/729/monitoring

- In view of the likely extension of the plan period as part of any Core Strategy update, then up to date evidence regarding Gypsy and traveller housing needs will be required.
- A Sheffield City Region Strategic Employment Land Appraisal has been commissioned. The findings may have implications for Core Strategy policies.
- More up to date evidence is available regarding surface water flood risk within Rotherham.
- Depending upon the scope of any update to flood risk policies then a new Level 1 Strategic Flood Risk Assessment for the borough may be required.
- Climate change continues to be a significant issue requiring action at local, national and international levels. On 2 May 2019, the Committee on Climate Change (CCC) published new advice to the Government on the UK's long-term climate change targets⁷. The CCC have identified that a transition to a near zero carbon economy is now technically achievable and recommends a new emissions target for the UK of net-zero greenhouse gases by 2050. It emphasises that this is only possible if clear, stable and well-designed policies to reduce emissions further are introduced across the economy without delay.
- The infrastructure requirements set out in the 2012 Infrastructure Delivery Study (and summarised at appendix A of the Core Strategy) were partially updated as part of implementing the Community Infrastructure Levy in Rotherham. Further work to update infrastructure requirements will be required to support an update to the Core Strategy.

Duty to co-operate findings

18. The Inspectors examining both the Core Strategy⁸ and the Sites and Policies Document⁹ concluded that the Council had complied with its Duty to Co-operate requirements. This duty does not cease upon adoption of a Local Plan document, it is an ongoing process. As such the Council has continued to engage with relevant bodies as appropriate since adoption of the Core Strategy.
19. Four local authorities¹⁰ have previously approached the Council requesting whether it is able to accommodate any of their housing growth. In considering these requests the Council concluded that the land allocated in the Sites and Policies document is sufficient for Rotherham Borough's housing need for the plan period, with a limited buffer of supply to provide flexibility. There is, however, no reserve of land outside of the Rotherham Green Belt that would be suitable to meet housing need in other areas. As such Rotherham Borough Council has been unable to offer any capacity to meet housing need in other areas.
20. A review of recent activity and engagement indicate that there are no Duty to Co-operate issues which highlight a need to update the Core Strategy. There are however ongoing discussions with other authorities in working towards Statements of Common Ground on various matters, including:
 - Doncaster Metropolitan Borough Council (covering a range of issues).
 - Nottinghamshire County Council (focused on minerals matters).

⁷ <https://www.theccc.org.uk/publication/net-zero-the-uks-contribution-to-stopping-global-warming/>

⁸ https://www.rotherham.gov.uk/downloads/file/1441/rotherham_core_strategy_inspectors_report_and_appendix

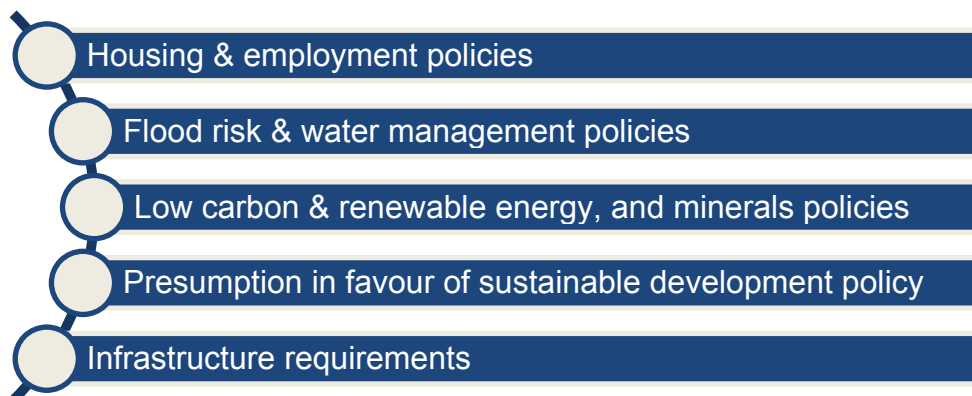
⁹ https://www.rotherham.gov.uk/downloads/file/3843/sites_and_policies_document_inspectors_report

¹⁰ Barnsley Metropolitan Borough Council (2017), Bolsover District Council (2017), Sheffield City Council (2018), and North East Derbyshire District Council (2018)

- Authorities within the Sheffield City Region (working towards production of a regional Statement of Common Ground, expected to focus on housing, employment and transport matters).
- Cambridgeshire County Council and Peterborough City Council (focused on mineral and waste matters).

Conclusions

21. In light of this review the following areas of the Core Strategy are identified as requiring an update:



22. The table below sets out a schedule of the Core Strategy policies recommended to be updated.

Policy	Does the Policy require updating?
Policy CS 1 Delivering Rotherham's Spatial Strategy	Yes
Policy CS 2 Delivering Development on Major Sites	no
Policy CS 3 Location of New Development	no
Policy CS 4 Green Belt	no
Policy CS 5 Safeguarded Land	no
Policy CS 6 Meeting the Housing Requirement	Yes
Policy CS 7 Housing Mix and Affordability	Yes
Policy CS 8 Gypsy and Traveller Accommodation	Yes
Policy CS 9 Transforming Rotherham's Economy	Yes
Policy CS 10 Improving Skills and Employment Opportunities	no
Policy CS 11 Tourism and the Visitor Economy	no
Policy CS 12 Managing Change in Rotherham's Retail and Service Centres	no
Policy CS 13 Transforming Rotherham Town Centre	no
Policy CS 14 Accessible Places and Managing Demand for Travel	no
Policy CS 15 Key Routes and the Strategic Road Network	no
Policy CS 16 New Roads	no
Policy CS 17 Passenger Rail Connections	no
Policy CS 18 Freight	no
Policy CS 19 Green Infrastructure	no

Policy	Does the Policy require updating?
Policy CS 20 Biodiversity and Geodiversity	no
Policy CS 21 Landscape	no
Policy CS 22 Green Space	no
Policy CS 23 Valuing the Historic Environment	no
Policy CS 24 Conserving and Enhancing the Water Environment	Yes
Policy CS 25 Dealing with Flood Risk	Yes
Policy CS 26 Minerals	Yes
Policy CS 27 Community Health and Safety	no
Policy CS 28 Sustainable Design	no
Policy CS 29 Community and Social Facilities	no
Policy CS 30 Low Carbon & Renewable Energy Generation	Yes
Policy CS 31 Mixed Use Areas	no
Policy CS 32 Infrastructure Delivery and Developer Contributions	no
Policy CS 33 Presumption in Favour of Sustainable Development	Yes
Policy CS 34 Housing delivery and ongoing co-operation	Yes

23. The assessment below also identifies where some policies may benefit from revision. However it is not considered that circumstances indicate that these require updating at present.

Assessment of Core Strategy conformity with national planning policy

Table 1: Assessment of requirements of national planning policy

NPPF chapter	Summary of assessment
2. Achieving sustainable development	The Core Strategy remains broadly in line with the requirements of this chapter; however with regard to meeting objectively assessed need, consideration will need to be given to the new housing need methodology and any implications for the borough's housing requirement. Housing need from neighbouring authorities will be a consideration for ongoing Duty to Co-operate / Statement of Common Ground discussions and agreements.
3. Plan making	The Core Strategy remains broadly in line with the requirements of this chapter. An assessment indicates that the priorities and objectives of the Core Strategy remain appropriate. An update to the Core Strategy may be required if changes to the evidence base indicate this. In this respect it is noted that: • An updated Strategic Housing Market Assessment is being produced. • Up to date evidence and data to support an affordable housing Supplementary Planning Document has been commissioned. • In view of the likely extension of the plan period as part of any Core Strategy update, then up to date evidence regarding Gypsy and traveller housing needs will be required. • More up to date evidence is available regarding surface water flood risk within Rotherham. • Depending upon the scope of any update to flood risk policies then a new Level 1 Strategic Flood Risk Assessment for the borough may be required.

NPPF chapter	Summary of assessment
	• A Sheffield City Region Strategic Employment Land Appraisal has been commissioned. The findings may have implications for Core Strategy policies. • Climate change continues to be a significant issue requiring action at local, national and international levels. On 2 May 2019, the Committee on Climate Change (CCC) published new advice to the UK Government and the Devolved Administrations on the UK's long-term climate change targets. The CCC have identified that a transition to a near zero carbon economy is now technically achievable and recommends a new emissions target for the UK of net-zero greenhouse gases by 2050. It emphasises that this is only possible if clear, stable and well-designed policies to reduce emissions further are introduced across the economy without delay. • The infrastructure requirements set out in the 2012 Infrastructure Delivery Study (and summarised at appendix A of the Core Strategy) were partially updated as part of implementing the Community Infrastructure Levy in Rotherham. Further work to update infrastructure requirements will be required to support an update to the Core Strategy. An update to policies may also require an extension of the plan period to meet requirements of NPPF. In undertaking any update of the Core Strategy the Council will continue to comply with the duty to co-operate requirements, including the production of statements of common ground as appropriate.
4. Decision-making	Not directly relevant to Core Strategy.
5. Delivering a sufficient supply of homes	Whilst the Core Strategy broadly meets the majority of requirements set out in this chapter the introduction of the standard method for calculating housing need results in an annual housing requirement lower than the Core Strategy requirement. It is considered that an update to the Core Strategy is therefore required. The Council is preparing an updated SHMA. Further consideration will be given to the findings of this update and any implications for Core Strategy policies. An update to Core Strategy policies may be required to ensure the Local Plan complies with national affordable housing policy. Data to support an affordable housing Supplementary Planning Document has been commissioned. In view of the likely extension of the plan period as part of any Core Strategy update, then up to date evidence regarding Gypsy and traveller housing needs will be required. The Core Strategy should be updated to provide a housing requirement for designated Neighbourhood Plan areas, and consideration given to identifying figures for all parished areas to provide long term clarity for parishes which may consider preparing Neighbourhood Plans in the future.
6. Building a strong, competitive economy	Existing policies meet the requirements set out in this chapter. A Sheffield City Region Strategic Employment Land Appraisal has been commissioned. The findings may have implications for Core Strategy policies. A review of economic policies is likely to be required in tandem with any update of housing requirement, to ensure that housing and economic growth remains aligned.

NPPF chapter	Summary of assessment
7. Ensuring the vitality of town centres	The Core Strategy meets the requirements of NPPF, including establishing a local threshold for impact assessments. There is no evidence that the hierarchy of centres or strategic policy in the Core Strategy require updating in this respect. NPPF removes the need to undertake impact assessments in relation to office floorspace proposals. It is not considered vital to update Policy CS12 to reflect this change as NPPF will supersede the Core Strategy policy in this respect, and up to date guidance regarding implementing CS12 will be set out in a Supplementary Planning Document.
8. Promoting healthy and safe communities	The Core Strategy meets the requirements set out in this chapter.
9. Promoting sustainable transport	The Core Strategy meets the requirements set out in this chapter.
10. Supporting high quality communications	Whilst the Core Strategy broadly meets the majority of requirements set out in this chapter, the Local Plan does not specifically set out how digital infrastructure will be delivered. However policies relating to utilities and telecommunications are set out in the Sites and Policies document, and any review of these policies would need to consider whether an update is required to address delivery of digital infrastructure.
11. Making effective use of land	The Core Strategy meets the requirements set out in this chapter.
12. Achieving well-designed places	The Core Strategy meets the requirements set out in this chapter. Consideration should be given to whether evidence supports the use of the Government's optional technical housing standards. If this indicates that they would be appropriate, then any update of the Core Strategy could include a policy to support the application of these standards within Rotherham.
13. Protecting Green Belt land	The Core Strategy meets the requirements set out in this chapter.
14. Meeting the challenge of climate change, flooding and coastal change	The Core Strategy broadly meets the requirements set out in this chapter. More up to date local evidence is available regarding surface water flood risk within Rotherham, and this merits an update to policies in conjunction with reviewing run off rate requirements and the drainage hierarchy. NPPF does set out that major developments should incorporate sustainable drainage systems unless there is clear evidence that this would be inappropriate. Local Plan policies do not specifically set out the requirements established in this paragraph; however the Council has published the 'South Yorkshire Interim Local Guidance for Sustainable Drainage Systems' which provides guidance for local standards and together with the Non-Statutory Technical Standards strongly promotes the use of Sustainable Drainage Systems. Any update to policies provides an opportunity to update policy regarding sustainable drainage systems.
15. Conserving and enhancing the natural environment	The Core Strategy meets the requirements set out in this chapter.
16. Conserving and enhancing the historic environment	The Core Strategy meets the requirements set out in this chapter.
17. Facilitating the sustainable use of minerals	The Core Strategy meets the requirements set out in this chapter.

Table 2: Assessment of Core Strategy against national planning policy

Policy	Summary assessment
Policy CS 1 Delivering Rotherham's Spatial Strategy	There is no evidence that the overall strategy, the hierarchy of settlements or parts 2 to 4 of the policy (dealing with the strategic allocation, the broad location for growth and the new community at Waverley) requires updating. However an update may be required to part 1 to reflect the implications of changes to the housing requirement following introduction of the standard method for calculating housing need. An update to policies may also require an extension of the plan period to meet requirements of NPPF.
Policy CS 2 Delivering Development on Major Sites	Whilst a fundamental update of this policy is not required, there is potential to strengthen the policy with regard to the circumstances when masterplanning will be required for sites (taking account of the now adopted Sites and Policies document).
Policy CS 3 Location of New Development	The policy remains appropriate, addressing key issues which remain compliant with national planning policy.
Policy CS 4 Green Belt	The policy remains compliant with NPPF and a fundamental update of this policy is not required. There is potential to revise policy to reflect the comprehensive Green Belt review undertaken to support preparation of the Local Plan, and to changes to the Green Belt boundary which have been implemented by adoption of the Sites and Policies document.
Policy CS 5 Safeguarded Land	The policy remains compliant with national policy and a fundamental update of this policy is not required; however there is potential to reflect the identification of Safeguarded Land in the adopted Sites and Policies document.
Policy CS 6 Meeting the Housing Requirement	An update may be required to reflect the implications of changes to the housing requirement following introduction of the standard method for calculating housing need.
Policy CS 7 Housing Mix and Affordability	An update may be required to ensure that the Policy reflects the latest evidence regarding affordable housing in Rotherham and national policy regarding affordable housing, along with any implications arising from the updated SHMA (to be completed). Consultation with Development Management indicates the importance of housing standards to delivering quality development and support for considering whether to incorporate national optional housing standards into the Local Plan.
Policy CS 8 Gypsy and Traveller Accommodation	As part of reviewing the borough's housing requirement, and in view of the likely extension of the plan period as part of any update, up to date evidence regarding Gypsy and traveller housing needs will be required. This may result in an update to this policy being required to ensure compliance with NPPF and "Planning policy for traveller sites" (2015).
Policy CS 9 Transforming Rotherham's Economy	There are no changes in circumstances which require an update to this policy; however given the relationship between housing growth economic development, an update may be required dependent upon the implications arising from any update to the borough's housing requirement.
Policy CS 10 Improving Skills and Employment Opportunities	The policy remains compliant with national policy and there are no changes in circumstance which indicate the need for an update.
Policy CS 11 Tourism and the Visitor Economy	The policy remains compliant with national policy and there are no changes in circumstance which indicate the need for an update.
Policy CS 12 Managing Change in Rotherham's Retail and Service Centres	There is no evidence that the hierarchy of town and district centres or retail floorspace requirement requires updating. The policy broadly remains compliant with national policy and a fundamental update of this policy is not required.

Policy	Summary assessment
	Whilst NPPF removes the need to undertake impact assessments in relation to office floorspace proposals, it is not considered vital to update Policy CS12 to reflect this change as NPPF will supersede the Core Strategy policy in this respect, and up to date guidance regarding implementing CS12 will be set out in a Supplementary Planning Document.
Policy CS 13 Transforming Rotherham Town Centre	The policy remains compliant with national policy and there are no changes in circumstance which indicate the need for an update.
Policy CS 14 Accessible Places and Managing Demand for Travel	The policy remains compliant with national policy and there are no changes in circumstance which indicate the need for an update.
Policy CS 15 Key Routes and the Strategic Road Network	The policy remains compliant with national policy and there are no changes in circumstance which indicate the need for an update.
Policy CS 16 New Roads	The policy remains compliant with national policy and there are no changes in circumstance which indicate the need for an update.
Policy CS 17 Passenger Rail Connections	The policy remains compliant with national policy and there are no changes in circumstance which indicate the need for an update.
Policy CS 18 Freight	The policy remains compliant with national policy and there are no changes in circumstance which indicate the need for an update.
Policy CS 19 Green Infrastructure	The policy remains compliant with national policy and there are no changes in circumstance which indicate the need for an update; however there is potential to clarify the operation of the 'net gain' principle.
Policy CS 20 Biodiversity and Geodiversity	The policy remains compliant with national policy and there are no significant changes in circumstance which indicate the need for an update.
Policy CS 21 Landscape	The policy remains compliant with national policy and no update is required, although there is potential to remove reference to Areas of High Landscape Value as this designation was not taken forward in the Sites and Policies document.
Policy CS 22 Green Space	The policy remains compliant with national policy and there are no changes in circumstance which indicate the need for an update.
Policy CS 23 Valuing the Historic Environment	The policy remains compliant with national policy and there are no changes in circumstance which indicate the need for an update.
Policy CS 24 Conserving and Enhancing the Water Environment	The policy requires updating to be consistent with the hierarchy of drainage options set out in Planning Practice Guidance.
Policy CS 25 Dealing with Flood Risk	The policy requires updating to reflect up to date evidence regarding climate change and surface water flood risk, and to review run off rate requirements.
Policy CS 26 Minerals	The policy remains compliant with national policy; however there may be opportunities to consider how the policy could be updated to move towards a net zero climate change approach. This recognises the continuing challenges of climate change, the importance of reducing carbon emissions and reducing reliance on fossil fuels. There may also be opportunities to better reflect how the Mineral Safeguarding Areas have been taken forward through the Sites and Policies document.
Policy CS 27 Community Health and Safety	The policy remains compliant with national policy and there are no changes in circumstance which indicate the need for an update.

Policy	Summary assessment
Policy CS 28 Sustainable Design	The policy remains compliant with national policy and there are no changes in circumstance which indicate the need for an update.
Policy CS 29 Community and Social Facilities	The policy remains compliant with national policy and there are no changes in circumstance which indicate the need for an update.
Policy CS 30 Low Carbon & Renewable Energy Generation	The policy remains compliant with national policy; however there may be opportunities to consider how the policy could be updated to move towards a net zero climate change approach. This recognises the continuing challenges of climate change, the importance of reducing carbon emissions and reducing reliance on fossil fuels.
Policy CS 31 Mixed Use Areas	The policy remains compliant with national policy and there are no changes in circumstance which indicate the need for an update.
Policy CS 32 Infrastructure Delivery and Developer Contributions	The policy remains compliant with national policy and there are no changes in circumstance which indicate the need for an update.
Policy CS 33 Presumption in Favour of Sustainable Development	This policy requires updating to reflect the amendments to the presumption in favour of sustainable development in revised NPPF.
Policy CS 34 Housing delivery and ongoing co-operation	This policy will require updating to reflect actions which have been taken since adoption of the Core Strategy. It will also require amending to reflect the introduction of the housing delivery test and the implications arising from performance against this test.

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Under the Equality Act 2010 Protected characteristics are Age, Disability, Sex, Gender Reassignment, Race, Religion or Belief, Sexual Orientation, Civil Partnerships and Marriage, Pregnancy and Maternity. Page 6 of guidance. Other areas to note see guidance appendix 1	
Name of policy, service or function. If a policy, list any associated policies:	Rotherham Local Plan: Core Strategy 2013 – 2028. Five Year Review
Name of service and Directorate	Planning Policy Regeneration & Environment
Lead manager	Ryan Shepherd, Senior Planning Officer
Date of Equality Analysis (EA)	7 May 2019
Names of those involved in the EA (Should include at least two other people)	Andy Duncan, Acting Head of Service - Planning and Building Control Helen Sleigh, Senior Planning Officer
Aim/Scope (who the Policy /Service affects and intended outcomes if known) See page 7 of guidance step 1 A desk based review of the Core Strategy has been undertaken as required by Government guidance. The result is a recommendation that a partial update of the Core Strategy is undertaken focusing on housing and employment, flood risk and water management, sustainable development, climate change and carbon reduction, and infrastructure. An updated Core Strategy would form part of the development plan and be used to make planning decisions and decide planning applications. It will potentially impact on all communities across Rotherham.	
What equality information is available? Include any engagement undertaken and identify any information gaps you are aware of. What monitoring arrangements have you made to monitor the impact of the policy or service on communities/groups according to their protected characteristics? See page 7 of guidance step 2 The adopted Core Strategy was subject to separate equalities impact assessment as part of iterative and comprehensive Integrated Impact Assessment (IIA) reports. Please see: Core Strategy IIA Report – Appendix G: https://www.rotherham.gov.uk/downloads/file/1442/adopted_core_strategy_integrated_impact_assessment Information on the protected characteristics of planning applicants or consultees (either in relation to planning applications or to the preparation of planning documents) is not collected by the Council. The Local Plan is subject to an annual monitoring report prepared by the Council: https://www.rotherham.gov.uk/info/200074/planning_and_regeneration/729/monitoring	
Engagement undertaken with customers.	The existing Core Strategy was subject to

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(date and group(s) consulted and key findings) See page 7 of guidance step 3	extensive public consultation prior to its adoption. There is no requirement to undertake consultation on the desk based review of the Core Strategy which has taken place. However this review simply identifies the broad scope of the update required. The updated Core Strategy will be subject to public consultation in due course (subject to Member approval) and is not the subject of this report.
Engagement undertaken with staff about the implications on service users (date and group(s) consulted and key findings) See page 7 of guidance step 3	The Core Strategy review has been undertaken in consultation with other services within the Council, including transportation, housing, ecology, RiDO, and drainage.
The Analysis	
How do you think the Policy/Service meets the needs of different communities and groups? Protected characteristics of Age, Disability, Sex, Gender Reassignment, Race, Religion or Belief, Sexual Orientation, Civil Partnerships and Marriage, Pregnancy and Maternity . Rotherham also includes Carers as a specific group. Other areas to note are Financial Inclusion, Fuel Poverty, and other social economic factors. This list is not exhaustive - see guidance appendix 1 and page 8 of guidance step 4 An updated Core Strategy will be used by applicants submitting planning applications across the borough, and by the Council in determining planning applications. The outcome will be planning decisions which satisfy with relevant planning policies (taking account of any relevant material considerations) and which will have an impact on residents, workers, visitors or other users of any future development. Planning applicants and those impacted by any future development may fall within any of the protected characteristics.	
Analysis of the actual or likely effect of the Policy or Service: See page 8 of guidance step 4 and 5 Does your Policy/Service present any problems or barriers to communities or Group? Identify by protected characteristics Does the Service/Policy provide any improvements/remove barriers? Identify by protected characteristics This report concerns only the findings of the Core Strategy Review, which in itself has no implications for communities, groups or protected characteristics. Any future update of the Core Strategy will be subject to separate, detailed equalities impact assessment. Once adopted, an updated Core Strategy will set out planning policies relevant to all, regardless of their protected characteristic(s).	

RMBC - Equality Analysis Form for Commissioning, Decommissioning, Decision making, Projects, Policies, Services, Strategies or Functions (CDDPPSSF)

What affect will the Policy/Service have on community relations? Identify by protected characteristics

The findings of the Core Strategy Review have no direct impacts on community relations.

Any updated Core Strategy would ensure that an up to date Local Plan is in place and provide certainty for residents, developers and investors. In this respect, there are expected to be positive impacts on community relations.

Please list any **actions and targets** by Protected Characteristic that need to be taken as a consequence of this assessment and ensure that they are added into your service plan.

Website Key Findings Summary: To meet legislative requirements a summary of the Equality Analysis needs to be completed and published.

RMBC - Equality Analysis Form for Commissioning, Decommissioning, Decision making, Projects, Policies, Services, Strategies or Functions (CDDPPSSF)

Equality Analysis Action Plan - See page 9 of guidance step 6 and 7

Time Period 2019 - 2021

Manager: Ryan Shepherd, Senior Planning Officer Service Area: Planning Policy, R&E Tel:01709 823888

Title of Equality Analysis: Core Strategy Five Year Review

If the analysis is done at the right time, i.e. early before decisions are made, changes should be built in before the policy or change is signed off. This will remove the need for remedial actions. Where this is achieved, the only action required will be to monitor the impact of the policy/service/change on communities or groups according to their protected characteristic.

List all the Actions and Equality Targets identified

Action/Target		State Protected Characteristics (A,D,RE,RoB,G,GI O, SO, PM,CPM, C or All)*	Target date (MM/YY)
To ensure that any future update of the Core Strategy is subject to appropriate equalities impact assessment		All	12/21
Name Of Director who approved Plan	Paul Woodcock	Date	

*A = Age, C= Carers D= Disability, S = Sex, GR Gender Reassignment, O= other groups, RE= Race/ Ethnicity, RoB= Religion or Belief, SO= Sexual Orientation, PM= Pregnancy/Maternity, CPM = Civil Partnership or Marriage.

RMBC - Equality Analysis Form for Commissioning, Decommissioning, Decision making, Projects, Policies, Services, Strategies or Functions (CDDPPSSF)

Website Summary – Please complete for publishing on our website and append to any reports to Elected Members SLT or Directorate Management Teams

Completed equality analysis	Key findings	Future actions
Directorate: Planning Policy, Regeneration and Environment Function, policy or proposal name: Core Strategy Five Year Review Function or policy status: Changing..... (new, changing or existing) Name of lead officer completing the assessment: Ryan Shepherd, Planning Policy Date of assessment: 7 May 2019	This report concerns only the findings of the Core Strategy Review, which in itself has no implications for communities, groups or protected characteristics. An updated Core Strategy would form part of the development plan and be used to make planning decisions and decide planning applications. It will potentially impact on all communities across Rotherham. Any future update of the Core Strategy will be subject to separate, detailed equalities impact assessment. Once adopted, an updated Core Strategy will set out planning policies relevant to all, regardless of their protected characteristic(s)	Ensure that any future update of the Core Strategy is subject to appropriate equalities impact assessment.

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Committee Name and Date of Committee Meeting

Cabinet – 08 July 2019

Report Title

Transportation Capital Investment Programme 2019/20

Is this a Key Decision and has it been included on the Forward Plan?

Yes

Strategic Director Approving Submission of the Report

Paul Woodcock, Strategic Director of Regeneration and Environment

Report Author(s)

Matthew Reynolds, Transportation Manager
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Andrew Butler, Senior Engineer
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Ward(s) Affected

All

Report Summary

This report outlines the Transportation Capital Investment Programme for schemes to be delivered and developed in the financial year 2019/20. The report also provides an update on progress on the A630 Parkway Widening project.

Recommendations

1. That the specific funding allocations for the Transportation Capital Investment Programme for the 2019/20 financial year be noted.
2. That the proposed programme as identified in Appendix 1 as the basis for further feasibility works, detailed design and implementation during the 2019/20 financial year be noted.
3. That progress with the A630 Parkway Widening project be noted and implementation is to be expected in 2020/21, subject to Department for Transport approval of the Full Business Case being submitted in Autumn 2019.

List of Appendices Included

Appendix 1 Transportation Capital Investment Programme
Appendix 2 Equality Analysis

Background Papers

None

Consideration by any other Council Committee, Scrutiny or Advisory Panel

Verbal presentation to Transportation Advisory Board, 15th May 2019

Council Approval Required

No

Exempt from the Press and Public

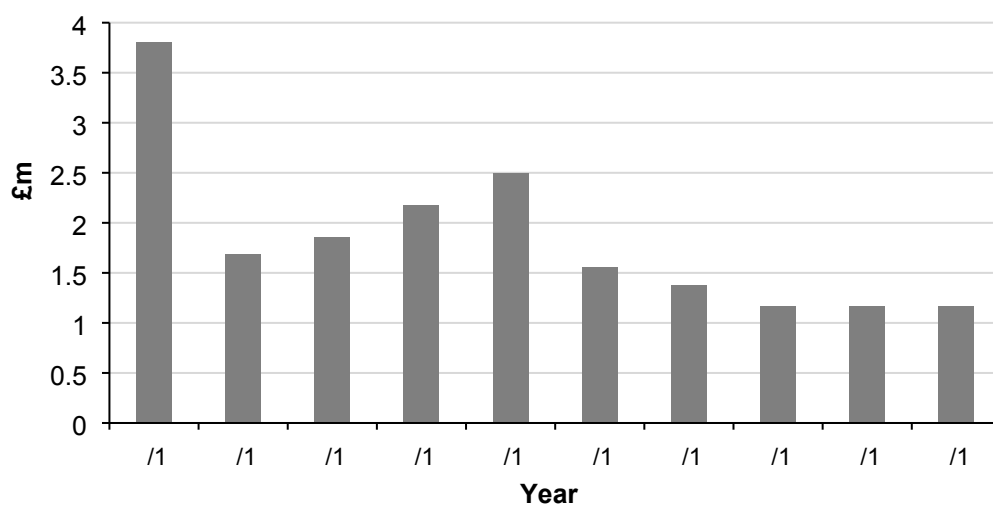
No

Transportation Capital Investment Programme 2019/20

1. Background

- 1.1. As Highway Authority for adopted roads in the Borough, the Council produces a Transportation Capital Investment Programme every year for delivery against the Council's statutory duties with regard to traffic management and road safety. Works typically include new roads/footways, pedestrian crossings and local safety schemes. This report identifies the funding source and the proposed works to be delivered within the forthcoming financial year.
- 1.2. Funding packages for the delivery of these improvements are derived from numerous sources, including the grant and competitive funding awards from the Department for Transport (DfT) and Sheffield City Region Mayoral Combined Authority (SCRMCA) in addition to locally secured contributions from the Council's own capital budget.
- 1.3. The schemes contained within this report have all been approved in the Council's Capital Programme by Council, on Feb 27th 2019, under the relevant funding blocks headed Network Management, Connectivity, Local Safety, Smarter Choices, Bridges and Major Schemes. This report provides Cabinet with further details of the individual projects funded from the Plan. An update on progress at Outturn 2018-19 is also provided at Section 6.
- 1.4. It is also important to note that, following the trend of many central government departments, the DfT are increasingly responding to national budgetary constraints by reducing the level of grant funding to Local Authorities. This is primarily through the Local Transport Capital Funding allocation, which has witnessed a significant decrease in recent years. Figure 1 below evidences this decline.

Figure 1: Rotherham MBC Integrated Transport Block Funding



- 1.5. As a result of this reduction in funding, from centralised sources, the Council's funding envelope for local transport schemes requires additional resource to be allocated through Council capital or via competitive funding opportunities. The need for continued investment in the transport system is further exacerbated by an increased demand for improvements in line with regional growth aspirations, as well as more local demands.

2. Key Issues

- 2.1. As set out in the Rotherham Economic Growth Plan, the economic vision for the Borough is based on creating an economy, in which business will prosper and local residents will have the enterprise and employment opportunities, which reflect their ambitions and skills. This vision is based upon the principles that this will provide the assurance for businesses to invest for the long term, building on local strategic strengths and tackling our underlying weaknesses in our local economy.
- 2.2. It is recognised in national, regional and local policy making that improvement to the efficiency and effectiveness of the transport network is a key factor to enabling growth, whilst contributing towards the achievement of wider policy initiatives. Investment in transport infrastructure not only delivers the traditional quantifiable benefits such as journey time improvements, but can also help unlock economic growth and regeneration, promote healthier lifestyles and deliver considerable environmental benefits.
- 2.3. In order to make the most progress towards the delivery of corporate aims and objectives, it is necessary to identify, prioritise and develop transport improvements within a wider context. In response, the Transportation Capital Investment Programme has been developed having regard to a Multi-Criteria Appraisal Framework (MCAF). The MCAF is a tool which allows the assessment of schemes and projects through the use of a set of weighted strategic criteria with reference made to the Sheffield City Region Transport Strategy and Rotherham Transport Strategy.
- 2.4. This approach has been used successfully by other Local Authorities and an industry standard methodology for the assessment of local transport schemes.
- 2.5. The following sections detail the allocation of the funding and a brief description of the remit/purpose of each respective funding stream.
- 2.6. Integrated Transport Block Fund
 - 2.6.1 Through the DfT's Local Transport Capital Funding allocation, the SCRMCA receives an Integrated Transport Block (ITB) allocation of £8,428,000. This figure is apportioned on a population basis to each respective Local Authority. South Yorkshire Passenger Transport Executive (SYPTE) receives a 25% 'top slice' of this funding to deliver regional public transport activities. The Council will receive £1,149,653 for 2019/20, although the actual allocation for this year is £1,372,255, including a carry forward of £222,602 from 2019/20.
 - 2.6.2 The ITB grant funding is spread across four themes to accord with the priorities of the Local Transport Plan (LTP). The specific schemes are detailed in

Appendix 1, divided into either delivery for this financial year or development for future implementation. A breakdown of the ITB allocation across the LTP themes is outlined below.

- 2.6.3 **ITB Bus Priority.** This allocation is predominantly used to fund projects delivered by SYPTE. At this stage it is not clear about the level of funding to be assigned to corridors in Rotherham, although it is anticipated that a contribution towards congestion improvements at Bramley and a programme of bus hotspots will be included.
- 2.6.4 **ITB Accessibility - £412,255.** This will fund cycling infrastructure and footway improvements at strategic locations across the Borough. It includes a programme of minor accessibility works such as tactile dropped kerbs and individual pedestrian refuge islands. It is proposed to allocate £112,255 towards the development of projects and the business case for Transforming Cities Fund (2b) that will be submitted to the DfT by the Sheffield City Region Mayoral Combined Authority (SCRMCA) in November 2019.
- 2.6.5 **ITB Local Safety Schemes and formal crossings- £375,000.** This fund is primarily used to address known accident hotspots on the Council's highway network. These projects are determined on a needs basis, targeting investment in locations where there has been the highest number of recorded personal injury accidents. For the current year, a wider trawl of the injury accident database has been carried out, to determine any patterns/trends that could be addressed within the delivery programme for 2019/2020. This element of the LTP ITB will fund a controlled crossing (puffin, toucan or zebra crossing) on Morthen Road in the form of a zebra crossing near its junction with Wickersley roundabout.
- 2.6.6 **ITB Traffic Management - £575,000.** This will fund interventions which seek to maximise transport network capacity, reduce congestion and improve traffic flow on the highway network. These are often large scale schemes given the associated infrastructure costs. The implementation of a programme of minor traffic works (signing, lining and street furniture) is also funded from this allocation. Some funding will also be used to develop projects for delivery in future years to ensure the Council has schemes in place that could benefit from future competitive funding opportunities.
- 2.6.7 **ITB Miscellaneous - £40,000 & £10,000.** Rotherham Council monitors and undertakes modelling for air quality across South Yorkshire for which it will receive £40,000. It is also proposed to spend £10,000 on providing electric vehicle charging points at key locations across the Borough.
- 2.7 Sheffield City Region Mayoral Combined Authority
- 2.7.1 In March 2018, the SCRMCA agreed to create the Barnsley, Doncaster and Rotherham Transport Fund (BDRTF). This was intended to be a single year grant allocation of £3.5m to be shared between Barnsley, Doncaster and Rotherham Councils. The funding objective is to improve strategic transport connections to support local growth initiatives. The allocation is apportioned on a population basis, resulting in the Council receiving £1,132,000 in

2018/19. Partners have been unable to deliver their full allocations in 2018/19 and it has therefore been agreed that unspent funds can be carried forward to 2019/20. In Rotherham's case £711,373 will be carried forward, with a £600,000 contribution towards the development of the A630 Parkway Widening project and £111,373 being used to fund the works at Bramley Crossroads to refurbish the ageing signals at the junction, and reduce the risk of signal failure.

2.7.2 Transforming Cities Fund – SCRMCA is one of the shortlisted areas to bid for funding made available from central government through a fund entitled '*Transforming Cities*'. The purpose of the funding is to promote active travel (walking and cycling) and public transport projects across an area. The total amount available nationally for the fund is £1.6bn, half of which was given directly to the mayoral authorities (at the time SCRMCA was not a mayoral authority and has to therefore bid with other authorities for a share of the £800m). SCRMCA was given short listed status by the Department for Transport which meant it can bid for a share of the £800m.

2.7.3 Following being short listed, the SCRMCA was asked to submit a bid to access funds to undertake early interventions. A national fund of £60m was made available and SCRMCA was successful in accessing £4.2m with Rotherham receiving £980,000 of this fund. The project submitted by Rotherham was the continuation of a cycle route between Greasbrough and Rotherham town centre via Fenton Road. The monies will fund a shared use cycle/footway on Fenton Road and public realm improvements in the town centre on Chantry Bridge that complement works completed this year.

2.7.4 Officers are working with colleagues across the SCR to develop a bid proposal to access a share of the DfT Transforming Cities Fund Tranche 2. The funds are being made available by central government and this requires the completion of a competitive funding process. The current programme details that a business case will be produced by November 2019, with the schemes primed for delivery over the subsequent three years.

2.8. Council Capital

2.8.1 Pedestrian Crossings - In 2018 the Council identified funding for the subsequent three year period, the Council resolved to provide an additional £120,000 per annum to deliver new controlled pedestrian crossings across the Borough. The locations are prioritised on the basis of evidenced need and deliverability. In 2018/19 a new crossing was funded at Fenton Road; the crossing will be commissioned early in 2019/20 financial year. In the year ahead a crossing will be introduced on the A631 Bawtry Road at Brinsworth.

2.8.2 Community Safety Concerns - Council capital funding has been made available to provide small scale engineering interventions in areas highlighted by the public as being areas of road safety concern. It was agreed by Council in February 2019 that this will be used to support the delivery of locally defined safety schemes with a total budget of £450,000, providing £150,000 in each of the three years. There will be an opportunity to review this at the end of the funding period.

- 2.8.3 **A57/A618 junction Wales** - £600,000 of Council capital has been approved for the design and implementation of works to improve the scheme of signalisation at the junction of the A618/A57.

2.9. National Productivity Investment Fund

- 2.9.1 In October 2017, it was announced by the DfT that the Council was successful in securing a £3.244m grant contribution towards the capacity improvements of College Road Roundabout. The detailed design stage was completed in 2018/19, funded by the grant, with a start on site expected summer 2019 and completion by summer 2020. For 2019/20 the available grant funding is £2.498m from DfT. The Council is providing a 30% match funding contribution of £1.386m, which is included within the approved Capital budget. Overall estimated cost of the College Road Roundabout project increased by £0.4m. Following the completion of the scheme design a tender process was completed with the framework supplier's price exceeding the available budget. The project is high priority, and supported by significant levels of external grant, as such some of the existing LTP schemes have been re-profiled to allow for budget to be reallocated to College Road. In order to make up the £0.4m shortfall, funding from 2019/20 LTP ITB of £0.275m has been utilised and a further £0.125m will be funded from LTP ITB in 2020-21. Concurrently, the service is assessing whether further project cost savings could be found to mitigate the increased costs.

2.10. Clean Air Zone and Early Measure Fund

- 2.10.1 The Council has been jointly mandated with Sheffield City Council (SCC) as a Clean Air Zone by the Department for Environment, Farming and Rural Affairs' Joint Air Quality Unit (JAQU). This is in response to a number of locations within the Borough which exceed the legal limit for nitrogen dioxide (NO²). The Council is working with SCC to produce a Full Business Case which will provide the justification for a series of physical measures to improve traffic flow and ultimately reduce the recorded levels of NO². As part of this, the Council has been awarded a £665,000 capital grant to install electric vehicle charging points and solar panels at strategic locations around the Borough to support the take up of electric vehicles.

2.11. A630 Parkway Widening

- 2.11.1 The detailed design for a major scheme for widening of the A630 Parkway began in 2018/19, with the Full Business Case also being prepared at the same time. The business case, on which funding for the delivery of the scheme depends, will be submitted in autumn 2019. Subject to DfT approval, expected late 2019 and release of the grant funding, construction could commence on site in the first half of 2020. The works duration is estimated at 18 months and therefore the project should be complete summer 2021.
- 2.11.2 The scheme has been developed to accommodate the growth of traffic in relation to the continued success of the Advanced Manufacturing Innovation District and access to the M1 motorway. The project comprises of a number of physical interventions, including widening of the existing carriageway from 2 to 3 three lanes in each direction within the highway boundary, speed limit

reduction to 50 mph with air quality benefits and an improvement to the M1 junction 33 intersection.

2.11.3 The delivery costs of the scheme are currently estimated at approximately £45m and delivery of the scheme has been procured as a design and build project through the SCAPE framework. This figure includes a significant risk contingency amount and this will be further evaluated through risk workshops involving Council officers, the designers and the preferred contractor.

2.11.4 The A630 scheme was approved by Council for inclusion in the Capital Programme in February 2019. Delivery phase costs will be met primarily through DfT grant funding, with the detailed design phase in 2019/20 amounting to approximately £3.5m, of which £0.9m is DfT grant, approximately £1.98m Council funding and £0.6m LTP ITB grant, referred to in section 2.7 above. The DfT standard grant conditions require the Council to underwrite the delivery costs above the grant award value, but the risk of contributions being required from the Council is small due to the use of the framework, inclusion of the risk contingency and the continuing risk workshops that in turn will monitor and evaluate risks and ensure risk mitigation measures are taken in a timely manner.

3. Options Considered and Recommended Proposals

3.1. The programme of works identified in Appendix 1 have been developed from a needs base. The proposals deliver outcomes that align with the Sheffield City Region Transport Strategy 2019-2040 and the Rotherham Transport Strategy 2016-2026. Accessible and high quality transport systems are important if the Council is to achieve the aims of the Corporate Plan and other plans and strategies.

3.2. The 2019/20 programme has been designed taking into account committed schemes and the availability of funding sources. Detailed timings of schemes are linked to the cost, availability of match funding and deliverability, as well as the growth strategies in local plans.

4. Consultation on proposal

4.1. As specific projects move towards the delivery phase then formal consultation with key stakeholders, such as Ward Members and members of the public, is undertaken.

5. Timescale and Accountability for Implementing this Decision

5.1. The programme of works will be delivered this year or developed for delivery in future years.

6. Financial and Procurement Advice and Implications

- 6.1. The funding identified within Appendix 1 has been made available to the Council from the sources indicated. However a funding grant agreement with SCRMCA will be required before the Council can draw down the funds associated with the Transforming Cities fund. The programmes do not exceed the available funding and have already been earmarked and approved by full Council in February 2019 within the Capital Programme.
- 6.2. At Outturn 2018-19 the Transportation Capital Investment Programme Budget of £7.9m closed with £2m slippage. The main slippage in this area being on the Clean Air Zone Electric Charging Points (£0.647m), this slippage will be carried forward. The tender process was being finalised at the year end with a view to spend completing in the early part of 2019/20. In addition there was significant slippage on Crinoline Bridge (£0.222m), College Road NPIF (£0.319m), Traffic Signal Renewal (£0.193m), and Traffic Signal Red-light camera digital upgrade (£0.173m).
- 6.3. The majority of physical works are delivered by the Council's Scheme Delivery Team. If any third parties are utilised then they would be procured under the Council's Financial and Procurement Procedure Rules.
- 6.4. The A630 Parkway Widening Scheme including all development and construction costs will be funded by a mix of DfT grant and corporate resources with DfT funds being subject to final DfT approval. DfT grant conditions stipulate that Local Authorities are required to underwrite construction costs and it is anticipated that these conditions will be applied to this scheme.

7. Legal Implications

- 7.1. There are no specific legal implications associated with the individual programmes but some projects within the programme will require legal assistance. For example, this may include the acquisition of land in third party ownership and development of Traffic Regulation Orders.

8. Human Resources Implications

- 8.1. The programmes identified in Appendix 1 will be delivered through existing staff resources within the Transportation and Highways Design Service within Planning, Regeneration and Transportation. Specialist consultants will be used where required.

9. Implications for Children and Young People and Vulnerable Adults

- 9.1. A key element of the Integrated Transport Block programme is to improve accessibility and pedestrian safety for young and vulnerable road users. There are projects designed to specifically benefit young pedestrians such as 20mph speed limits outside schools.

10. Equalities and Human Rights Implications

- 10.1. A key element of the Integrated Transport Block programme is to provide dropped kerbs with tactile paving at road crossing points together with raised footways and tactile paving at bus stops. These works assist the visually impaired and those with mobility issues in crossing the road and accessing public transport.
- 10.2. An initial Equality Impact Assessment (EIA) has been undertaken in support of this report, and the proposals outlined within it (see Appendix B). The EIA will be regularly reviewed and updated throughout the delivery of the TCIP to ensure that each specific scheme considers the needs of the Rotherham community.

11. Implications for Partners and Other Directorates

- 11.1. None.

12. Risks and Mitigation

- 12.1. The projects identified in Appendix 1 are at varying stages of development. Through the feasibility and design process, elements of the design can change or projects can become out of scope and undeliverable from a cost and engineering perspective. However, these risks will be managed through good project and programme management processes to continually monitor design and delivery to ensure available funding is not exceeded.

13. Accountable Officer(s)

Andrew Butler, Senior Engineer, Transportation Infrastructure Service
Matthew Reynolds, Transportation Manager, Transportation Infrastructure Service

Ian Ashmore, Transportation Infrastructure Service Manager

Approvals obtained on behalf of:-

	Named Officer	Date
Chief Executive	Sharon Kemp	24/06/19
Strategic Director of Finance & Customer Services (S.151 Officer)	Judith Badger	21/06/19
Assistant Director of Legal Services (Monitoring Officer)	Bal Nahal	21/06/19
Assistant Director of Human Resources (if appropriate)	N/A	N/A
Head of Procurement (if appropriate)	N/A	N/A

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DfT CATEGORIES and Project title		Description	LTP integrated transport	DfT , LTP Countywide & other funding	PTE Contribution	SCR /CA Contribution	Council capital
Bus Priority Schemes - all in development stage							
Bus Network Investment		potential Bus Lane Review Outcomes identified by PTE			TBD		
Sub Total					0		
Accessibility - Walking and Cycling							
Delivery:							
walk to Rotherham project'		reallocated living streets funding		25,000			
Rotherham to Kimberworth Park cycle infrastructure		Continuation of route from/to town	15,000				
A6178 Sheffield Road Templeborough		Improvements to cycle infrastructure	10,000				
Small scale interventions borough wide		Improved accessibility	50,000				
Fenton Road Greasbrough/Kimberworth		cycle infrastructure		980,000			
A631 Bawtry Road, Canklow cycle infrastructure		Cycling network improvements	20,000				
A6021 Stag roundabout		capacity improvements near bus stop	50,000				
A630 Doncaster Road Thrybergh		accessibility improvements	40,000				
Transforming Cities Fund		Development fund	52,255				
Rother Valley to Waverley (AMID)		shared walking and cycling route	75,000				
Secure cycle parking		Cycle lockers/parking	20,000				
Sub Total			332,255	1,005,000		-	
Local Safety Schemes and new crossings							
Delivery:							
A631 West Bawtry Road near Worrygoose roundabout		specific location	15,000				
A6109 Meadowbank Road junction with Psalters Lane		junction improvement	5,000				
B6060 Green Arbour Rd/Laughton Common Rd (national speed limit section)		route treatment scheme	30,000				
20 mph zones outside schools		continuation of implementation of zones at schools	50,000				
Various locations		vehicle activated signs	5,000				
A630 Doncaster Road, Thrybergh to Borough boundary		route treatment scheme/speed limit review	15,000				
Lamb Lane Firbeck		route treatment scheme	5,000				
B6060 Morthen Road Wickersley		pedestrian crossing (zebra)	65,000				
Community Safety Concerns Fund		Programme to be determined					150,000
A631 Bawtry Road, Brinsworth		Puffin crossing					120,000
Sub Total			190,000	0		-	270,000
Traffic Management							
Delivery:							
Small Scale interventions borough wide		signs, lines and pedestrian refuges	50,000				
A57/A618 Aston Way/Mansfield Road		congestion improvement					600,000
A631 Bawtry Road Bramley		junction improvement and linking of traffic signals				111,373	
A630 Rotherway Canklow traffic metering		congestion improvement	45,000				
A57 Anston Crossroads remote pedestrian crossing		Crossing linked to crossroads due to congestion concerns and signal refurbishment	125,000				
A57 Todwick crossroads (major scheme)		residual expenditure	35,000				
Dale Road Green Lane Rawmarsh mini roundabout		completion of scheme	35,000				
Sub Total			290,000	0		111,373	600,000
Miscellaneous							
Electric vehicle charging points and solar panels		strategic installation of EV charging points and solar panels	10,000	665,000			
SY Air Quality Modelling RMBC lead		county wide activity		20,000			
SY Air Quality Monitoring RMBC lead		county wide activity		20,000			
Sub Total			10,000	705,000		-	
PROGRAMME TOTALS			822,255	1,710,000	-	111,373	870,000
Major Schemes							
National Productivity Investment Fund College Road roundabout		Govt award to improve capacity at the roundabout	275,000	2,498,365			1,386,000
A630 Rotherham Gateway widening		scheme development costs		900,000		600,000	1,927,000
Sub Total			275,000	3,398,365		600,000	3,313,000
Projects for development and potential delivery							
Local Safety Schemes:							
A630 Rotherham Gateway/Sheffield Parkway		route treatment scheme to be assessed	125,000				
Old Wortley Road, High Street, Church Street & Kimberworth Road		route treatment scheme to be assessed					
A630 Centenary Way/Masbrough Street junction (New York junction)		junction treatment scheme to be assessed					
A630 Doncaster Road/Aldwarke Lane		junction treatment scheme to be assessed					
A6123 Taylors Lane roundabout		junction treatment scheme to be assessed					
A633 Doncaster Road/Manvers roundabout		junction treatment scheme to be assessed					
A630 West Bawtry Road/Rotherway inc Howarth Lane junction		junction treatment scheme to be assessed					
A631 Bawtry Road/Morthen Road roundabout		junction treatment scheme to be assessed					
A630 Doncaster Road outside Silverwood working mens club		specific location to be assessed					
A57 Junction 31 of M1 Aston		junction treatment scheme to be assessed					
Doncaster Road Wath Upon Dearne		route treatment scheme to be assessed					
Doncaster Gate Rotherham Town Centre, Doncaster Road Clifton		route treatment scheme to be assessed					
Middle Lane/Middle Lane South Clifton		route treatment scheme to be assessed					
Thrybergh Hall Road, Claypit Lane, Green Lane, St. Nicholas Rd Rawmarsh		route treatment scheme to be assessed					
Fitzwilliam Street, Milton Street, Highthorn Road Kilnhurst		route treatment scheme to be assessed					
Coach Road, Fenton Road Greasbrough/Kimberworth Park		route treatment scheme to be assessed					
Kingsforth Lane, Cumwell Lane Thurcroft/Hellaby		route treatment scheme to be assessed					
Golden Smithies Lane, Church Street Wath Upon Dearne		route treatment scheme to be assessed					
A630 Fitzwilliam Road		Route and junction scheme to be assessed					
Worrygoose Lane near Worrygoose roundabout		specific location to be assessed					
A630 Centenary Way junction A6178 Sheffield Road		specific location to be assessed					
Magna Lane, Far Dalton Lane, Hill Top Lane, Northfield Lane		route treatment scheme to be assessed					
Coronation Bridge, Masbrough Street, Ferham Road, Psalters Lane		Area wide to be assessed					
A629 New Wortley Road Between Milton Gardens and College Rd R/abt		specific location to be assessed					
A6123 Herringthorpe Valley Road/Mowbray Street Herringthorpe		junction treatment scheme to be assessed					
Wathwood Road O/S St. Pius School Wath Upon Dearne		specific location to be assessed					
A631/A618 Whiston crossroads		junction treatment scheme to be assessed					
A57 Worksop Road/Aston Way		junction treatment scheme to be assessed					
Badsley Moor Lane Clifton		route treatment scheme to be assessed					
Haugh Road Rawmarsh		route treatment scheme to be assessed					
Hollings Lane Silverwood		route treatment scheme to be assessed					
Roughwood Road Kimberworth Park		route treatment scheme to be assessed					
Wath Road, Barnsley Road Wath Upon Dearne		route treatment scheme to be assessed					
Droppingwell Road, New Droppingwell Road Kimberworth		route treatment scheme to be assessed					
Rotherham Lane, High Street and St. John's Road Laughton		route treatment scheme to be assessed					
Oldgate Lane, Park Lane Thrybergh		route treatment scheme to be assessed					
A57 Sheffield Road, South Anston (Mill Lane to Old Sheffield Road)		route treatment scheme to be assessed					
Canklow Road, Canklow		route treatment scheme to be assessed					
Green Arbour Road outside St.Willows school		specific location to be assessed					
Worrygoose Lane near Greystones Road Whiston		specific location to be assessed					
Herringthorpe Valley Road, Ridgeway		specific location to be assessed					
Dinnington town centre 20 mph zone		core 20mph zone to be assessed					
A629 Upper Wortley Road/Great Park Road		Puffin crossing to be assessed					
A633 Main Street, Bellows Road Parkgate		Puffin crossing to be assessed		30,000			
Sub Total			155,000	0		-	-
Traffic Management:							
A6021/A631 cycle infrastructure		in fill of gaps in cycling network identification	120,000				
Westgate to Clifton Park cross town route cycle route including cycling in parts of pedestrian zone and Doncaster Gate junction Wellgate		Cross town cycle route via High Street and Doncaster Gate assessment					
A618 Whiston to Aston cycle infrastructure		in fill of gaps in cycling network identification					
A633/A6023 Manvers Way/Station Road		congestion improvement assessment					
A631 Braithwell Road junction Maltby		congestion and accessibility improvements assessment					
A631 Worrygoose roundabout		congestion & pedestrian crossings assessment					
A631 Brecks roundabout		bus priority and pedestrian crossings assessment					
A631 Wickersley roundabout		congestion & pedestrian crossings assessment					
A633 Dale Road Blyth Road junction		bus priority (clean air project) assessment					
A633 High Street and Dale Road signalisation for buses and pedestrians		congestion & pedestrian crossings assessment					
A633 Warren Vale Road		bus priority assessment					
A630 Doncaster Road Dalton		main route congestion assessment					
A57 Junction Todwick Road Dinnington		side road congestion assessment					
A6178/A630 Ickles roundabout		congestion/bus priority assessment					
A57 Anston by-pass		linked to developments in Bassetlaw identification					
A631 Whiston crossroads approach from East Bawtry Road		congestion improvement assessment					
A6109 Meadowbank Road jnc 34 north roundabout		congestion on approach to roundabout (clean air project) assessment					
Red Hill Kiveton Park		vehicle restraint system assessment					
Lower Don Valley Growth Area (Brinsworth area)		potential improvements linked to Waverley/AMP/AMID assessment					
Bassingtorpe Farm Growth Area		potential improvements linked to residential development assessment					
Sub Total			120,000	0		-	0
total capital programme			1,372,255	5,108,365	TBD	711,373	4,183,000
cumulative total income			11,374,993				

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Initial Equality Screening Assessment

As a public authority we need to ensure that all our strategies, policies, service and functions, both current and proposed have given proper consideration to equality and diversity.

A **screening** process can help judge relevance and provide a record of both the process and decision. Screening should be a short, sharp exercise that determines relevance for all new and revised strategies, policies, services and functions.

Completed at the earliest opportunity it will help to determine:

- the relevance of proposals and decisions to equality and diversity.
- whether or not equality and diversity is being/has already been considered, and
- whether or not it is necessary to carry out an equality analysis.

Directorate: Regeneration and Environment	Service area: Planning, Regeneration and Transport
Lead person: Matthew Reynolds	Contact number: 01709 254490

1. Title: Transportation Capital Investment Programme

Is this a:

☐

Strategy / Policy

☒

Service / Function

☐

Other

If other, please specify

2. Please provide a brief description of what you are screening

Transportation Capital Investment Programme outlines at a programme level, a number of transportation schemes to be delivered and developed in financial year 2019/20. The report also proposes that Cabinet approve the submission of the Full Business Case for the A630 Parkway Widening project.

3. Relevance to equality and diversity		
Questions	Yes	No
Does the activity have implications regarding the accessibility of services to the whole community?	X	
Is there an impact for an individual or group with protected characteristics? (Discrimination, harassment or victimisation of individuals with protected characteristics)	X	
Have there been or likely to be any public concerns about the policy or proposal?		X
Could the proposal affect how the Council's services, commissioning or procurement activities are organised, provided, located and by whom?		X
Could the proposal affect the Council's workforce or employment practices?		X

If you have answered **no** to all the questions above please complete **sections 5 and 6**.

If you have answered **yes** to any of the above please complete **section 4**.

4. Considering the impact on equality and diversity

If you have not already done so, the impact on equality and diversity should be considered within your proposals prior to carrying out an **Equality Analysis**.

Considering equalities and diversity will help to eliminate unlawful discrimination, harassment and victimisation and take active steps to create a discrimination free society by meeting a group or individual's needs and encouraging participation.

Please provide specific details for all three areas below and use the prompts for guidance.

- How have you considered equality and diversity?**

As this report details a programme of work, it is proposed that an Equality Analysis is carried out on larger schemes where required. Specifically, this refers to the Council's "Equality Analysis; A Guide and Methodology" document, with particular need to accord with Step 3; Engagement. This will ensure that through consultation, schemes will be designed to meet the individual requirements of different people and different communities, considering barriers in relation to protected characteristics.

In addition, the key characteristics identified within the Equality Act have been broadly assessed below in key findings.

- **Key findings**

Age (older people)

Older people are generally more reliant on public transport to access essential services and facilities than those of working age. Older people also tend to require greater access to health services. This can be particularly problematic in remote rural areas where there is limited or no public transport coverage.

These issues can be further compounded by a lack of awareness in availability of transport schemes, such as community transport and dial-a-ride schemes. Older people can also encounter physical barriers, such as trip hazards from uneven surfaces, crossing busy roads, and difficulties boarding and alighting buses and using steps at railway stations.

Population forecasts indicate that the number of older people in the Borough is set to increase in the future.

Age (younger people)

Young people are also often reliant on public transport to access education, employment, and training opportunities, as well as for social and leisure activities. The barriers for mobility for young people include cost and availability of public transport, especially in rural areas.

Disability

Both national and local data acknowledges that transport issues have a significant impact on the lives of people with disabilities. Disabled people tend to travel and drive cars less often than the rest of the population. In fact the most common mode of travel for people with disabilities is as a car passenger. People with disabilities can also experience problems in using public transport, with issues such as inaccessible bus stops, stations, and vehicles, and a lack of clear travel information for various transport services.

The term 'disabilities' covers a multitude of issues, such as physical mobility and sensory problems, as well as learning difficulties. Therefore the transport needs of people with disabilities are wide-ranging and require numerous solutions when planning and developing accessible transport.

Race/Ethnicity

One of the main transport issues concerning race and ethnicity relates to barriers in accessing public transport and transport services. This includes the need for information on public transport services (such as routeing, timetables, and fares information) being made available in different formats and languages to reduce inequality of access. This also applies to the consultation of the schemes.

The Race Relations (Amendment) Act 2000 places a general duty on public authorities to actively promote race equality. The Council's own practices recognise that there is a need for equality of access to information and services. In terms of transport, this includes information on public transport routes and timetables, and details concerning entitlement for blue badges, concessionary fares, and school transport.

Gender

Evidence from national surveys indicates that women in general have less access to cars than men, and are more likely to use public transport. Women also undertake more supervising journeys than men, whether this is with young children or as a carer.

Women also have personal safety and security concerns, particularly when travelling after dark, which can result in a barrier in travelling later in the day. Crime statistics indicate that women are more likely to suffer sexual assault and harassment, whereas men are more likely to witness and be a victim of physical violence.

The Equality Act places a legal duty on local authorities to eliminate unlawful harassment or discrimination, and to promote equality between men and women. In terms of transport, this includes considering the needs of escort journeys with young children, and improving streetlighting and security on pedestrian/cycle routes and on public transport.

Sexual Orientation

There is no evidence available to suggest that there are specific transport access needs with regards to sexual orientation.

• Actions

Undertake Equality Impact Assessments on larger schemes as they are developed.

Date to scope and plan your Equality Analysis:	-
Date to complete your Equality Analysis:	-
Lead person for your Equality Analysis (Include name and job title):	-

5. Governance, ownership and approval

Please state here who has approved the actions and outcomes of the screening:

Name	Job title	Date
Matthew Reynolds	Transportation Manager	20 th May 2019

6. Publishing

This screening document will act as evidence that due regard to equality and diversity has been given. If an Equality Analysis is not required the screening document will need

to be published.

If this screening relates to a **Key Delegated Decision, Executive Board, full Council** or a **Significant Operational Decision** a copy should be emailed to Corporate Governance (to include contact) and will be published along with the relevant report.

A copy of **all** screenings should also be sent to Zaidah.ahmed@rotherham.gov.uk For record keeping purposes it will be kept on file (but not published).

Date screening completed	20 th May 2019
If relates to a Key Decision - date sent to Cabinet	
Date screening sent to Equalities Officer Zaidah.ahamed@rotherham.gov.uk	20 th May 2019

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